

AUTOMATIC EXCHANGE OF INFORMATION INDIVIDUAL SELF-CERTIFICATION

INSTRUCTIONS FOR COMPLETION

Under Tax Regulations and intergovernmental agreements entered into by the Isle of Man in relation to the automatic exchange of information for tax matters (collectively "AEOI"), RL360 is required to collect information about each owner/controlling person's tax status.

Please complete all sections below and provide any additional information or certified documentation as directed.

Each individual owner or controlling person is required to complete a separate Self-Certification form*.

Please note that in certain circumstances the information you provide may be disclosed to the Isle of Man Income Tax Division who in turn may exchange this information with tax authorities in other jurisdictions.

If any of the information that you provide changes in the future, you must advise us of these changes by completing a new Individual Self-Certification form.

If you are unsure how to complete this form, please contact your financial adviser or contact us on +44 (0)1624 681 681.

When you have completed this form, please send your Self Certification to us by email, fax or post.

- Scan and email to csc@rl360.com
- Fax to +44 (0)1624 677 336
- Post to: RL360, International House, Cooil Road, Douglas, Isle of Man, IM2 2SP, British Isles.

Important: If any of the addresses (e.g registered, mailing and/or email address) you provide to us on this form differ to the ones we hold on our records, you must send us the original form.

01 OWNER/TRUSTEE/CONTROLLING PERSON INFORMATION

RL360 plan number	<input type="text"/>
Your full name	<input type="text"/>
Your role(s)/relationship(s) on the plan*	<input type="text"/>
Date of birth (dd/mm/yyyy)	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Residential address	<input type="text"/>
Mailing address (if different from above)	<input type="text"/>
Email address	<input type="text"/>
Country of birth	<input type="text"/>

* Are you an individual/joint owner, a trustee or controlling person? Please refer to our Automatic Exchange of Information (AEOI) definitions for further information.

02

**DECLARATION OF US CITIZENSHIP OR US RESIDENCE FOR TAX PURPOSES
(PLEASE GO TO SECTION 3 IF YOU ARE A NON-US RESIDENT FOR TAX PURPOSES).**

A US Specified Person is an individual who is resident in the USA or has a US correspondence address **and/or** an individual who is a US Citizen because they either hold a US Passport, a US Green Card, or they were born in the US and have not renounced their US Citizenship.

More information on US FATCA (Foreign Account Tax Compliance Act) can be found at <https://www.irs.gov/businesses/corporations/foreign-account-tax-compliance-act-fatca>.

Are you a US Specified Person? ☐ Yes ☐ No

Please tick either (a) or (b) or (c) and complete as appropriate.

- a) ☐ I confirm that I am a US Citizen under the US Specified Person definition above and my individual taxpayer identification number (ITIN) is as follows:

If you are unable to provide an ITIN, you may provide your US Social Security Number (SSN) instead.

- b) ☐ I confirm I was born in the USA (or a U.S territory) but I am not longer a U.S Citizen as I have voluntarily surrendered my citizenship and evidence this with the attached documents.
- c) ☐ I can confirm that I am currently renouncing my U.S Citizenship. I evidence this by providing a certified copy of my DS-4083 form (otherwise known as the CLN for Certificate of Loss Nationality) and/or a certified copy of my passport in which I am obtain new citizenship.

03

DECLARATION OF TAX RESIDENCY (OTHER THAN US)

I hereby confirm that I am a tax resident in the following countries. (Please state all countries in which you are tax resident along with your tax identification number).

Country/countries of tax residency	Taxpayer Identification Number (TIN) or functional equivalent (e.g. Social Security, National Insurance, personal identification, resident registration number.)	Reference Number Type (e.g. TIN, Social Security Number, Resident Number, National Insurance Number)

If you are unable to provide a Taxpayer Identification Number or functional equivalent, you must specify your reason(s) here:

04 DECLARATION AND SIGNATURE

I declare that all the information provided in this Individual Self-Certification form is, to the best of my knowledge and belief, correct and complete.

I understand that I must complete a new Individual Self-Certification form where the information stated on this form is no longer valid due to a change in my tax status.

I am aware that RL360 may be required to share this information with the Isle of Man Income Tax Division.

Signature

Date (dd/mm/yyyy)

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The term “tax regulations” refers to regulations created that enable Automatic Exchange of Information (AEOI). This includes the Foreign Account Tax Compliance Act (FATCA), various agreements entered into between the UK, the Crown Dependencies and the Overseas Territories as well as the OECD’s Common Reporting Standard (CRS) for Automatic Exchange of Financial Account Information. Such agreements have been adopted between International Governments with the aim to improve International Tax Compliance.

Privacy and cookie policies

Our full privacy and cookie policies can be viewed at www.rl360.com/privacy or can be obtained by requesting a copy from our Data Protection Officer.