

The scheme operator of the ii SIPP is Interactive Investor Services Ltd. The authorised practitioner carrying out the day to day administration of the scheme is BW SIPP LLP. Investor SIPP Trustees Limited, a subsidiary of Interactive Investor Limited, is the Trustee of the scheme. HMRC pension scheme tax reference (PTSR) number 00835804RJ.

## 1. Account holder's details

Title	First name(s)	Address  1 Bruncketts, Halls Lane, Waltham St Lawrence, RG10 0JE
<input type="text" value="MR"/>	<input type="text" value="DAVID"/>	
Surname		
<input type="text" value="IRVING"/>		
National Insurance Number		
<input type="text" value="P"/> <input type="text" value="B"/> <input type="text" value="5"/> <input type="text" value="9"/> <input type="text" value="2"/> <input type="text" value="6"/> <input type="text" value="5"/> <input type="text" value="6"/> <input type="text" value="A"/>		
SIPP Account Number		Date of Birth
<input type="text" value="0"/> <input type="text" value="9"/> <input type="text" value="6"/> <input type="text" value="1"/> <input type="text" value="7"/> <input type="text" value="5"/> <input type="text" value="0"/>		<input type="text" value="1"/> <input type="text" value="2"/> / <input type="text" value="1"/> <input type="text" value="0"/> / <input type="text" value="1"/> <input type="text" value="9"/> <input type="text" value="7"/> <input type="text" value="2"/>

Have you received advice in relation to this transfer? (if yes complete details below)

Yes

☐

No

☒

Advisor's name

Phone number

Address

## 2. Receiving scheme administrator's details

Full name of receiving scheme

Scheme administrator's name

Scheme administrator's address

Plan / policy number

20002809RL

Phone number

0330 311 0088

### 3. Transfer details

Please transfer my SIPP assets as follows: (if you are only transferring part of your investments, please list these on a separate sheet)

Transfer all assets (Stock and Cash)

☐

Transfer Cash

☒*You'll need to sell all current investments prior to transfer*

OR: Transfer only the following:

Cash\*

£ ~~925,000~~

£300,000

Stocks

☐*Please list stocks on a separate sheet*

Method of payment for a cash transfer

BACS (no charge)

3-5 working days

☐

CHAPS (£30+VAT)

Same day

☒

### 4. Declaration

#### Important notes

If you wish to transfer any part of your fund it is your responsibility to ensure that there are sufficient funds (if it is to be a cash transfer), or to specify the assets to be transferred (for an 'in-specie' transfer).

A transfer may invalidate a Protection against a Lifetime Allowance Charge for which you have registered, or plan to register in the future for.

You should read the "Pension scams booklet" which explains how you can protect yourself against falling for a pension scam and losing your pension savings. You can read this [here](#), or download the booklet from our website at [www.ii.co.uk](http://www.ii.co.uk) > Useful Forms > SIPP documents > Useful guides.

We will only process a transfer to an overseas scheme where we can be satisfied that it is a Qualifying Recognised Overseas Scheme (QROPS) as defined by HMRC regulations. You, and / or the receiving scheme, will usually need to provide us with documentation relating to the scheme and you should bear in mind that our enquiries may take some time to be completed.

#### Account holder's declaration

I confirm that I wish to transfer my SIPP to the Registered Pension Scheme shown in Section 5. I authorise Interactive Investor Services Limited and BW SIPP LLP to provide the Scheme Administrator named with any information that they require in respect of this transfer.

I confirm I have not sought or received any advice from Interactive Investor Services Limited, BW SIPP LLP or Investor SIPP Trustees Limited relating to this transfer.

I confirm that if the payment made and/or assets transferred constitute a full and final discharge in respect of the

transfer, I shall have no further claim against Investor SIPP Trustees Limited and BW SIPP LLP in relation to the transfer. I understand that the transfer will not be finalised until all fees due to Investor SIPP Trustees Limited and BW SIPP LLP, including all fees in relation to this transfer, have been paid in full.

I understand that it is an offence to make false statements and that the penalties are severe and could lead to prosecution.

I confirm that the information I have provided is, to the best of my knowledge, true and complete.

I confirm that I have read the "Pension scams booklet" and have no concerns in relation to making this transfer as a result.

I authorise and instruct you to transfer funds from my SIPP as listed in Section 3 of this form directly to the receiving provider. Where you have asked me to give you any original policy document(s) in return for the transfer of funds and I am unable to do so, I promise that I will be responsible for any losses and/or expenses which are the result, and which a reasonable person would consider to be the probable result, of any untrue, misleading or inaccurate information deliberately or carelessly given by me, or on my behalf, either in this form or with respect to benefits from my SIPP.

I authorise you to release all necessary information to the receiving provider to enable the transfer of funds to the receiving provider.

I authorise you to obtain from and release to any financial intermediary named in Section 1 of this form, any additional information that may be required to enable the transfer of funds.

If an employer is paying contributions to any of the plans as listed in Section 1 of this form, I authorise you release to that employer any relevant information in connection with the transfer of funds from the relevant plan(s).

Until this application is accepted and complete, the receiving provider's responsibility is limited to the return of the total payment(s) to my SIPP.

Where the payment(s) made to the receiving provider represent(s) all of the funds under the plan(s) listed in Section 1 of this form, then payment made as instructed will mean that I shall no longer be entitled to receive pension or other benefits from the plan(s) listed.

Where the payment(s) made to the receiving provider represent(s) part of the funds under the plan(s) listed in Section 1 of this form, then payment made as instructed will mean that I shall no longer be entitled to receive pension or other benefits from that part of the plan(s) represented by the payment(s).

I accept responsibility in respect of any claims, losses and expenses that may be incurred by the receiving provider, Investor SIPP Trustees Limited and BW SIPP LLP as a result of any incorrect information provided by me in this application or of any failure on my part to comply with any aspect of this application.

Account holder's signature

Date



0 8 / 0 6 / 2 0 2 0

On receipt of your completed form, we will contact the administrators of the receiving scheme to ask them to complete the next section. Once returned to us, we will work with the receiving scheme administrator to arrange the transfer.

## 5. To be completed by the receiving scheme administrator

I/We agree to accept the transfer from the above SIPP account and confirm the receiving scheme is registered under Part 4 of the Finance Act 2004 and is able to accept transfers of crystallised or uncrystallised funds.

HMRC pension scheme tax reference (PTSR) number\*

20002809RL

\*Please provide us with a copy of your HMRC approval letter or HMRC registration certificate confirming the recognised status of the scheme.

### Type of scheme

Occupational Scheme	<input checked="" type="checkbox"/>	SIPP/Personal Pension/Stakeholder Pension	<input type="checkbox"/>
SASS	<input checked="" type="checkbox"/>	Retirement Annuity	<input type="checkbox"/>
Deferred Annuity / Section 32 Buy Out	<input type="checkbox"/>	QROPS	<input type="checkbox"/>
Other (please state)	<input type="text"/>		

Scheme Name

Whiterock Ventures Ltd Pension Scheme

Contact Name

Esther Salmon

Telephone number

0330 311 0088

Date scheme registered with HMRC

15 / 05 / 2020

Address

1a Park Lane, Poynton, Stockport,  
England, SK12 1RD

Postcode

SK12 1RD

Please complete for Occupational Scheme's:

The Pensions Regulator scheme reference number (PSR)

N/A - One member scheme

Company registration number (CRN)

7229245

Sponsoring employer's name

WHITEROCK VENTURES LTD

Address of Scheme Administrator

1a Park Lane, Poynton, Stockport,  
England, SK12 1RD

Is the member also a Trustee?\* Yes ☒ No ☐

\*include a copy of the deed of appointment

“Please complete for Personal Pensions, SIPPs, Stakeholder Pensions, Retirement Annuity Contracts

Name of scheme provider or operator

FCA Firm reference Number (FRN)

#### Scheme administrator's bank details

The transfer payment will be paid directly to the bank account of the receiving Scheme Administrator's bank (please note that it is not possible to issue a cheque). For security purposes we may call to confirm the bank account details:

Bank name

Account Number

0	4	9	1	9	0	8	8
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Account name

Sort Code

2	3	8	3	9	6
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Reference to be quoted

We declare that the above information is true and complete and that we are willing and able to accept this transfer. We confirm that the funds transferred will be applied to provide benefits consistent with the legalisation covering Registered Pension Schemes. We give permission for HMRC to provide BW SIPP LLP with the information relating to the status and registration of our scheme.

Name

Position

Signed (Authorised signatory for the scheme provider)

Date

1	6	/	0	6	/	2	0	2	0
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## 6. Investment Services provider's details

If you have requested a transfer of stocks and shares (in-specie), please complete the following:

Name of broker

Account number/reference

Phone number

Address

Name

Position

Signed (Authorised signatory for the broker)

Date

D	D	/	M	M	/	Y	Y	Y	Y
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