

**Strictly Private & Confidential**

Pension Practitioner SSAS (SHE MARITIME)  
Office 12  
Venture Wales Building  
Pentrebach  
Merthyr Tydfil  
CF48 4DR

10 August 2020

Dear Sir or Madam

**AJ Bell Investcentre SIPP - James Darren Hall - SCC909611**  
**Your Reference: A10064001 Ascentric GIA**

Mr Hall has requested that the cash and assets held within the existing pension plan held with you are transferred to the SIPP administered by us, AJ Bell Management Limited. Please find enclosed our Transfer Form, completed and signed accordingly.

Please note, the transfer is to comprise of an in specie transfer of the enclosed list of assets.

If applicable, please forward copies of the following items to the existing custodian(s):

- a copy of the enclosed Transfer Form;
- a copy of this letter; and
- a schedule of the assets to be re-registered.

Please liaise with our Platform Support team via email at [platform.support@ajbell.co.uk](mailto:platform.support@ajbell.co.uk) or by telephone on 0345 543 2452 to coordinate the transfer of the assets. They will provide the necessary re-registration details. Please quote **SCC909611** on all correspondence with them.

Any cash should be transferred by electronic payment to the following bank details:

**Bank:** Bank of Scotland, New Ueberior House, 11 Earl Grey Street, Edinburgh EH3 9BN  
**Sort Code:** 12-27-34  
**Account Number:** 06980213  
**Account Name:** Sippdeal Trustees Ltd Payments In  
**Reference:** SCC909611

Referring to the mechanics of the transfer, all of the assets should be transferred on the same business day and it is important that you advise us of the timing of the transfer.

Upon completion of the transfer, please provide the full transfer information, including a valuation of assets at the date of the transfer. Please ensure the total transfer value includes the assets and any cash that has been transferred. The information you provide should confirm the following:

- The transferring scheme's HMRC Pension Scheme Tax Reference number.
- The total transfer value (including the split between cash and assets).
- Whether the transfer contains any crystallised benefits, along with the relevant details.
- Details of any transitional protection relating to the transferring benefits and/or member.
- Whether the transfer is part of a block transfer.
- Whether the transfer value derives from a Pension Sharing Order or Provision.
- Details of any Earmarking Orders in force against the transferring benefits.

## SIPP and Retirement Investment Account

### In specie transfer form



This form is your authority to transfer funds held as investments from another registered pension scheme into an AJ Bell Investcentre SIPP or Retirement Investment Account. If you would like to transfer funds in the form of a cash-only payment, please use the SIPP or Retirement Investment Account cash transfer form. Both forms may also be used for a Junior SIPP. All transfer forms are supplementary to your application form.

Please complete and return this form to **AJ Bell Investcentre, 4 Exchange Quay, Salford Quays, Manchester M5 3EE**

Please use **BLOCK CAPITALS** only and blue or black ink, ticking boxes where appropriate.

If you would like a copy of this, or any other item of our literature, in large print, Braille or audio format, please contact us on 0345 83 99 060 or by email at [enquiry@investcentre.co.uk](mailto:enquiry@investcentre.co.uk).

#### To be completed by the transferring client

##### Personal information

Full name of adviser

STEVEN HUTCHINSON

Name of adviser firm

CITRUS WEALTH MANAGEMENT

Full name of client

MR JAMES DARREN HALL

Date of birth

24/05/1965

Your account reference (if known)

SCC

National Insurance number

NE927145C

Permanent residential address

1 HOLLING DRIVE, HEYBRIDGE,

MARLOW, ESSEX

Postcode

CM9 4PP

Country

UK



## Request for transfer

I authorise and instruct you to transfer funds from the plan as listed in this form directly to the receiving scheme in the form of:

1. a cash payment made by cheque, or such other method agreed with AJ Bell Investcentre; and/or
2. an in specie transfer of assets held on my behalf (after deduction of any outstanding liabilities or charges). Where appropriate, AJ Bell Investcentre will advise details of the nominee/parties to whom assets must be transferred. I understand that the existing investment manager or administrator of the transferring scheme is responsible for collecting dividends and tax reclaims, and realising all rights and entitlements in respect of the assets transferred and for passing them to the receiving scheme.

Where you have asked me to give you any original policy documents in return for the transfer of funds and I am unable to do so, I promise to accept responsibility for any claims, losses and expenses of any nature which you may incur as a result of having made the transfer listed in this form.

I authorise you to release all necessary information to AJ Bell Investcentre to enable the transfer of funds to the receiving scheme. I agree that a copy of this authority should have the validity of the original.

I authorise you to obtain from, and release to, the financial adviser any additional information that may be required to enable the transfer of funds.

If an employer is paying contributions to any of the plans as listed in this form, I authorise you to release to that employer any relevant information in connection with the transfer of funds from the relevant plan.

Until this application is accepted and complete, the receiving scheme administrator's responsibility is limited to the return of the total payment to the current plan.

Where the payment made to the receiving scheme represents all of the funds under the plan listed in this form, then payment made as requested will discharge the current provider of all claims and responsibilities in respect of the plan listed.

Where the payment made to the receiving scheme represents part of the funds under the plan listed in this form, then the current provider will be discharged of all claims and responsibilities only in respect of the part of the plan represented by the payment.

I promise to accept responsibility in respect of any claims, losses and expenses that AJ Bell Investcentre and the current provider may incur as a result of any incorrect information provided by me in this application, or of any failure on my part to comply with any aspect of this application.

I apply to the scheme administrator of the receiving scheme to accept the transfer from the transferring scheme and to pay it into my SIPP or Retirement Investment Account. I confirm that the information provided relevant to my application to transfer funds into my SIPP or Retirement Investment Account is correct and complete, to the best of my knowledge and belief.

I confirm that adviser charges must be paid in accordance with the adviser charges option selected previously.

I confirm that my adviser has provided me with sufficient information for me to understand the effect that this transfer will have on my SIPP or Retirement Investment Account.

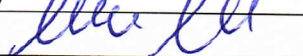
I confirm that I have not received any advice or recommendation in relation to the transfer from AJ Bell Investcentre.

### Additional declaration for transfers of income drawdown (if applicable)

I declare that all, or a part, of the transfer payment has been designated to provide income drawdown pension benefits for me under the transferring scheme. I understand that the transferring scheme is responsible for confirming the amount (if any) designated to provide these benefits.

I further understand that the amount of the transfer payment representing these benefits will be applied to provide me with income drawdown benefits under the receiving scheme and will be subject to the same maximum income limit (if any) as under the transferring scheme, until such time as a further review of this limit is triggered.

Signed (client's signature)



Date

4/8/20

If the transfer is into a Junior SIPP, the client cannot sign this form. The parent/guardian must sign it.

Parent's/guardian's name

Date

Parent's/guardian's signature