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To ensure your information is saved correctly, we recommend you save the form to your desktop before you start completing the required fields.

Quilter

Transferring to an occupational pension Questionnaire

With this form you can:

- provide us with the additional information we need about your pension transfer application

Giving us your instruction:

- **Email** - Simply scan your completed form and supporting documents and email them to ask@quilter.com
- **Post to** - Quilter, SUNDERLAND, SR43 4JP.

Contact telephone number and best time to call

07971 183 165

Email address

nicola@sixteenrealestate.com

Details about your new pension scheme

If your administrator is not regulated by the Financial Conduct Authority (FCA), you will not be protected by the Financial Services Compensation Scheme should the administrator fail.

- How did you become aware of your new pension scheme?

Via Chapter 3 Financial Planning.

- Who is the administrator for your new pension scheme?

Retirement Capital Care of RC Administration Ltd

- Is the administrator of your new pension scheme regulated by the FCA?

☒ Yes ☒ No

Details about your employment

To have a statutory right to transfer you must be able to show an employment link to your new occupational scheme.

- What is the name of your employer?

Sixteen Real Estate (Retail) Ltd

- Is your employer linked to this pension scheme?

☒ Yes ☐ No

- If your employer is not linked to this pension scheme, what is the link between you and the scheme?

You will also need to provide evidence to support this. Please confirm whether you have included the following:

- Letter confirming employment for at least 3 months?
- Payslips for 3 months?
- Contributions statement/schedule?
- Bank account statement?

☐ Yes ☒ No
☒ Yes ☐ No
☒ Yes ☐ No
☒ Yes ☐ No

If you have answered "no" to any one of the above, please explain why.

Company Director of 7 years



Details about advice

If you have received advice, we will carry out a check on your adviser. We will check UK advisers against the FCA register. We will check non-UK advisers against the regulator details you supply.

- Have you had financial advice about this transfer? (if yes, provide details below) ☒ Yes ☐ No

- Name of adviser

Chapter 3 Financial Planning

- Name of adviser firm

Colin Bares

- Address of adviser firm

43a Manor Drive, Manchester M21 7QG

- Name of regulator and registration number with that regulator

FCA No. 197107

- How did you become aware of your financial adviser?

Via a colleague

Details about investment

If you invest in unregulated investments, you will not be covered by the Financial Services Compensation Scheme. Please tell us how you intend to invest in your new pension. This will allow us to check for amber flags. We cannot proceed without this information.

- Have you been promised a high or guaranteed return on your investments?
- Have you included a list of your intended investments?
- Do you understand what you will be invested in and how each investment works?

☐ Yes ☒ No
☒ Yes ☒ No
☒ Yes ☐ No

Details about costs

It is important to be aware of the cost to transfer and how those costs compare to your current pension.

- How much does it cost to set up your new pension?
- How much does it cost for ongoing administration?
- What is the average annual fee for funds and ETFs you will invest in?
- What charges will you incur for other investments?
- What is the fee for financial advice to transfer?
- If you have received advice but are not paying a transfer fee, please explain

£1350

£1300

£1500

- How much will you pay for ongoing financial advice?

Signs of a pension scam

This section will help us identify if there are any red flags associated with your transfer.

- Were you contacted out of the blue by phone, text, social media, letter or in person about this transfer from someone you did not have an existing relationship with?
- Were you offered a free pension review?
- Do you feel you were pressured to make this transfer?
- Were you told you could access your money before age 55?
- Were you told you could have some cash back or loan from this transfer?

☐ Yes ☒ No
☐ Yes ☒ No
☐ Yes ☒ No
☐ Yes ☒ No
☐ Yes ☒ No

Declaration

Please make sure you can answer yes to all of these questions before you return this questionnaire to us.

- I have read the pension scam leaflet you sent me. ✓ Yes
- I have included a list of investments with this questionnaire. ✓ Yes
- I have included evidence of my employment link to this pension scheme. ✓ Yes
- I have completed this questionnaire to the best of my knowledge. ✓ Yes
- I understand that you may come back to me for further information based on my answers. ✓ Yes
- I understand that if I have not answered all questions the transfer request may be delayed. ✓ Yes

Your Name

NICOLA HARRINGTON

Account
Number

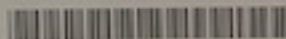
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Signature

[Signature]

Date

2 6 0 3 2 0 2 4



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Please be aware that calls and electronic communications may be recorded for monitoring, regulatory and training purposes and records are available for at least five years.

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