

Strictly Private & Confidential

Brad Davies
Pension Practitioner.com
Daws House
33-35 Daws Lane
London
NW7 4SD

26 May 2011

Dear Brad

SPM SSAS

I take pleasure in enclosing the following paperwork:

- · Completed DDM for quarterly fees to be taken by Pension Practitioner
- James Hay Transfer Out Requests to be completed by Pension Practitioner and sent to James Hay.

If you have any queries please do not hesitate to contact me.

Yours sincerely

Laura M Surtees

Sales Support Consultant

www.hwca.com

Northern Assurance Buildings, Albert Square, 9/21 Princess Street, Manchester, , M2 4DN

Telephone: 0161 832 6413 Fax: 0161 834 2230 Email: laura.surtees@hwfs.co.uk

Transfer Out Request prepared for Leslie Buckley

Wrap SIPP (Protected Rights)

Prepared on 11 May 2011



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Guide to Completing this Form	
Section 2 – 5 to be completed by the client	If the transfer is to a qualifying overseas pension scheme, please also complete Overseas Transfer supplement, available on request
Sections A, B and C to be completed by the receiving pension scheme	Please complete this form in BLOCK CAPITALS and black ink and return it to: James Hay, Dunn's House, St Paul's Road, Salisbury, SP2 7BF.
	If you need any help to complete this form please do call us on 0844 809 4020
1 Personal Details	
Title: Mr	Address 3 Badger Road
Forenames: Leslie	Prestbury
Surname: Buckley	Macclesfield
Member No: 67407	Post Code: SK10 4JG
NI Number: YR 57 83 58 A	
Funds to be Used	
2 Uncrystallised Funds	Applicant to Complete
How much would you like to use?	Receiving Scheme Name: SPM SSAS
Please tick one box	Address: LO PENSION PRACTITIONER
	Daws House
Full Uncystallised Fund	3335Daws Lane
Specified Amount*:	LONDON
*Please Specify Amount: £	Post Code: NW7 L4SD

JHAY 0237 OCT10 67407, LeslieBuckley Page 1

3	Transfer Out	Applicant to Complete
	understand that there may be a charge levied by the underlying Fund or Investment managers when s seeking my approval to pay these charges.	. elling or transferring my investments and I am happy to proceed with the transfer without James
Pleas	e indicate by ticking one of the following boxes, how your current Investments are to be dealt with:	
For f	ull transfers out:	For partial transfers out
□ PI	ease sell all my investments prior to transfer	☐ Please indicate which assets are to be sold or transferred on the below schedule*.
☐ PI	ease transfer all my investments in specie	•
trans	your transfer is to be completed by both a cash and in specie fer, please indicate which assets are to be sold and which are transferred by completing the below schedule.*	

^{*}Please ensure the below schedule is completed if selecting these options.

Description of Asset	Units/Shares Held	Current Value	ISIN Code	Amount to be disinvested or transferred in £ Sterling or %	In Specie	Disinvest
Individual Holdings						
None			<u></u>			
Cash Account		£85,248.03		100°/°		
Investment Manager Accounts						
None						
Property						
None						
TOTAL		£85,248.03				

This statement is provided for information purposes only and is not to be construed under any circumstances as the final amounts available for transfer or on which benefits can be based

Applicant to Complete

4

Cash Transfer

Applicant to Complete

If cash is to be transferred to your new pension scheme, please indicate how you want the cash balance to be transferred:

Z yo

BACS (Usually takes four working days to reach the destination bank account)
CHAPS* (Same day payment to destination bank account)

If no option selected, payment will be made by BACS. Please note we do not issue cheques in respect of transfer payments to other pension schemes

*Please note there is a £25 charge, taken from your fund, for this payment method

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Declaration

Applicant to Complete

Page 3

I request and consent to the transfer set out in this application form.

I understand and agree that:

- To the best of my knowledge and belief, the particulars given on this form are correct and complete.
- It is an offence to make false statements and that the penalties are severe and could lead to prosecution
- By signing this declaration, James Hay is authorised to release in respect of those rights details about the transfer from this pension scheme and the subsequent application of benefits to the receiving scheme.
- If appropriate James Hay has my authority to check with HM Revenue and Customs the details of any certificate which I supply which enhances my lifetime allowance
- I have read and understood the conditions of the transfer outlined in the fact sheet.
- After plan closure, any accumulation units, dividends paid as shares or corporate events leading to a new asset holding, will be encashed and transferred to the receiving scheme, subject to the standard payments received after SIPP closed charge.

- The payment I have requested discharges James Hay's liability in respect of the transferred funds
- Any entitlement to benefits under this plan will cease in respect of any transferred rights and James Hay will be discharged from any obligation to provide benefits

Rul.

LES

Signed

Date:

25/5/11

JHAY 0237 OCT10 67407, LeslieBuckley

Receiving Scho	eme Details
Please complete:	
■ Section A if you are accepting a transfer of uncrystallised funds.	
■ Section B if you are accepting a transfer of crystallised funds.	
■ Section C in addition to Section A and/or B if the member has requested	d an in-specie transfer
A1 Transfer of Uncrystallised Funds	(New Scheme Administrator to Complete)
Member name LESUE BUCKLEY	As the Administrator of the scheme (please tick the statement that applies):
Your plan reference	☐ We confirm that our scheme is a registered pension scheme accepted under of Chapter 4 of the Finance Act 2004.
Name of scheme SPM SSAS ASCN Number (required for transform of Brotocted Bights)	☐ A qualifying recognised overseas pension scheme (QROPS) as defined by HM Revenue & Customs under Chapter 4 of the Finance Act 2004 and has satisfied HM Revenue & Customs requirement in this respect*.
ASCN Number (required for transfers of Protected Rights) E3529185B 560033384	PSTR 00766536RS
* If the receiving scheme is a QROPS please enclose a copy of the letter fr the scheme.	om HM Revenue & Customs confirming the recognised status of
A2 Transfer Payment Details	(New Scheme Administrator to Complete)
Electronic payment	Bank Name and Address
Account Name	INVESTEC BANK
SPM SSAS	LONDON
Payment Reference	Post Code ECZV7QP
Sort Code Account Number 0 8 6 0 68 48589501	
A3 Receiving Scheme Details	(New Scheme Administrator to Complete)
We confirm that the above statements are true and that upon receipt and acceptance of the transfer value it will be applied to provide benefits for or in respect of the member under the receiving scheme.	Print Name
We give James Hay permission to approach HM Revenue and Customs for confirmation that this scheme is either a registered pension scheme, or a QROPS that complies with HM Revenue & Customs requirements.	Address
Signed	
	Post Code
Date •	
	

B1. Transfer of Crystallised Funds	(New Scheme Administrator to Complete)
Member name	As the Administrator of the scheme (please tick the statement that applies):
Your plan reference	☐ We confirm that our scheme is a registered pension scheme accepted under of Chapter 4 of the Finance Ac 2004 and 15 capable of accepting transfers of crystallise
Name of scheme . ASCN Number (required for transfers of Protected Rights)	↑ A qualifying recognised overseas pension scheme (QROPS) as defined by HM Revenue & Customs unde Chapter 4 of the Finance Act 2004 and has satisfied HN Revenue & Customs requirement in this respect and is also capable of accepting transfers of crystallised funds
* If the receiving scheme is a QROPS please enclose a copy of the letter from HM the scheme.	Revenue & Customs confirming the recognised status of
B2 Transfer Payment Details	(New Scheme Administrator to Complete)
Electronic payment	Bank Name and Address
Account Name	Investec
Payment Reference	Post Code
Sort Code · Account Number	
B3 Receiving Scheme Declaration	(New Scheme Administrator to Complete)
We confirm that the above statements are true and that upon receipt and acceptance of the transfer value it will be applied to provide benefits for or in respect of the member under the receiving scheme.	Print Name
We give James Hay permission to approach HM Revenue and Customs for confirmation that this scheme is either a registered pension scheme, or a QROPS that complies with HM Revenue & Customs requirements.	Address
Signed	
	Post Code
Date	
Date	

C1 In Specie Transfer Details	(Scheme Administrator to Complete)
Please review the schedule of assets that is attached to this form (Section 3) and confirm the	e one of the following:
. I confirm that the receiving scheme can accept and hold all of the assets that are include	ed in the proposed transfer
☐ * I confirm that the receiving scheme cannot accept any of the assets listed on the attach	ed schedule.
* I confirm that the receiving scheme can accept and hold all of the assets other than those	se listed below:
*Should the receiving scheme be unable to accept some or all of the assets listed on t	the attached schedule, please contact the
member or their financial adviser to discuss alternative arrangements.	
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Transfer Out

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Please provide the new transferee holder's details on the attached table. Please make this as clear and concise as possible as any ambiguity will lead to potential delays in processing the transfer. Please copy this table should you require more space for these re-registration details.

(New Scheme Administrator to Complete)

Designation(s)			ess - If the assets lanager Account ectly		ucted to act on de details of your
Account Number(s)			Name and Addre ng Investment M In your plan dire		eparate solicitors must be instra eiving scheme. Failure to provid solicitors will delay the transfer.
2 nd Registered Holder / Transferee Address			Registered Holder / Transferee Name and Address - If the assets currently held within the existing Investment Manager Account are to be held within your plan directly		*Please note separate solicitors must be instructed to act on behalf of the receiving scheme. Fallure to provide details of your solicitors will delay the transfer.
2 nd Registered Holder / Transferee Name			New Investment Manager Account Number	Address and contact details of the Solicitor representing the Receiving Scheme*	
Registered Holder / Transferee Address			New Investment Manager Address	Address and contact crepresenting the R	
Registered Holder / Transferee Name			New Investment Manager Name	Name of the Solicitor representing the Receiving Scheme*	
Description of Asset	Individual Holdings		Investment Manager Accounts	Property	

Transfer Out Request prepared for Leslie Buckley



Wrap SIPP

Prepared on 11 May 2011



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Prepared on	11 May 2011		0 1 6 1 1 5 1 1 5 1 1 1 1
Guide to Cor	mpleting this Form		
Section 2 – 5	to be completed by the client		If the transfer is to a qualifying overseas pension scheme, please also complete Overseas Transfer supplement, available on request
Sections A, B and C to be completed by the receiving pension scheme			Please complete this form in BLOCK CAPITALS and black ink and return it to: James Hay, Dunn's House, St Paul's Road, Salisbury, SP2 7BF.
•			If you need any help to complete this form please do call us on 0844 809 4020
1 Per	sonal Details		
Title:	Mr		Address 3 Pedes Pand
Forenames:	Leslie		3 Badger Road Prestbury
Surname:	Buckley		Macclesfield
Member No:	65883		Post Code: SK10 4JG
NI Number:	YR 57 83 58 A		
Funds to be	Used		
2 Uncr	ystallised Funds		Applicant to Complete
How much w	rould you like to use?		Receiving Scheme Name: SPM SSAS
Please tick o	ne box		Address: C/O Pension Practinines
	_		Daws House
Full Uncystall	ised Fund	33	35Daws Lant
Specified Am	ount*:		LONDON

450

Post Code: NW7

*Please Specify Amount:

£

I understand that there may be a charge levied by the underlying Fund or Invelor Hay seeking my approval to pay these charges.	estment managers when selling or transferring my investments and I am happy to proceed with the transfer without James
Please indicate by ticking one of the following boxes, how your current Investments and	e to be dealt with:
For full transfers out:	For partial transfers out
☐ Please sell all my investments prior to transfer	Please indicate which assets are to be sold or transferred on the below schedule*.

Applicant to Complete

Please transfer all my investments in specie
for your transfer is to be completed by both a cash and in specie
transfer, please indicate which assets are to be sold and which are
to be transferred by completing the below schedule*.

Transfer Out

^{*}Please ensure the below schedule is completed if selecting these options.

Description of Asset	Units/Shares Held	Current Value	ISIN Code	Amount to be disinvested or transferred in £ Sterling or %	In Specie	Disinvest
Individual Holdings						
Custodian: Pershing Keen Nominees Ltd						
ETFS Com Securitie All Commodities DJ-AIGCI (GBP)	. 11,600.000	£122,612.00	GB00B15KY989	100% CASH TO	0 GO TO !	SPM SSAS
Investment Centre Units						
Custodian: James Hay Pension Trustees Ltd						
WRAP SIPP - Aberdeen - Emerging Markets A Acc	10,925.860000	£56,606.88	GB0033228197		VTO SIS	RE REG
WRAP SIPP - First State - Asia Pacific Leaders A Acc	15,870.569000	£57,054.70	GB0033874214		V To SIS	RE REG
WRAP SIPP - First State - Latin America A Acc	29,657.750000	£59,463.79	GB00B64TSD33		V To SIS	
WRAP SIPP - GLG - Japan CoreAlpha Acc STA	4S. 57,696.746000	£47,253.63	GB00B0119933		•	
Cash Account		£484,546.14		100%		
Investment Manager Accounts		-				-
None						
Investment Manager Cash						
Abbey Stockbrokers - Wrap SIPP					-	
0						

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					•	
						_
	_				37.14	
					£827,537.14	
				_		
		erty	 		<u>ا</u>	
•		Property	None		TOTAL	

This statement is provided for information purposes only and is not to be construed under any circumstances as the final amounts available for transfer or on which benefits can be based

4	Cash Transfer	Applicant to Complete
	h is to be transferred to your new pension scheme, please indicate rou want the cash balance to be transferred:	If no option selected, payment will be made by BACS. Please note we do not issue cheques in respect of transfer payments to other pension schemes
1	BACS (Usually takes four working days to reach the destination bank account)	
כ	CHAPS* (Same day payment to destination bank account)	*Please note there is a £25 charge, taken from your fund, for this payment method
5	Declaration	Applicant to Complete
•	est and consent to the transfer set out in this application form.	
unde	erstand and agree that:	
	the best of my knowledge and belief, the particulars given on this are correct and complete.	■ The payment I have requested discharges James Hay's liability in respect of the transferred funds
	s an offence to make false statements and that the penalties are re and could lead to prosecution	Any entitlement to benefits under this plan will cease in respect of any transferred rights and James Hay will be discharged from any obligation to provide benefits
respe	signing this declaration, James Hay is authorised to release in ect of those rights details about the transfer from this pension scheme he subsequent application of benefits to the receiving scheme.	
and C	appropriate James Hay has my authority to check with HM Revenue Customs the details of any certificate which I supply which enhances fetime allowance	
■ I ha	ave read and understood the conditions of the transfer outlined in the sheet.	Signed Slund
or cor	er plan closure, any accumulation units, dividends paid as shares rporate events leading to a new asset holding, will be encashed ransferred to the receiving scheme, subject to the standard payments ved after SIPP closed charge.	Date: 25 /057 II

JHAY 0237 OCT10

65883, LeslieBuckley

Receiving Scheme	e Details
Please complete:	
Section A if you are accepting a transfer of uncrystallised funds.	
■ Section B if you are accepting a transfer of crystallised funds.	
■ Section C in addition to Section A and/or B if the member has requested an in-	specie transfer
A1 Transfer of Uncrystallised Funds	(New Scheme Administrator to Complete)
Member name	As the Administrator of the scheme (please tick the
LESLIE BUCKLEY	statement that applies):
Your plan reference 2635 4 7 1 th	☐ We confirm that our scheme is a registered pension scheme accepted under of Chapter 4 of the Finance Act 2004.
Name of scheme SPM SSAS	☐ A qualifying recognised overseas pension scheme (QROPS) as defined by HM Revenue & Customs under Chapter 4 of the Finance Act 2004 and has satisfied HM Revenue & Customs requirement in this respect*.
ASCN Number (required for transfers of Protected Rights) PST E35291856 S6003338Y	1. 00766536 RS.
* If the receiving scheme is a QROPS please enclose a copy of the letter from HM the scheme.	Revenue & Customs confirming the recognised status of
A2 Transfer Payment Details	(New Scheme Administrator to Complete)
Electronic payment	Bank Name and Address
Account Name	Investec
SPM SSAS	LONDON
Payment Reference	Post Code EC2V 7@P
Sort Code Account Number	
0 8 60 6 8 48589501	
A3 Receiving Scheme Details	(New Scheme Administrator to Complete)
PP	
We confirm that the above statements are true and that upon receipt and acceptance of the transfer value it will be applied to provide benefits for or in respect of the member under the receiving scheme.	Print Name CIQU n / BIGU
We give James Hay permission to approach HM Revenue and Customs for confirmation that this scheme is either a registered pension scheme, or a QROPS that complies with HM Revenue & Customs requirements.	Address

Post Code

JHAY 0237 OCT10

Signed

Date

65883, LeslieBuckley

, B1	Transfer of Crystallised Funds	(New Scheme Administrator to Complete)
Member	name	As the Administrator of the scheme (please tick the statement that applies):
	n reference	☐ We confirm that our scheme is a registered pension scheme accepted under of Chapter 4 of the Finance Act 2004 and is capable of accepting transfers of crystallised funds
Name of	f scheme	A qualifying recognised overseas pension scheme (QROPS) as defined by HM Revenue & Customs under Chapter 4 of the Finance Act 2004 and has satisfied HM Revenue & Customs requirement in this respect and is
ASCN N	dumber (required for transfers of Protected Rights)	also capable of accepting transfers of crystallised funds*
* If the re the sche	eceiving scheme is a QROPS please enclose a copy of the letter from HM me.	A Revenue & Customs confirming the recognised status of
B2	Transfer Payment Details	(New Scheme Administrator to Complete)
Electron	ic payment	Bank Name and Address
Account	Name	
Paymen	t Reference	Post Code
Sort Cod	de Account Number	
В3	Receiving Scheme Declaration	(New Scheme Administrator to Complete)
and acco	firm that the above statements are true and that upon receipt eptance of the transfer value it will be applied to provide for or in respect of the member under the receiving scheme.	Print Name
for confi	James Hay permission to approach HM Revenue and Customs rmation that this scheme is either a registered pension scheme, OPS that complies with HM Revenue & Customs requirements.	Address
Signed		
		Post Code
Date		

lease re	view the schedu	le of assets that	is attached to this	form (Section	3) and confire	m the one of	the following	;
I cor	nfirm that the rec	eiving scheme ca	n accept and hold	all of the asse	ets that are in	cluded in the	proposed tra	ansfer
			annot accept any o					
			an accept and hold					
Chauld	the recoluing o	shama haahl	• •• •••	!! -646-	4- 11-41	41		
			e to accept some cuss alternative a			on the attac	hed schedu	ile, please contact the
				an angements	•			

(Scheme Administrator to Complete)

In Specie Transfer Details

8

Please provide the new transferee holder's details on the attached table. Please make this as clear and concise as possible as any ambiguity will lead to potential delays in processing the transfer. Please copy this table should you require more space for these re-registration details.

(New Scheme Administrator to Complete)

Designation(s)		ss - If the assets anager Account ctly			ucted to act on le details of your
Account Number(s)		lame and Addre g Investment Ma n your plan dire		*Please note separate solicitors must be instructed to act on behalf of the receiving scheme. Failure to provide details of your solicitors will delay the transfer.	
2 nd Registered Holder / Transferee Address		Registered Holder / Transferee Name and Address - If the assets currently held within the existing Investment Manager Account are to be held within your plan directly			
2 nd Registered Holder / Transferee Name		New Investment Manager Account Number		Address and contact details of the Solicitor representing the Receiving Scheme*	
Registered Holder / Transferee Address		New Investment Manager Address		Address and contact details of the Solic representing the Receiving Scheme*	
Registered Holder / Transferee Name		New Investment Manager Name		Name of the Solicitor representing the Receiving Scheme*	
Description of Asset	Individual Holdings	Investment Manager Accounts		Property	

SIPP*

Transfer Out Request prepared for Mary Linda Buckley



Wrap SIPP

Prepared on 11 May 2011



Guide to Completing this Form			
If the transfer is to a qualifying overseas pension scheme, please also complete Overseas Transfer supplement, available on request			
Please complete this form in BLOCK CAPITALS and black ink and return it to: James Hay, Dunn's House, St Paul's Road, Salisbury, SP2 7BF.			
If you need any help to complete this form please do call us on 0844 809 4020			
Address 3 BADGER ROAD			
PRESTBURY MACCLESFIELD			
Post Code: SK10 4JG			
Applicant to Complete			
Receiving Scheme Name:			
Address:			
Post Code:			

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65885, Mary LindaBuckley

Page 1

☐ I understand that there may be a charge levied by the underlying Fund or Investment managers when selling	or transferring my investments and I am happy to proceed with the transfer without James
Hay seeking my approval to pay these charges.	
Please indicate by ticking one of the following boxes, how your current Investments are to be dealt with:	
For full transfers out:	For partial transfers out
☐ Please sell all my investments prior to transfer	Please indicate which assets are to be sold or transferred on the below schedule*.
☐ Please transfer all my investments in specie	
If your transfer is to be completed by both a cash and in specie transfer, please indicate which assets are to be sold and which are to be transferred by completing the below schedule*.	

Transfer Out

Description of Asset	Units/Shares Held	Current Value	ISIN Code	Amount to be disinvested or transferred in £ Sterling or %	In Specie	Disinvest
Individual Holdings						<u></u>
Custodian: Pershing Keen Nominees Ltd				(4.5)	<u> </u>	M SS AC
ETFS Com Securitie All Commodities DJ-AIGCI (GBP) Small.	5,800.000	£61,306.00	GB00B15KY989	100 10 CASH TO	८० क अ	III 72112
Cash Account		£232,236.15		100%		
Investment Manager Accounts						
None						
Investment Manager Cash						
Abbey Stockbrokers - Wrap SIPP						
0						
Property						
None						
		0000 540 45				
TOTAL		£293,542.15				
				<u> </u>	<u> </u>	

Applicant to Complete

^{*}Please ensure the below schedule is completed if selecting these options.

This statement is provided for information purposes only and is not to be construed under any circumstances as the final amounts available for transfer or on which benefits can be based

4	Cash Transfer	Applicant to Complete
If casi how y	h is to be transferred to your new pension scheme, please indicate rou want the cash balance to be transferred:	If no option selected, payment will be made by BACS. Please note we do not issue cheques in respect of transfer payments to other pension schemes
	BACS (Usually takes four working days to reach the destination bank account)	
	* CHAPS* (Same day payment to destination bank account)	*Please note there is a £25 charge, taken from your fund, for this payment method
5	Declaration	Applicant to Complete
		, ipplicant to compete
	nest and consent to the transfer set out in this application form. erstand and agree that:	
	the best of my knowledge and belief, the particulars given on this are correct and complete.	■ The payment I have requested discharges James Hay's liability in respect of the transferred funds
■ It is sever	s an offence to make false statements and that the penalties are e and could lead to prosecution	Any entitlement to benefits under this plan will cease in respect of any transferred rights and James Hay will be discharged from any obligation to provide benefits
respe	signing this declaration, James Hay is authorised to release in act of those rights details about the transfer from this pension scheme the subsequent application of benefits to the receiving scheme.	
and C	appropriate James Hay has my authority to check with HM Revenue Customs the details of any certificate which I supply which enhances letime allowance	1100
■ 1 ha	ave read and understood the conditions of the transfer outlined in the heet.	Signed W. L. Bucklay
		M. L. BUCKLOY
or cor	er plan closure, any accumulation units, dividends paid as shares reporate events leading to a new asset holding, will be encashed ransferred to the receiving scheme, subject to the standard payments	Date:
	ved after SIPP closed charge.	<u>25/05/11</u>

JHAY 0237 OCT10 65885, Mary LindaBuckley Page 4

Receiving Scheme Details

Please	comp	lete:

- Section A if you are accepting a transfer of uncrystallised funds.
- Section B if you are accepting a transfer of crystallised funds.
- Section C in addition to Section A and/or B if the member has requested an in-specie transfer

A1 Transfer of Uncrystallised Funds	(New Scheme Administrator to Complete)		
Member name MARY UNDA BUCKLEY	As the Administrator of the scheme (please tick the statement that applies):		
Your plan reference	☐ We confirm that our scheme is a registered pension scheme accepted under of Chapter 4 of the Finance Ac 2004.		
ame of scheme SPM SSAS	☐ A qualifying recognised overseas pension scheme (QROPS) as defined by HM Revenue & Customs under Chapter 4 of the Finance Act 2004 and has satisfied HM Revenue & Customs requirement in this respect*.		
SCN Number (required for transfers of Protected Rights) ESS29185B S60033389	PSTR: 00766536RS		
If the receiving scheme is a QROPS please enclose a copy of the letter from He scheme.	M Revenue & Customs confirming the recognised status of		
A2 Transfer Payment Details	(New Scheme Administrator to Complete)		
Electronic payment	Bank Name and Address		
Account Name	INVISTEC BANK		
SPM SSAS	London		
Payment Reference	Post Code EC2U 7Qf		
Account Number 48589501			
Receiving Scheme Details	(New Scheme Administrator to Gomple)		
We confirm that the above statements are true and that upon receipt and acceptance of the transfer value it will be applied to provide benefits for or in respect of the member under the receiving scheme.	Print Name		
We give James Hay permission to approach HM Revenue and Customs for confirmation that this scheme is either a registered pension scheme, or a QROPS that complies with HM Revenue & Customs requirements.	Address		
Signed			
	Post Code		
Date			

JHAY 0237 OCT10

65885, Mary LindaBuckley

Page 5

B1	Transfer of Crystallised Funds	(New Scheme Administrator to Complete)
Member	name	As the Administrator of the scheme (please tick the statement that applies):
Your plai	n reference	☐ We confirm that our scheme is a registered pension scheme accepted under of Chapter 4 of the Finance Act 2004 and is capable of accepting transfers of crystallised funds.
Name of		☐ A qualifying recognised overseas pension scheme (QROPS) as defined by HM Revenue & Customs under Chapter 4 of the Finance Act 2004 and has satisfied HM Revenue & Customs requirement in this respect and is also capable of accepting transfers of crystallised funds*.
ASCN N	umber (required for transfers of Protected Rights)	
* If the re	eceiving scheme is a QROPS please enclose a copy of the letter from HM Reme.	venue & Customs confirming the recognised status of
B2	Transfer Payment Details	(New Scheme Administrator to Complete)
Electroni	ic payment	Bank Name and Address
Account	Name	
Payment	t Reference	Post Code
Sort Cod	de Account Number	
В3	Receiving Scheme Declaration	(New Scheme Administrator to Complete)
and acce	irm that the above statements are true and that upon receipt eptance of the transfer value it will be applied to provide for or in respect of the member under the receiving scheme.	Print Name
for confi	James Hay permission to approach HM Revenue and Customs rmation that this scheme is either a registered pension scheme, OPS that complies with HM Revenue & Customs requirements.	Address
Signed		
		Post Code
Date		

C1 .	In Śpécie Transfer Details	(Scheme Administrator to Complete)
	•	
Please rev	riew the schedule of assets that is attached to this form (Section 3) and confirm the one of t	he following:
☐ I conf	irm that the receiving scheme can accept and hold all of the assets that are included in the	proposed transfer
□ * I con	firm that the receiving scheme cannot accept any of the assets listed on the attached sched	ule.
□ * I con	firm that the receiving scheme can accept and hold all of the assets other than those listed t	pelow:
	he receiving scheme be unable to accept some or all of the assets listed on the attact or their financial adviser to discuss alternative arrangements.	ned schedule, please contact the

JHAY 0237 OCT10 65885, Mary LindaBuckley Page 7

Ω.

Transfer Out

Please provide the new transferee holder's details on the attached table. Please make this as clear and concise as possible as any ambiguity will lead to potential delays in processing the transfer. Please copy this table should you require more space for these re-registration details.

·· ·

(New Scheme Administrator to Complete)

ount Designation(s)			d Address • If the assets ment Manager Account lan directly		oe instructed to act on provide details of your ransfer.	
2 nd Registered Holder / Account Transferee Address Number(s)			Registered Holder / Transferee Name and Address - If the assets currently held within the existing Investment Manager Account are to be held within your plan directly		*Please note separate solicitors must be instructed to act on behalf of the receiving scheme. Failure to provide details of your solicitors will delay the transfer.	
2 nd Registered Holder / Transferee Name			New Investment Manager Account Number		etails of the Solicitor ceiving Scheme*	Ā
Registered Holder / Transferee Address			New Investment Manager Address		Address and contact details of the Solicitor representing the Receiving Scheme*	
Registered Holder / Transferee Name			New investment Manager Name		Name of the Solicitor representing the Receiving Scheme*	
Description of Asset	Individual Holdings		Investment Manager Accounts		Property	