

IB-UK
Interactive Brokers (U.K.) Ltd.
1 Carey Lane
Fifth Floor
London EC2V 8AE, UK



Nov 28, 2023

The P T Dolan Retirement Benefit Scheme
Venture Wales
Pentrbach, GB-MTY. CF48 4DR
United Kingdom

Welcome to Interactive Brokers!

Dear The P T Dolan Retirement Benefit Scheme,

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Your application for an Interactive Brokers account to be managed by your independent financial advisor, Kapwealth Ltd, was approved. If you have not already done so, please fund the account so your advisor may begin actively managing your account. As outlined in your client agreement, Kapwealth Ltd will direct all trading and investment activity in your account and, unless you specify otherwise, IBKR will deduct any advisory fees directly from your account on their behalf.

We would like to take this opportunity to provide information to help you get started:

Account Information

Our records show the following information of your account:

Account Name:	The P T Dolan Retirement Benefit Scheme
Address:	Venture Wales Pentrbach, GB-MTY. CF48 4DR
Phone Number:	+447435360633
Advisor Name:	Kapwealth Ltd
Advisor Address:	26/27 Van Alloys Business Park Henley-On-Thames, GB-OXF, United Kingdom, RG9 5QW
Your Investment Objectives:	Preservation, Income, Growth, Hedging
Your Est. Annual Income:	USD 122,000 - 183,000
Your Est. Net Worth:	USD 733,000 - 3,670,000
Your Est. Liquid Net Worth:	USD 733,000 - 3,670,000
Employment Status:	
Occupation:	

Explanation of Investment Objectives

Preservation of Capital:	Objective is to seek maximum safety and stability for your principal by focusing on securities and investment that carry a low degree of risk.
Income:	Objective is to generate dividend, interest or other income instead of, or in addition to, seeking long-term capital appreciation.
Growth:	Objective is to increase the principal value of your investments over time rather than seeking current income. Investor assumes higher degree of risk.
Trading Profits:	Objective is to substantially increase the principal value of your investments by assuming substantially higher risk to your investment capital.
Hedging:	Objective is to take positions in product in order to hedge or offset the risk in another product.

USD stocks	USD	Stocks	3.65	150.0	PM		no
USD options	USD	Contracts	4.0	150.0	PM		no
USD futures	USD	Contracts	0.0	150.0	PM		no
USD options on futures	USD	Contracts	0.0	150.0	PM		no
AUD futures	AUD	Contracts	0.0	150.0	PM		no
EUR stocks (percent value)	EUR	Value	3.75	150.0	PM		no
EUR options	EUR	Contracts	3.36	150.0	PM		no
EUR futures	EUR	Contracts	0.0	150.0	PM		no
EUR options on futures	EUR	Contracts	0.0	150.0	PM		no
HKD options	HKD	Contracts	30.52	150.0	PM		no
HKD futures	HKD	Contracts	0.0	150.0	PM		no
CHF stocks	CHF	Stocks	4.0	150.0	PM		no
CHF options	CHF	Contracts	4.53	150.0	PM		no
CHF futures	CHF	Contracts	0.0	150.0	PM		no
GBP stocks	GBP	Stocks	4.0	150.0	PM		no
GBP options	GBP	Contracts	3.06	150.0	PM		no
GBP futures	GBP	Contracts	0.0	150.0	PM		no
AUD options on futures	AUD		0.0	150.0	PM		no
CAD futures	CAD		0.0	150.0	PM		no
CAD options	CAD		5.24	150.0	PM		no
CAD stocks	CAD		7.0	150.0	PM		no
GBP options on futures	GBP		0.0	150.0	PM		no
JPY futures	JPY		0.0	150.0	PM		no
JPY options	JPY		416.0	150.0	PM		no
AUD forex	AUD		4.15	200.0	PM		no
CAD forex	CAD		3.95	200.0	PM		no
CHF forex	CHF		2.73	200.0	PM		no
EUR forex	EUR		2.5	200.0	PM		no
GBP forex	GBP		2.3	200.0	PM		no
HKD forex	HKD		22.0	200.0	PM		no
JPY forex	JPY		300.0	200.0	PM		no
USD forex	USD		3.0	200.0	PM		no
USD bonds	USD		4.0	150.0	PM		no
South Korean Options	KRW		4653.0	150.0	PM		no
South Korean Futures	KRW		0.0	150.0	PM		no
Swedish Stocks	SEK		45.0	150.0	PM		no
Japanese Stocks	JPY		580.0	150.0	PM		no
Hong Kong Stocks	HKD		37.0	150.0	PM		no
JPY options on futures	JPY		0.0	150.0	PM		no
HKD options on futures	HKD		0.0	150.0	PM		no
CHF options on futures	CHF		0.0	150.0	PM		no
CAD options on futures	CAD		0.0	150.0	PM		no
KRW options on futures	KRW		0.0	150.0	PM		no
SGD stocks	SGD		1.5	150.0	PM		no
USD mutual funds	USD		5.0	0.0	FM		no
RUB forex	RUB		200.0	200.0	PM		no
CNH forex	CNH		20.0	200.0	PM		no
NZD forex	NZD		4.5	200.0	PM		no
NOK forex	NOK		27.0	200.0	PM		no
SGD options on futures	SGD		0.0	150.0	PM		no
USD gold	USD		3.0	150.0	PM		no
JPY contracts for difference	JPY		560.0	150.0	PM		no
CZK contracts for difference	CZK		80.0	150.0	PM		no
DKK contracts for difference	DKK		0.0	150.0	PM		no
NOK options	NOK		36.53	150.0	PM		no
NOK futures	NOK		0.0	150.0	PM		no
HUF forex	HUF		900.0	200.0	PM		no
AUD stocks	AUD		3.5	150.0	PM		no
SEK options	SEK		35.19	150.0	PM		no

Please carefully review the investment objectives and other information listed above for your account and notify the Interactive Brokers Client Service Department (see "Client Service" below) if anything is incorrect or if anything changes.

Interactive Brokers Will Not Supervise Your Advisor's Trading Decisions

The financial advisor that you have appointed to manage your account is not employed by or affiliated with Interactive Brokers. Interactive Brokers is not responsible for and will not supervise trading and investment decisions made by your advisor.

You signed or acknowledged the Interactive Brokers Customer Agreement, Legal Acknowledgements, and other agreements and disclosures when you opened your Interactive Brokers account. If you would like copies of these agreements and disclosures, please contact Interactive Brokers Client Service or click the [Forms and Disclosures](#) link in the footer of the IBKR Website.

Managing Your Account

Interactive Brokers provides clients with a secure web-based interface named Client Portal. Client Portal is where you can view and print statements, initiate deposits and withdrawals, update your personal information and communicate with IBKR. You can access [Client Portal](#) through the LOG IN button located in the upper right hand corner of our website (www.interactivebrokers.co.uk) and by entering your user name, **ptdolanssas**, and password. For those applications which have not been completed electronically, your password has been sent separately.

It is important that you have your own username and password to access your account through the IBKR Client Portal (described below). We recommend that you log into the IBKR Client Portal frequently to view your statements, check balances and monitor activity in your account even though you are using a financial advisor to trade for you. Please contact IBKR at the numbers below if you have any trouble with your login credentials or have any questions about your account.

Please do not share your user name and password with anyone, including your financial advisor. Your advisor maintains a version of Client Portal distinct from yours, which is designed to limit access to your account information.

Funds Management

Our goal is to provide a broad range of cost-effective and time sensitive methods for transferring funds to and from your IBKR account. All transfer requests, including deposits initiated by you through your bank (e.g. wire transfers, checks), need to be accompanied by a notification to IBKR that you provide via Client Portal. These notifications ensure the timely matching and crediting of funds to your account upon arrival. You can review transaction history, initiate transfers and complete notifications via the Transfer & Pay menu in Client Portal.

Statements

IBKR will make all trade confirmations (including intra-day trade confirmations) and account statements for your account available to you through the Client Portal (your financial advisor will also have access to this information). You may view and print these documents at any time via the Reports menu after logging into Client Portal. IBKR will also send a quarterly account summary by postal mail to your residence on file. It is therefore important that you keep your address information current via the Head and Shoulders icon>Account Settings>Profile menu item in Client Portal.

Client Service

IBKR maintains Client Service Centers in multiple countries and provides virtually continuous coverage in the event you require assistance or have a question. You may direct your inquiry via telephone via our European toll free number, 00800-42-276537, or direct dial number, +41-41-726-9500 or by logging in to [Client Portal](#) and accessing the Support menu to initiate an on-line chat or submit your inquiry via an Inquiry Ticket. A complete listing of Client Service Center locations, along with contact information and hours of operation, is available through the [Support](#) menu on our website.

Advisor Fees:

IB Calculated Fee automatically billed to the client's account with blanket client authorization

Commission schedule as defined below:

Exchange	Currency	Unit	Min	Amount	Type	Break Schedule	Pass Thru Fees
SGD forex	SGD		4.0	200.0	PM		no
MXN forex	MXN		65.0	200.0	PM		no
ILS forex	ILS		10.0	200.0	PM		no
Shanghai-Hong Kong Connect Stocks	CNH		0.0	150.0	PM		no
EUR mutual funds	EUR		5.0	150.0	PM		no
SEK contracts for difference	SEK		16.0	150.0	PM		no
NOK contracts for difference	NOK		16.0	150.0	PM		no
EUR bonds	EUR		2.0	150.0	PM		no
NOK stocks	NOK		45.0	150.0	PM		no
AUD contracts for difference	AUD		3.5	150.0	PM		no
DKK forex	DKK		18.0	200.0	PM		no