

**tim@adeptassetsolutions.co.uk**

**From:** Stacy Lunnon <stacyl@retirement.capital>  
**Sent:** 18 December 2020 08:38  
**To:** tim@adeptassetsolutions.co.uk  
**Subject:** Re: FW: HL SIPP - Transfer Out

Hi Tim,

Yes, if you could send it onto me that would be great. All our post is processed at our office in Merthyr Tydfyl, please see the address below.

Is there any chance you could scan / email a copy of the transfer out form before posting? Just in case we need you to sign in places and then we will do the rest.

Kind Regards,  
Stacy Lunnon QFA PTP  
SSAS Consultant  
Phone: 0330 311 0088



Post/Data Processing Centre  
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Pentrebach  
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On Thu, 17 Dec 2020 at 18:10, <[tim@adeptassetsolutions.co.uk](mailto:tim@adeptassetsolutions.co.uk)> wrote:

Hi Stacy,

# HL SIPP Transfer Out Form (new provider)

HARGREAVES  
LANSDOWN

05.18

## New Pension Provider's Details

Scheme name		Company name	
Name and address of Scheme Administrator			
Phone number	Contact name		
Client name	DOB	/	/
		Client number	

### Scheme type (please tick as appropriate)

- ☐ **SIPP/SSAS** – Nature of transfer: 100% cash/wholly or partly in specie (please provide stockbroker details separately for in specie)
- ☐ **100% insured** – Transfer cheque may only be payable to the scheme administrator or the insurance company involved
- ☐ **Retirement Annuity** – It is not possible for a scheme formerly approved as a retirement annuity contract under Chapter 3 Part 14 Income and Corporation Taxes Act 1988 to accept a transfer from the HL SIPP.
- ☐ **Occupational Money Purchase (other than SSAS)** – Nature of transfer: 100% cash/wholly or partly in specie (please provide stockbroker details separately for in specie)
- ☐ **Deferred annuity/section 32 buy out** – For this option, by signing the declaration you are also confirming that you are an insurance company authorised to carry out long term business in the UK.
- ☐ **Other** – Please specify the nature of your scheme:

**Scheme Registration:** We confirm the above scheme is registered under Chapter 2 of Part 4 of Finance Act 2004 or deemed to be so registered by virtue of paragraph 1 (1) of Schedule 36 Finance Act 2004.

Pension scheme  
tax reference (PSTR)

### Please indicate your preferred payment type:

Electronic Transfer ☐

### Please provide bank details:

Account name	Account number	Sort code							
Name and address of bank branch									
		Postcode	Payment reference						

Cheque ☐

### Please provide payee details:

**Declaration:** We declare the above information is true and complete and we are willing and able to accept this transfer. We confirm the funds transferred will be applied to provide benefits consistent with the legislation covering Registered Pension Schemes. We give permission for HMRC to provide the scheme administrator of the HL SIPP with information relating to the status and registration of our scheme.

Signature	Name		
Position	Date		/
			/

### Ceding Scheme Details

**Full Name:** HL SIPP

**Scheme Type:** Self Invested Personal Pension *Deemed as registered by virtue of paragraph 1(1) of Schedule 36 of the Finance Act 2004.*

**Pension Scheme Tax Reference (PSTR):** 00616238RC



# TRANSFER QUESTIONNAIRE

**HARGREAVES  
LANSDOWN**

As your current provider we are required to act with due diligence when processing your transfer request, this includes checking for warning signs that your transfer may be part of a pension scam. Further information on the warning signs of a pension scam can be found via the Financial Conduct Authority (FCA) Scamsmart website ([www.fca.org.uk/scamsmart](http://www.fca.org.uk/scamsmart)) or The Pension Regulator anti-scam website ([www.pension-scams.com](http://www.pension-scams.com)). We have also enclosed a copy of a leaflet issued by the Pensions Regulator.

To assist us in our due diligence please complete this short questionnaire in relation to your proposed pension transfer.

Full name

Client number (if known)

National Insurance Number

Please confirm how you became aware of the scheme you are requesting to transfer to

Please provide details of any investments you have been told are available for you to invest in through the scheme

Have you been provided with any promotional material by the provider of this scheme?

Yes No

If yes please provide a copy of any material sent including documents such as Terms & Conditions, Key Features or a scheme booklet.

Have you taken financial advice in relation to the transfer to this scheme?

Yes No

If yes please provide us with details of your financial adviser

Will you be making contributions to this scheme?

Yes No

Will your employer be making contributions to this scheme?

Yes No

Have you been told you will be able to access all or part of the pension fund before age 55?

Yes No

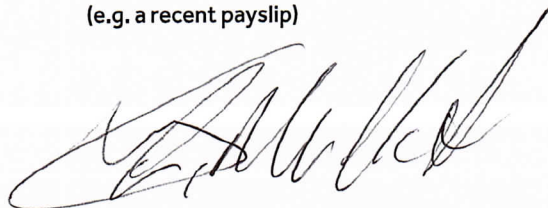
Are you in receipt of any earnings derived from employment?

Yes No

If yes please provide the name of your employer and evidence of these earnings (e.g. a recent payslip)

Client signature

Date



Please return to Freepost HARGREAVES LANSDOWN