

Strictly Private & Confidential

Brad Davies
Pension Practitioner.com
Daws House
33-35 Daws Lane
London
NW7 4SD

26 May 2011

Dear Brad

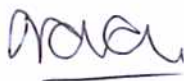
SPM SSAS

I take pleasure in enclosing the following paperwork:

- Completed DDM for quarterly fees to be taken by Pension Practitioner
- James Hay Transfer Out Requests to be completed by Pension Practitioner and sent to James Hay.

If you have any queries please do not hesitate to contact me.

Yours sincerely



Laura M Surtees
Sales Support Consultant

www.hwca.com

Northern Assurance Buildings, Albert Square, 9/21 Princess Street, Manchester, , M2 4DN

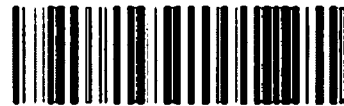
Telephone: 0161 832 6413 Fax: 0161 834 2230 Email: laura.surtees@hwfs.co.uk

Authorised and regulated by The Financial Services Authority
Registered Office: 6 Chesterfield Gardens, London, W1J 5BQ Registered in England & Wales, company no. 2030706

Transfer Out Request prepared for Leslie Buckley

Wrap SIPP (Protected Rights)

Prepared on 11 May 2011



JHAY0237

Guide to Completing this Form

Section 2 – 5 to be completed by the client

If the transfer is to a qualifying overseas pension scheme, please also complete Overseas Transfer supplement, available on request

Sections A, B and C to be completed by the receiving pension scheme

Please complete this form in BLOCK CAPITALS and black ink and return it to: James Hay, Dunn's House, St Paul's Road, Salisbury, SP2 7BF.

If you need any help to complete this form please do call us on 0844 809 4020

1

Personal Details

| | | |
|------------|---------------|---|
| Title: | Mr | Address 3 Badger Road Prestbury Macclesfield Post Code: SK10 4JG |
| Forenames: | Leslie | |
| Surname: | Buckley | |
| Member No: | 67407 | |
| NI Number: | YR 57 83 58 A | |

Funds to be Used

2

Uncrystallised Funds

Applicant to Complete

How much would you like to use?

Please tick one box

Full Uncrystallised Fund

Specified Amount*: ☐

*Please Specify Amount: £

Receiving Scheme Name: SPM SSAS

Address: c/o PENSION PRACTITIONER

DAWS HOUSE

33-35 DAWS LANE

LONDON

Post Code: NW7 4SD

☐ I understand that there may be a charge levied by the underlying Fund or Investment managers when selling or transferring my investments and I am happy to proceed with the transfer without James Hay seeking my approval to pay these charges.

Please indicate by ticking one of the following boxes, how your current Investments are to be dealt with:

For full transfers out:

- ☐ Please sell all my investments prior to transfer
- ☐ Please transfer all my investments in specie
- ☐ If your transfer is to be completed by both a cash and in specie transfer, please indicate which assets are to be sold and which are to be transferred by completing the below schedule*.

For partial transfers out

- ☐ Please indicate which assets are to be sold or transferred on the below schedule*.

*Please ensure the below schedule is completed if selecting these options.

| Description of Asset | Units/Shares Held | Current Value | ISIN Code | Amount to be disinvested or transferred in £ Sterling or % | In Specie | Disinvest |
|------------------------------------|-------------------|---------------|-----------|--|-----------|-----------|
| Individual Holdings | | | | | | |
| None | | | | | | |
| Cash Account | | £85,248.03 | | 100% | | |
| Investment Manager Accounts | | | | | | |
| None | | | | | | |
| Property | | | | | | |
| None | | | | | | |
| TOTAL | | £85,248.03 | | | | |

This statement is provided for information purposes only and is not to be construed under any circumstances as the final amounts available for transfer or on which benefits can be based

4

Cash Transfer

Applicant to Complete

If cash is to be transferred to your new pension scheme, please indicate how you want the cash balance to be transferred:



BACS (Usually takes four working days to reach the destination bank account)



CHAPS* (Same day payment to destination bank account)

If no option selected, payment will be made by BACS. Please note we do not issue cheques in respect of transfer payments to other pension schemes

*Please note there is a £25 charge, taken from your fund, for this payment method

5

Declaration

Applicant to Complete

I request and consent to the transfer set out in this application form.

I understand and agree that:

■ To the best of my knowledge and belief, the particulars given on this form are correct and complete.

■ It is an offence to make false statements and that the penalties are severe and could lead to prosecution

■ By signing this declaration, James Hay is authorised to release in respect of those rights details about the transfer from this pension scheme and the subsequent application of benefits to the receiving scheme.

■ If appropriate James Hay has my authority to check with HM Revenue and Customs the details of any certificate which I supply which enhances my lifetime allowance

■ I have read and understood the conditions of the transfer outlined in the fact sheet.

■ After plan closure, any accumulation units, dividends paid as shares or corporate events leading to a new asset holding, will be encashed and transferred to the receiving scheme, subject to the standard payments received after SIPP closed charge.

■ The payment I have requested discharges James Hay's liability in respect of the transferred funds

■ Any entitlement to benefits under this plan will cease in respect of any transferred rights and James Hay will be discharged from any obligation to provide benefits

LES

Signed



Date:

25/5/11

Receiving Scheme Details

Please complete:

- Section A if you are accepting a transfer of uncrystallised funds.
- Section B if you are accepting a transfer of crystallised funds.
- Section C in addition to Section A and/or B if the member has requested an in-specie transfer

A1

Transfer of Uncrystallised Funds

(New Scheme Administrator to Complete)

Member name

LESLIE BUCKLEY

As the Administrator of the scheme (please tick the statement that applies):

Your plan reference

☐ We confirm that our scheme is a registered pension scheme accepted under of Chapter 4 of the Finance Act 2004.

Name of scheme

SPM SSAS

☐ A qualifying recognised overseas pension scheme (QROPS) as defined by HM Revenue & Customs under Chapter 4 of the Finance Act 2004 and has satisfied HM Revenue & Customs requirement in this respect*.

ASCN Number (required for transfers of Protected Rights)

E3529185B 560033384

PSTR 00766536RS

* If the receiving scheme is a QROPS please enclose a copy of the letter from HM Revenue & Customs confirming the recognised status of the scheme.

A2

Transfer Payment Details

(New Scheme Administrator to Complete)

Electronic payment

Bank Name and Address

Account Name

SPM SSAS

INVESTEC BANK
LONDON

Payment Reference

Post Code EC2V7QP

Sort Code

086068

Account Number

48589501

A3

Receiving Scheme Details

(New Scheme Administrator to Complete)

PP
We confirm that the above statements are true and that upon receipt and acceptance of the transfer value it will be applied to provide benefits for or in respect of the member under the receiving scheme.

Print Name

We give James Hay permission to approach HM Revenue and Customs for confirmation that this scheme is either a registered pension scheme, or a QROPS that complies with HM Revenue & Customs requirements.

Address

Signed

Post Code

Date

11/10/10

B1.

Transfer of Crystallised Funds

(New Scheme Administrator to Complete)

Member name

As the Administrator of the scheme (please tick the statement that applies):

Your plan reference

☐ We confirm that our scheme is a registered pension scheme accepted under of Chapter 4 of the Finance Act 2004 and is capable of accepting transfers of crystallised funds.

Name of scheme

☐ A qualifying recognised overseas pension scheme (QROPS) as defined by HM Revenue & Customs under Chapter 4 of the Finance Act 2004 and has satisfied HM Revenue & Customs requirement in this respect and is also capable of accepting transfers of crystallised funds*.

ASCN Number (required for transfers of Protected Rights)

* If the receiving scheme is a QROPS please enclose a copy of the letter from HM Revenue & Customs confirming the recognised status of the scheme.

B2

Transfer Payment Details

(New Scheme Administrator to Complete)

Electronic payment

Bank Name and Address

Account Name

Investec

Payment Reference

Post Code

Sort Code

Account Number

B3

Receiving Scheme Declaration

(New Scheme Administrator to Complete)

We confirm that the above statements are true and that upon receipt and acceptance of the transfer value it will be applied to provide benefits for or in respect of the member under the receiving scheme.

Print Name

We give James Hay permission to approach HM Revenue and Customs for confirmation that this scheme is either a registered pension scheme, or a QROPS that complies with HM Revenue & Customs requirements.

Address

Signed

Post Code

Date

Please review the schedule of assets that is attached to this form (Section 3) and confirm the one of the following:

- ☐ I confirm that the receiving scheme can accept and hold all of the assets that are included in the proposed transfer
- ☐ * I confirm that the receiving scheme cannot accept any of the assets listed on the attached schedule.
- ☐ * I confirm that the receiving scheme can accept and hold all of the assets other than those listed below:

*Should the receiving scheme be unable to accept some or all of the assets listed on the attached schedule, please contact the member or their financial adviser to discuss alternative arrangements.

| | | |
|-----------|---------------------|---|
| C2 | Transfer Out | (New Scheme Administrator to Complete) |
|-----------|---------------------|---|

Please provide the new transferee holder's details on the attached table. Please make this as clear and concise as possible as any ambiguity will lead to potential delays in processing the transfer. Please copy this table should you require more space for these re-registration details.

| Description of Asset | Registered Holder / Transferee Name | Registered Holder / Transferee Address | 2 nd Registered Holder / Transferee Name | 2 nd Registered Holder / Transferee Address | Account Number(s) | Designation(s) |
|-----------------------------|--|---|---|--|-------------------|----------------|
| Individual Holdings | | | | | | |
| | | | | | | |
| | | | | | | |
| Investment Manager Accounts | New Investment Manager Name | New Investment Manager Address | New Investment Manager Account Number | Registered Holder / Transferee Name and Address - If the assets currently held within the existing Investment Manager Account are to be held within your plan directly | | |
| | | | | | | |
| Property | Name of the Solicitor representing the Receiving Scheme* | Address and contact details of the Solicitor representing the Receiving Scheme* | | *Please note separate solicitors must be instructed to act on behalf of the receiving scheme. Failure to provide details of your solicitors will delay the transfer. | | |
| | | | | | | |



Transfer Out Request prepared for Leslie Buckley

Wrap SIPP


HAY0237

Prepared on 11 May 2011

Guide to Completing this Form

Section 2 – 5 to be completed by the client

If the transfer is to a qualifying overseas pension scheme, please also complete Overseas Transfer supplement, available on request

Sections A, B and C to be completed by the receiving pension scheme

Please complete this form in BLOCK CAPITALS and black ink and return it to: James Hay, Dunn's House, St Paul's Road, Salisbury, SP2 7BF.

If you need any help to complete this form please do call us on 0844 809 4020

1

Personal Details

| | | |
|-------------|---------------|---|
| Title: | Mr | Address 3 Badger Road Prestbury Macclesfield Post Code: SK10 4JG |
| Forenames: | Leslie | |
| Surname: | Buckley | |
| Member No.: | 65883 | |
| NI Number: | YR 57 83 58 A | |

Funds to be Used

2

Uncrystallised Funds

Applicant to Complete

How much would you like to use?

Please tick one box

Full Uncrystallised Fund



Specified Amount*:



*Please Specify Amount: £

Receiving Scheme Name: SPM SSAS

Address: c/o Pension Practitioner

DAW'S HOUSE

33 DAW'S LANE

LONDON

Post Code: NW7 4SD

☒ I understand that there may be a charge levied by the underlying Fund or Investment managers when selling or transferring my investments and I am happy to proceed with the transfer without James Hay seeking my approval to pay these charges.

Please indicate by ticking one of the following boxes, how your current Investments are to be dealt with:

For full transfers out:

☐ Please sell all my investments prior to transfer

☐ Please transfer all my investments in specie

☒ If your transfer is to be completed by both a cash and in specie transfer, please indicate which assets are to be sold and which are to be transferred by completing the below schedule*.

For partial transfers out

☒ Please indicate which assets are to be sold or transferred on the below schedule*.

*Please ensure the below schedule is completed if selecting these options.

| Description of Asset | Units/Shares Held | Current Value | ISIN Code | Amount to be disinvested or transferred in £ Sterling or % | In Specie | Disinvest |
|--|-------------------|---------------|--------------|--|-----------------|-----------|
| Individual Holdings | | | | | | |
| Custodian: Pershing Keen Nominees Ltd | | | | | | |
| ETFS Com Securitie All Commodities DJ-AIGCI (GBP) STAYS | 11,600.000 | £122,612.00 | GB00B15KY989 | 100% CASH TO GO TO SPM SSAS | | |
| Investment Centre Units | | | | | | |
| Custodian: James Hay Pension Trustees Ltd | | | | | | |
| WRAP SIPP - Aberdeen - Emerging Markets A Acc | 10,925.860000 | £56,606.88 | GB0033228197 | | ✓ TO SIS RE REG | |
| WRAP SIPP - First State - Asia Pacific Leaders A Acc | 15,870.569000 | £57,054.70 | GB0033874214 | | ✓ TO SIS RE REG | |
| WRAP SIPP - First State - Latin America A Acc | 29,657.750000 | £59,463.79 | GB00B64TSD33 | | ✓ TO SIS RE REG | |
| WRAP SIPP - GLG - Japan CoreAlpha Acc STAYS. | 57,696.746000 | £47,253.63 | GB00B0119933 | | | |
| Cash Account | | £484,546.14 | | 100% | | |
| Investment Manager Accounts | | | | | | |
| None | | | | | | |
| Investment Manager Cash | | | | | | |
| Abbey Stockbrokers - Wrap SIPP | | | | | | |
| 0 | | | | | | |

4

Cash Transfer

Applicant to Complete

If cash is to be transferred to your new pension scheme, please indicate how you want the cash balance to be transferred:

- ☒ BACS (Usually takes four working days to reach the destination bank account)
- ☐ CHAPS* (Same day payment to destination bank account)

If no option selected, payment will be made by BACS. Please note we do not issue cheques in respect of transfer payments to other pension schemes

*Please note there is a £25 charge, taken from your fund, for this payment method

5

Declaration

Applicant to Complete

I request and consent to the transfer set out in this application form.

I understand and agree that:

■ To the best of my knowledge and belief, the particulars given on this form are correct and complete.

■ It is an offence to make false statements and that the penalties are severe and could lead to prosecution

■ By signing this declaration, James Hay is authorised to release in respect of those rights details about the transfer from this pension scheme and the subsequent application of benefits to the receiving scheme.

■ If appropriate James Hay has my authority to check with HM Revenue and Customs the details of any certificate which I supply which enhances my lifetime allowance

■ I have read and understood the conditions of the transfer outlined in the fact sheet.

■ The payment I have requested discharges James Hay's liability in respect of the transferred funds

■ Any entitlement to benefits under this plan will cease in respect of any transferred rights and James Hay will be discharged from any obligation to provide benefits

■ After plan closure, any accumulation units, dividends paid as shares or corporate events leading to a new asset holding, will be encashed and transferred to the receiving scheme, subject to the standard payments received after SIPP closed charge.

Signed



Date:

25/05/11

Receiving Scheme Details

Please complete:

- ☒ Section A if you are accepting a transfer of uncrystallised funds.
- ☒ Section B if you are accepting a transfer of crystallised funds.
- ☒ Section C in addition to Section A and/or B if the member has requested an in-specie transfer

A1

Transfer of Uncrystallised Funds

(New Scheme Administrator to Complete)

Member name

LESLIE BUCKLEY

Your plan reference

(20354764)

Name of scheme

SPM SSAS

ASCN Number (required for transfers of Protected Rights)

E3529185B S6003338Y

As the Administrator of the scheme (please tick the statement that applies):

☐ We confirm that our scheme is a registered pension scheme accepted under of Chapter 4 of the Finance Act 2004.

☐ A qualifying recognised overseas pension scheme (QROPS) as defined by HM Revenue & Customs under Chapter 4 of the Finance Act 2004 and has satisfied HM Revenue & Customs requirement in this respect*.

PSTL 00766536 RS

* If the receiving scheme is a QROPS please enclose a copy of the letter from HM Revenue & Customs confirming the recognised status of the scheme.

A2

Transfer Payment Details

(New Scheme Administrator to Complete)

Electronic payment

Account Name

SPM SSAS

Payment Reference

Bank Name and Address

INVESTEC
LONDON

Post Code EC2V 7QP

Sort Code

08 60 68

Account Number

48589501

A3

Receiving Scheme Details

(New Scheme Administrator to Complete)

We confirm that the above statements are true and that upon receipt and acceptance of the transfer value it will be applied to provide benefits for or in respect of the member under the receiving scheme.

We give James Hay permission to approach HM Revenue and Customs for confirmation that this scheme is either a registered pension scheme, or a QROPS that complies with HM Revenue & Customs requirements.

Signed

Print Name

GIAN / BAO

Address

Post Code

Date

B1

Transfer of Crystallised Funds

(New Scheme Administrator to Complete)

Member name

As the Administrator of the scheme (please tick the statement that applies):

Your plan reference

☐ We confirm that our scheme is a registered pension scheme accepted under of Chapter 4 of the Finance Act 2004 and is capable of accepting transfers of crystallised funds.

Name of scheme

☐ A qualifying recognised overseas pension scheme (QROPS) as defined by HM Revenue & Customs under Chapter 4 of the Finance Act 2004 and has satisfied HM Revenue & Customs requirement in this respect and is also capable of accepting transfers of crystallised funds*.

ASCN Number (required for transfers of Protected Rights)

* If the receiving scheme is a QROPS please enclose a copy of the letter from HM Revenue & Customs confirming the recognised status of the scheme.

B2

Transfer Payment Details

(New Scheme Administrator to Complete)

Electronic payment

Bank Name and Address

Account Name

Payment Reference

Post Code

Sort Code

Account Number

B3

Receiving Scheme Declaration

(New Scheme Administrator to Complete)

We confirm that the above statements are true and that upon receipt and acceptance of the transfer value it will be applied to provide benefits for or in respect of the member under the receiving scheme.

Print Name

We give James Hay permission to approach HM Revenue and Customs for confirmation that this scheme is either a registered pension scheme, or a QROPS that complies with HM Revenue & Customs requirements.

Address

Signed

Post Code

Date

| | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|
| | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|

Please review the schedule of assets that is attached to this form (Section 3) and confirm the one of the following:

- ☒ I confirm that the receiving scheme can accept and hold all of the assets that are included in the proposed transfer
- ☐ * I confirm that the receiving scheme cannot accept any of the assets listed on the attached schedule.
- ☐ * I confirm that the receiving scheme can accept and hold all of the assets other than those listed below:

*Should the receiving scheme be unable to accept some or all of the assets listed on the attached schedule, please contact the member or their financial adviser to discuss alternative arrangements.

| | |
|-----------|---|
| C2 | Transfer Out (New Scheme Administrator to Complete) |
|-----------|---|

Please provide the new transferee holder's details on the attached table. Please make this as clear and concise as possible as any ambiguity will lead to potential delays in processing the transfer. Please copy this table should you require more space for these re-registration details.

| Description of Asset | Registered Holder / Transferee Name | Registered Holder / Transferee Address | 2 nd Registered Holder / Transferee Name | 2 nd Registered Holder / Transferee Address | Account Number(s) | Designation(s) |
|-----------------------------|--|---|---|--|-------------------|----------------|
| Individual Holdings | | | | | | |
| | | | | | | |
| | | | | | | |
| Investment Manager Accounts | New Investment Manager Name | New Investment Manager Address | New Investment Manager Account Number | Registered Holder / Transferee Name and Address - If the assets currently held within the existing Investment Manager Account are to be held within your plan directly | | |
| | | | | | | |
| Property | Name of the Solicitor representing the Receiving Scheme* | Address and contact details of the Solicitor representing the Receiving Scheme* | | *Please note separate solicitors must be instructed to act on behalf of the receiving scheme. Failure to provide details of your solicitors will delay the transfer. | | |
| | | | | | | |

Transfer Out Request prepared for Mary Linda Buckley

Wrap SIPP

Prepared on 11 May 2011



JHAY0237

Guide to Completing this Form

Section 2 – 5 to be completed by the client

If the transfer is to a qualifying overseas pension scheme, please also complete Overseas Transfer supplement, available on request

Sections A, B and C to be completed by the receiving pension scheme

Please complete this form in BLOCK CAPITALS and black ink and return it to: James Hay, Dunn's House, St Paul's Road, Salisbury, SP2 7BF.

If you need any help to complete this form please do call us on 0844 809 4020

1

Personal Details

| | | |
|------------|---------------|---|
| Title: | Mrs | Address 3 BADGER ROAD PRESTBURY MACCLESFIELD Post Code: SK10 4JG |
| Forenames: | Mary Linda | |
| Surname: | Buckley | |
| Member No: | 65885 | |
| NI Number: | YL 76 04 64 B | |

Funds to be Used

2

Uncrystallised Funds

Applicant to Complete

How much would you like to use?

Please tick one box

Full Uncrystallised Fund ☐Specified Amount*: ☐

*Please Specify Amount: £

Receiving Scheme Name:

Address:

Post Code:

☐ I understand that there may be a charge levied by the underlying Fund or Investment managers when selling or transferring my investments and I am happy to proceed with the transfer without James Hay seeking my approval to pay these charges.

Please indicate by ticking one of the following boxes, how your current Investments are to be dealt with:

For full transfers out:

- ☐ Please sell all my investments prior to transfer
- ☐ Please transfer all my investments in specie
- ☐ If your transfer is to be completed by both a cash and in specie transfer, please indicate which assets are to be sold and which are to be transferred by completing the below schedule*.

For partial transfers out

- ☒ Please indicate which assets are to be sold or transferred on the below schedule*.

*Please ensure the below schedule is completed if selecting these options.

| Description of Asset | Units/Shares Held | Current Value | ISIN Code | Amount to be disinvested or transferred in £ Sterling or % | In Specie | Disinvest |
|---|-------------------|---------------|--------------|--|----------------|-----------|
| Individual Holdings | | | | | | |
| Custodian: Pershing Keen Nominees Ltd | | | | | | |
| ETFS Com Securitie All Commodities DJ-AIGCI (GBP) SM45. | 5,800.000 | £61,306.00 | GB00B15KY989 | 100% CASH TO | GO TO SPM SSAS | |
| Cash Account | | £232,236.15 | | 100% | | |
| Investment Manager Accounts | | | | | | |
| None | | | | | | |
| Investment Manager Cash | | | | | | |
| Abbey Stockbrokers - Wrap SIPP | | | | | | |
| 0 | | | | | | |
| Property | | | | | | |
| None | | | | | | |
| TOTAL | | £293,542.15 | | | | |

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4

Cash Transfer

Applicant to Complete

If cash is to be transferred to your new pension scheme, please indicate how you want the cash balance to be transferred:

If no option selected, payment will be made by BACS. Please note we do not issue cheques in respect of transfer payments to other pension schemes

- ☐ BACS (Usually takes four working days to reach the destination bank account)
- ☐ CHAPS* (Same day payment to destination bank account)

*Please note there is a £25 charge, taken from your fund, for this payment method

5

Declaration

Applicant to Complete

I request and consent to the transfer set out in this application form.

I understand and agree that:

■ To the best of my knowledge and belief, the particulars given on this form are correct and complete.

■ It is an offence to make false statements and that the penalties are severe and could lead to prosecution

■ By signing this declaration, James Hay is authorised to release in respect of those rights details about the transfer from this pension scheme and the subsequent application of benefits to the receiving scheme.

■ If appropriate James Hay has my authority to check with HM Revenue and Customs the details of any certificate which I supply which enhances my lifetime allowance

■ I have read and understood the conditions of the transfer outlined in the fact sheet.

■ The payment I have requested discharges James Hay's liability in respect of the transferred funds

■ Any entitlement to benefits under this plan will cease in respect of any transferred rights and James Hay will be discharged from any obligation to provide benefits

■ After plan closure, any accumulation units, dividends paid as shares or corporate events leading to a new asset holding, will be encashed and transferred to the receiving scheme, subject to the standard payments received after SIPP closed charge.

LINDA .

Signed

M. L. Buckley

Date:

25/05/11

Receiving Scheme Details

Please complete:

- Section A if you are accepting a transfer of uncrystallised funds.
- Section B if you are accepting a transfer of crystallised funds.
- Section C in addition to Section A and/or B if the member has requested an in-specie transfer

A1

Transfer of Uncrystallised Funds

(New Scheme Administrator to Complete)

Member name

MARY LINDA BUCKLEY

Your plan reference

(203548JH)

Name of scheme

SPM SSAS

ASCN Number (required for transfers of Protected Rights)

E3S29185B 56003338Y

As the Administrator of the scheme (please tick the statement that applies):

☐ We confirm that our scheme is a registered pension scheme accepted under of Chapter 4 of the Finance Act 2004.

☐ A qualifying recognised overseas pension scheme (QROPS) as defined by HM Revenue & Customs under Chapter 4 of the Finance Act 2004 and has satisfied HM Revenue & Customs requirement in this respect*.

PSTR: 00766536RS

* If the receiving scheme is a QROPS please enclose a copy of the letter from HM Revenue & Customs confirming the recognised status of the scheme.

A2

Transfer Payment Details

(New Scheme Administrator to Complete)

Electronic payment

Account Name

SPM SSAS

Payment Reference

Bank Name and Address

INVESTEC BANK
LONDON

Post Code EC2V 7QP

Sort Code

08 60 68

Account Number

48589501

A3

Receiving Scheme Details

(New Scheme Administrator to Complete)

We confirm that the above statements are true and that upon receipt and acceptance of the transfer value it will be applied to provide benefits for or in respect of the member under the receiving scheme.

Print Name

We give James Hay permission to approach HM Revenue and Customs for confirmation that this scheme is either a registered pension scheme, or a QROPS that complies with HM Revenue & Customs requirements.

Address

Signed

Post Code

Date

B1**Transfer of Crystallised Funds****(New Scheme Administrator to Complete)**

Member name

As the Administrator of the scheme (please tick the statement that applies):

Your plan reference

☐ We confirm that our scheme is a registered pension scheme accepted under of Chapter 4 of the Finance Act 2004 and is capable of accepting transfers of crystallised funds.

Name of scheme

☐ A qualifying recognised overseas pension scheme (QROPS) as defined by HM Revenue & Customs under Chapter 4 of the Finance Act 2004 and has satisfied HM Revenue & Customs requirement in this respect and is also capable of accepting transfers of crystallised funds*.

ASCN Number (required for transfers of Protected Rights)

* If the receiving scheme is a QROPS please enclose a copy of the letter from HM Revenue & Customs confirming the recognised status of the scheme.

B2**Transfer Payment Details****(New Scheme Administrator to Complete)**

Electronic payment

Bank Name and Address

Account Name

Payment Reference

Post Code

Sort Code

Account Number

B3**Receiving Scheme Declaration****(New Scheme Administrator to Complete)**

We confirm that the above statements are true and that upon receipt and acceptance of the transfer value it will be applied to provide benefits for or in respect of the member under the receiving scheme.

Print Name

We give James Hay permission to approach HM Revenue and Customs for confirmation that this scheme is either a registered pension scheme, or a QROPS that complies with HM Revenue & Customs requirements.

Address

Signed

Post Code

Date

Please review the schedule of assets that is attached to this form (Section 3) and confirm the one of the following:

- ☐ I confirm that the receiving scheme can accept and hold all of the assets that are included in the proposed transfer
- ☐ * I confirm that the receiving scheme cannot accept any of the assets listed on the attached schedule.
- ☐ * I confirm that the receiving scheme can accept and hold all of the assets other than those listed below:

*Should the receiving scheme be unable to accept some or all of the assets listed on the attached schedule, please contact the member or their financial adviser to discuss alternative arrangements.

| | | |
|-----------|---------------------|---|
| C2 | Transfer Out | (New Scheme Administrator to Complete) |
|-----------|---------------------|---|

Please provide the new transferee holder's details on the attached table. Please make this as clear and concise as possible as any ambiguity will lead to potential delays in processing the transfer. Please copy this table should you require more space for these re-registration details.

| Description of Asset | Registered Holder / Transferee Name | Registered Holder / Transferee Address | 2 nd Registered Holder / Transferee Name | 2 nd Registered Holder / Transferee Address | Account Number(s) | Designation(s) |
|-----------------------------|--|---|---|--|-------------------|----------------|
| Individual Holdings | | | | | | |
| | | | | | | |
| | | | | | | |
| Investment Manager Accounts | New Investment Manager Name | New Investment Manager Address | New Investment Manager Account Number | Registered Holder / Transferee Name and Address - If the assets currently held within the existing Investment Manager Account are to be held within your plan directly | | |
| | | | | | | |
| Property | Name of the Solicitor representing the Receiving Scheme* | Address and contact details of the Solicitor representing the Receiving Scheme* | | *Please note separate solicitors must be instructed to act on behalf of the receiving scheme. Failure to provide details of your solicitors will delay the transfer. | | |
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