

Identity Verification Certificate – private individual

Introduction by PRA authorised and FCA and PRA regulated firm

1. DETAILS OF INDIVIDUAL (see explanatory notes below)

First Name Thomas Middle Name Christopher
Surname Maloney Date of Birth 24 August 1962
Address The Coach House
Horsham Road
Steyning, West Sussex
Postcode BN44 3AA
Previous address if the individual has changed address in the last three months:

2. CONFIRMATION

I/we confirm that

- the information in section 1 above was obtained by me/us in relation to the customer;
- in providing this Identity Verification Certificate, I/We consent to Metro Bank relying on the customer due diligence undertaken in accordance with Regulation 17 of the Money Laundering Regulations;
- original documentary evidence was seen;
- the evidence I/we obtained to verify the identity of the customer:
(tick only one)

- meets the standard customer due diligence requirements set out in the Money Laundering Regulations and supporting JMLSG Guidance; or
- exceeds the standard customer due diligence requirements (written details of the further verification evidence taken are attached to this confirmation).

3. DETAILS OF INTRODUCING FIRM (or sole trader)

Full Name of Regulated Firm
Sapphire Financial Solutions
Financial Services Register Number
524 292
Signed for and on behalf of aforementioned Regulated Firm Name
David Nicklin
Position Date 20/04/15

4. EXPLANATORY NOTES

1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must be verified, and a confirmation provided.
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:
 - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
 - those who have been subject to simplified due diligence under the Money Laundering Regulations; or
 - those whose identity has been verified using the source of funds as evidence.