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| Client Name/s (number of members) | Richard Paul Sollis & Amanda Jane Sollis |
| Scheme Name | Sollis Property Finance Consultants Pension Scheme |
| What ceding schemes are the transfers coming from If not transfers – source & explanation of contribution | National Australia Group (R. Sollis)Friends Life (R.Sollis) |
| What are the Transfer values/contribution amount | £41873.12 |
| What percentage of customers funds are going into non standard investments | 100% |
| Details of the investments the client (trustees) are considering | Skywatch Inn Ltd |
| The number of people employed by the scheme establisher | Two |
| The corporation Tax reference of the employer The VAT registration number of the employer PAYE reference | 4567719513062 |
| None |
| None |
| Current employment status | Richard Sollis-Santander Bank ManagerAmanda Sollis-Director Sollis Property Finance |
| Is the proposed Limited Company currently active | Existing Company – Sollis Property Finance Consultants Ltd, Reg number 06913259 |
| Financial Adviser Involved  | JAN Investment Marketing |
| If no Financial Adviser – explanation why  | Sophisticated Investors, both trustees. R.Sollis is regional corporate bank manager for Santander, A.Sollis is Director of Sollis Property Finance, R.Sollis is a shareholder of Sollis Property Finance. Certificate held on file, countersigned both applicants |
| Classification of Client | Self Certified Sophisticated Investors – both applicants state; I am working, or have worked in the two years prior to today, in a professional capacity in the private equity sector, or in the provision of finance for small & medium enterprises. |
| Explanation as to why customer is setting up a SSAS | R.Sollis wishes to transfer existing PP Plans to a SSAS to progress personal investment strategies into UIS. Has existing company schemes with current & previous Bank employers, not intending change those arrangements. A.Sollis has no pension provision & wishes to progress personal investment strategies and make future lump sum contributions to company SSAS. |

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| Names of introducers(if high net worth/sophisticated)Otherwise use JAN, if retail. | Carlton James Private & CommercialUnit 4, Clearwater Business Park, Frankland Road Swindon, SN4 0QL Tel – 0800 233 5626 |
| Details of web based material: | www.private-commercial.com |

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| Details of investments  | Enclosed in the suitability. |