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| Client Name/s | Simon John Welch |
| Scheme Name | Welch Investments Pension Scheme |
| What ceding schemes are the transfers coming from If not transfers – source & explanation of contribution | Rowanmoor Family Pension Trust |
| What are the Transfer values/contribution amount | £650,000 partial transfer |
| What percentage of customers funds are going into non standard investments | 100% |
| Details of the investments the client (trustees) are considering | Skywatch Inn Ltd |
| The number of people employed by the scheme establisher | One |
| The corporation Tax reference of the employer The VAT registration number of the employer PAYE reference | 455 76384 16915 A |
| None |
| None |
| Current employment status | Simon Welch – Employed Programme Manager  |
| Is the proposed Limited Company currently active | Newly Incorporated – Welch Investments Ltd – 8956984. Applicant required this company as is taking on more freelance work as a programme manager/IT. In addition, the client wishes to hold investment properties in the new company. |
| Financial Adviser Involved  | JAN Investment Marketing |
| If no Financial Adviser – explanation why  | Sophisticated and High Net Worth Investor Certificate held on file, countersigned by IFA |
| Classification of Client | Self Certified Sophisticated Investor & HNW – applicant states; I have made more than one investment in an unlisted company in the two years prior to today/ I held throughout the financial year immediately preceding today, net asset’s to the value of £250,000 or more. |
| Any web based material; | www.private-commercial.com |
| Introducers | Carlton James Private & CommercialTelephone – 0800 233 5626Unit 4, Clearwater Business Park, Frankland Road,Swindon, SN5 8YZ |
| Details of investments  | Enclosed in the suitability. |
| Explanation as to why customer is setting up a SSAS | The applicant wishes to transfer his existing FPT Plan (held as cash) to a SSAS to progress personal investment strategies into AIM. In addition current pension arrangement doesn’t allow for the benefits Simon requires.  |