

Protection of Existing Rights

You should use this form to notify an intention to rely on Paragraph 7 Schedule 36 Finance Act 2004 (Primary protection)	2 Which type of protection are you notifying?
or Paragraph 12 Schedule 36 Finance Act 2004 (Enhanced	tick one or both boxes
protection). It must be received by HM Revenue & Customs on or before 5 April 2009.	2.1 Enhanced protection Complete Part 3 (see note at 2.1)
Please write in capital letters using blue or black ink, do not leave any boxes blank. If they do not apply, please enter N/A.	2.2 Primary protection Complete Part 4
1 Your details	3 Enhanced protection
1.1 Name	3.1 Have you been an 'active' member of a registered scheme at any time after 5 April 2006?
Title	(see note 3.1)
MR	Yes You have no basis for enhanced protection. Do not complete this form unless you are notifying
First name(s)	primary protection, in which case go to Part 4
MADHOC	No Go to Q3.2
Surname	3.2 Did you have pension rights that had not yet come into
MEHTA	payment in a pension scheme funded or partly funded by an employer on 5 April 2006? (See note 3.2)
1.2 National Insurance number if known	Yes Go to Q3.3
Y, H 6, 5 1, 0 6, 8 C	No <i>Go to Q3.5</i>
1.3 Unique Taxpayer Reference (UTR)	
	3.3 Were the pension rights for the employment (or for each employment if more than one employment) within the maximum value permitted? (See note 3.3)
1.4 Date of birth	
21 / 10 / 35	✓ Yes Go to Q3.5
	No Go to Q3.4
1.5 Address	
16 HEATHGATE	3.4 Have you surrendered your 'excess rights'? (See note 3.4)
LONDON	Yes Go to Ω3.5
	No You have no basis for enhanced protection. Do not
Postcode NWII 7AN	complete this form unless you are notifying primary protection, in which case go to Part 4
Country ENGLAND	3.5 Did you have lump sum rights exceeding £375,000 on
	5 April 2006? (See note 3.5)
1.6 Is this an amendment to an existing notification?	Ves Go to 03.6

APSS 200

✓ No

Enter the certificate reference number

11405 03 /05

Go to Part 4 if you are also notifying primary

protection, otherwise go to Part 5

had not come into payment
£763,620
3.7 Value of your pension rights (including lump sum rights) on 5 April 2006 that had not come into payment
£4,721,877
Complete Part 4 if you are also notifying primary protection, otherwise go to Part 5
4 Primary protection
4.1 Value of your pension rights on 5 April 2006 that had not come into payment (see note 4.1)
a. Schemes funded or partly funded by an employer
£ 4,721,877
Were the pension rights for the employment (or for each employment if more than one employment) within the maximum value permitted? Yes
Tes Tes
No
b. Personal Pension and Retirement Annuity contracts
£ NIL
4.2 Value of your pension rights in payment on 5 April 2006
£ NIL
4.3 Did you have lump sum rights exceeding £375,000 on 5 April 2006? (See note 4.3)
Yes Go to Q4.4
No Go to Q4.5
4.4 Value of your lump sum rights on 5 April 2006 that had not come into payment
£ 763,620
4.5 Are the pension rights that have not yet come into payment on 5 April 2006 money purchase rights, valued by underpinning assets where you were able to influence the investments? (See note 4.5)
Yes Go to Q4.6
No Go to Part 5

3.6 Value of your lump sum rights on 5 April 2006 that

4.6 Value of the investments at 5 April 2006

If you answered 'yes' at Q4.5, you should provide the value of investments in the following types of assets.

Property or interest in land (land includes property)

£ 756,470

Unquoted shares

E NIL

Choses in action (see note 4.6)

E NIL

Cash (includes cash on deposit or in a current account)

£ 3,965,407

Loans

E NIL

Works of art

£ NIL

Other

£ NIL

Go to Part 5

5 Declaration (tick both boxes)	
I declare that:	
to the best of my knowledge and belief the information given on this notification is correct and complete	
I understand that if I have made a false statement on this notification I may be liable to a penalty, and that false statements may also lead to prosecution.	
Signature	
M. Mehta	
Date	
17/10 / 2007	
If you are signing for someone else please read note 5 and complete the following details. Enter the capacity in which you are signing	
Your name	
Your address	
Postcode	
Country	

V