



ST. JAMES'S PLACE
WEALTH MANAGEMENT

LLP SCHEME
TRANSCHE 3

Investment and Client Details

FOR TRUSTEE INVESTMENTS INTO A ST. JAMES'S PLACE
TRUSTEE INVESTMENT ACCOUNT



RETIRED INVESTMENT PLANNING

INVESTMENT AND CLIENT DETAILS FOR TRUSTEE INVESTMENTS INTO A ST. JAMES'S PLACE TRUSTEE INVESTMENT ACCOUNT

Important Notes

Please ensure the following answers are true and complete. Failure to disclose material facts (i.e. facts which would be likely to influence St. James's Place UK plc's assessment or acceptance of this application) may lead to cancellation of the contract. If you are in any doubt as to whether facts are material, full details should be provided. It is a serious offence to give false information and to do so could lead to prosecution.

Please complete all sections including the declaration in Section H unless otherwise indicated.

3. The Scheme Administrator's address in Section B will be used for correspondence until we are notified in writing of any change in address.

Fund Information

Please refer to *A guide to understanding the balance between risk and reward including the St. James's Place Portfolios and funds* for information about the specific risks of individual funds.

If you are invested in a fund that holds property (for example land or buildings) or other assets that can be difficult to sell (for example private equity or private credit), we may delay certain transactions for up to six months. These transactions include requests to transfer, cash in, make a withdrawal from or switch funds in your plan. If we delay a transaction, the prices used when the transaction takes place will be those effective at the time that we allow the transaction. By investing in such a fund, you accept this amendment to your Terms and Conditions.

General Information

1. Copies of the Terms and Conditions and this completed form are available on request.
2. Completion of the Direct Debit Instruction does NOT imply commencement of the contract. St. James's Place UK plc's Letter of Acceptance will indicate when the contract will commence.

PLEASE COMPLETE IN BLOCK CAPITALS AND BLACK INK AND TICK (✓) OR COMPLETE ANSWERS AS APPROPRIATE

A. Member Details

If the benefits of this Account are earmarked for an individual, please complete this section; otherwise proceed to Section B.

Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms <input type="checkbox"/> Other <input type="text"/>	Date of Birth	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Surname <input type="text"/>		DAY MONTH YEAR
Full Forename(s) <input type="text"/>	Sex	Male <input type="checkbox"/> Female <input type="checkbox"/>
Marital Status <input type="text"/>	National Insurance No.	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Permanent Residential Address	Anticipated Retirement Age	<input type="text"/>
<input type="text"/>	If this form is being submitted on behalf of a non-UK national who does not have a National Insurance number or a child under the age of 16, the box above should remain blank.	
<input type="text"/>	Is the member an existing St. James's Place client?	Yes <input type="checkbox"/> No <input type="checkbox"/>
<input type="text"/>	If 'Yes', what is their Plan/Account No?	<input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/> <input type="text"/>
Postcode <input type="text"/>		

B. Scheme Administrator DetailsRegistered Scheme Name LLL TRUSTEE SCHEME

If a SSAS or Defined Benefit Occupational Scheme

Principal Employer's Name LLL SERVICES LIMITED

Scheme Administrator Contact Details

Contact Name Mr ☐ Mrs ☒ Miss ☐ Ms ☐ Other ☐Surname MARTINFull Forename(s) GEORGINAName of Administrator REGISTERED SCHEME ADMINISTRATION LTD

Administrator Address

VENTURE WALES BUILDING, PENTREBACH, MERTHYR
WALES Postcode CF 48 4DRExisting TIA Number ☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐☐Daytime Tel. No. 0121 769 0041 Email Address Administrator Client Reference

Type of scheme to which investment is attached:

SSAS ☒ SIPP ☐ Defined Benefit Occupational Scheme ☐ Other ☐PSTR Ref. No. 0081798 DRN

As a consequence of this application, will the client be cancelling, lapsing, surrendering or part-surrendering any existing investment contract (life, endowment, pensions or unit trusts)?

Yes ☐ No ☐**C. Contributions**Amount of single contribution £ 415,000-Please indicate how payment will be made Cheque (enclosed) ☐ Bank Transfer ☒

Cheques must be made payable to 'St. James's Place'.

Authorisation for payment: If you decide to invest by making a bank transfer, please complete the details below.

Name and address of the bank the money is being transferred from:

Name of Bank METRO BANKAddress of Bank Postcode Account Name LLL PENSION SCHEMEName of Scheme LLL TRUSTEE SCHEMEAccount Number 46601475 Sort Code 23-05-80Unique Number obtained from your St. James's Place Partner Date money will be sent to St. James's Place

DAY MONTH YEAR

St. James's Place Bank details for receipt of transfers in sterling

Account Name: St. James's Place UK plc Receipts Account IBAN: GB26BARC20134250642371
Sort Code: 20-13-42 Bank Branch: Barclays, Leicester LE87 2BB
Account Number: 50642371

C. Contributions continued...Amount of regular contribution £ Frequency of regular contributions Monthly ☐ Quarterly ☐ Half-Yearly ☐ Annually ☐

Commencement date

(If monthly, contributions will be due on the same day of each month as the commencement date.)

DAY	MONTH	YEAR

 (Not 29th, 30th or 31st)
D. Trustee Details

Have individual trustees been appointed?

Yes ☒ No ☐

If 'Yes', please provide names and addresses:

First Trustee Surname

First Trustee Forenames

Permanent Residential Address

 Postcode

Second Trustee Surname

Second Trustee Forenames

Permanent Residential Address

 Postcode

Third Trustee Surname

Third Trustee Forenames

Permanent Residential Address

 Postcode

Fourth Trustee Surname

Fourth Trustee Forenames

Permanent Residential Address

 Postcode
Professional/Corporate Trustees

Name of Professional Trustee

Name of Corporate Trustee

Address of Professional/Corporate Trustee

 Postcode

PLEASE PROVIDE A COPY OF THE TRUST DEED AND SIGNATURE LISTS.

For SIPP and SSAS Investments

Do you authorise St. James's Place to accept switch requests from members directly?

Yes ☐ No ☒

Would you like a copy of the correspondence to be sent to the scheme member?

Yes ☐ No ☒

Please select one or more Portfolio(s) or enter the percentage of your initial investment to be allocated to each fund in the relevant table.

[illegible]

	DISTRIBUTION	NON-DISTRIBUTION
Conservative Portfolio	%	%
Balanced Portfolio	%	%
Managed Funds Portfolio	%	%

DISTRIBUTION	NON-DISTRIBUTION
<input type="text"/> %	<input type="text"/> %
<input type="text"/> %	<input type="text"/> %

InREIREMENT RANGE	DISTRIBUTION	NON-DISTRIBUTION
Prudence InRetirement		%
Balance InRetirement		%

	DISTRIBUTION	NON-DISTRIBUTION
Growth In Retirement		%

Polaris RANGE	DISTRIBUTION	NON-DISTRIBUTION
1		%
2		%

	DISTRIBUTION	NON-DISTRIBUTION
3		%
4		%

INDIVIDUAL FUNDS	DISTRIBUTION	NON-DISTRIBUTION
Asia Pacific	%	%
Balanced Managed	%	%
Continental European	%	%
Corporate Bond	%	%
Diversified Assets (FAIF)*		%
Diversified Bond	%	%
Emerging Markets Equity	%	%
Gilts	%	%
Global Absolute Return	%	%
Global Emerging Markets	%	%
Global Equity	%	%
Global Growth	%	%
Global High Yield Bond	%	%
Global Managed	%	%
Global Quality	%	%
Global Smaller Companies	%	%
Global Value	%	%

	DISTRIBUTION	NON-DISTRIBUTION
Greater European	%	%
Index Linked Gilts	%	%
International Equity	%	%
Investment Grade Corporate Bond	%	%
Japan	%	%
Managed Growth	%	%
Money Market	%	%
North American	%	%
Property*	%	%
Strategic Income	%	%
Strategic Managed	%	%
Sustainable & Responsible Equity	%	%
UK	%	%
UK Equity Income	%	%
Worldwide Income	%	%
TOTAL	100	%

*Please see 'Fund Information' section.

E. Investment Details continued...**SINGLE CONTRIBUTION**

	DISTRIBUTION	NON-DISTRIBUTION		DISTRIBUTION	NON-DISTRIBUTION
Conservative Portfolio	<input type="text" value=""/>	<input type="text" value=""/>	Strategic Growth Portfolio	<input type="text" value=""/>	<input type="text" value=""/>
Balanced Portfolio	<input type="text" value=""/>	<input type="text" value=""/>	Adventurous Portfolio	<input type="text" value=""/>	<input type="text" value=""/>
Managed Funds Portfolio	<input type="text" value=""/>	<input type="text" value=""/>			

If you have not selected a Portfolio above, please complete your fund selection in the table below.

Please note: Values can be specified to two decimal places. The total of each investment must equal 100%.

InRETIREMENT RANGE	DISTRIBUTION	NON-DISTRIBUTION		DISTRIBUTION	NON-DISTRIBUTION
Prudence InRetirement	<input type="text" value=""/>	<input type="text" value=""/>	Growth InRetirement	<input type="text" value=""/>	<input type="text" value=""/>
Balance InRetirement	<input type="text" value=""/>	<input type="text" value=""/>			

<u>Polaris RANGE</u>	DISTRIBUTION	NON-DISTRIBUTION		DISTRIBUTION	NON-DISTRIBUTION
1	<input type="text" value=""/>	<input type="text" value=""/>	3	<input type="text" value=""/>	<input type="text" value=""/>
2	<input type="text" value=""/>	<input type="text" value=""/>	4	<input type="text" value=""/>	<input type="text" value="100"/>

INDIVIDUAL FUNDS	DISTRIBUTION	NON-DISTRIBUTION		DISTRIBUTION	NON-DISTRIBUTION
Asia Pacific	<input type="text" value=""/>	<input type="text" value=""/>	Greater European	<input type="text" value=""/>	<input type="text" value=""/>
Balanced Managed	<input type="text" value=""/>	<input type="text" value=""/>	Index Linked Gilts	<input type="text" value=""/>	<input type="text" value=""/>
Continental European	<input type="text" value=""/>	<input type="text" value=""/>	International Equity	<input type="text" value=""/>	<input type="text" value=""/>
Corporate Bond	<input type="text" value=""/>	<input type="text" value=""/>	Investment Grade Corporate Bond	<input type="text" value=""/>	<input type="text" value=""/>
Diversified Assets (FAIF)*	<input type="text" value=""/>	<input type="text" value=""/>	Japan	<input type="text" value=""/>	<input type="text" value=""/>
Diversified Bond	<input type="text" value=""/>	<input type="text" value=""/>	Managed Growth	<input type="text" value=""/>	<input type="text" value=""/>
Emerging Markets Equity	<input type="text" value=""/>	<input type="text" value=""/>	Money Market	<input type="text" value=""/>	<input type="text" value=""/>
Gilts	<input type="text" value=""/>	<input type="text" value=""/>	North American	<input type="text" value=""/>	<input type="text" value=""/>
Global Absolute Return	<input type="text" value=""/>	<input type="text" value=""/>	Property*	<input type="text" value=""/>	<input type="text" value=""/>
Global Emerging Markets	<input type="text" value=""/>	<input type="text" value=""/>	Strategic Income	<input type="text" value=""/>	<input type="text" value=""/>
Global Equity	<input type="text" value=""/>	<input type="text" value=""/>	Strategic Managed	<input type="text" value=""/>	<input type="text" value=""/>
Global Growth	<input type="text" value=""/>	<input type="text" value=""/>	Sustainable & Responsible Equity	<input type="text" value=""/>	<input type="text" value=""/>
Global High Yield Bond	<input type="text" value=""/>	<input type="text" value=""/>	UK	<input type="text" value=""/>	<input type="text" value=""/>
Global Managed	<input type="text" value=""/>	<input type="text" value=""/>	UK Equity Income	<input type="text" value=""/>	<input type="text" value=""/>
Global Quality	<input type="text" value=""/>	<input type="text" value=""/>	Worldwide Income	<input type="text" value=""/>	<input type="text" value=""/>
Global Smaller Companies	<input type="text" value=""/>	<input type="text" value=""/>	TOTAL	<input type="text" value="100"/>	<input type="text" value=""/>
Global Value	<input type="text" value=""/>	<input type="text" value=""/>			

*Please see 'Fund Information' section.

F. Withdrawals

Do you wish to take any regular income from this Trustee Investment Account

Yes

☐

No

☒

If 'Yes', Gross Annual Amount

£

This will be paid in addition to any distributions

☐

(A) by cashing in an equal proportion of units in each fund

OR

☐

(B) in the percentage split across funds shown on the following page. This should total 100%.

InRETIREMENT RANGE	DISTRIBUTION	NON-DISTRIBUTION		DISTRIBUTION	NON-DISTRIBUTION
Prudence InRetirement	<input type="text"/>	<input type="text"/> %	Growth InRetirement	<input type="text"/>	<input type="text"/> %
Balance InRetirement	<input type="text"/>	<input type="text"/> %			
Polaris RANGE					
1	<input type="text"/>	<input type="text"/> %	3	<input type="text"/>	<input type="text"/> %
2	<input type="text"/>	<input type="text"/> %	4	<input type="text"/>	<input type="text"/> %
INDIVIDUAL FUNDS					
Asia Pacific	<input type="text"/> %	<input type="text"/> %	Greater European	<input type="text"/> %	<input type="text"/> %
Balanced Managed	<input type="text"/> %	<input type="text"/> %	Index Linked Gilts	<input type="text"/> %	<input type="text"/> %
Continental European	<input type="text"/> %	<input type="text"/> %	International Equity	<input type="text"/> %	<input type="text"/> %
Corporate Bond	<input type="text"/> %	<input type="text"/> %	Investment Grade Corporate Bond	<input type="text"/> %	<input type="text"/> %
Diversified Assets (FAIF)*	<input type="text"/> %	<input type="text"/> %	Japan	<input type="text"/> %	<input type="text"/> %
Diversified Bond	<input type="text"/> %	<input type="text"/> %	Managed Growth	<input type="text"/> %	<input type="text"/> %
Emerging Markets Equity	<input type="text"/> %	<input type="text"/> %	Money Market	<input type="text"/> %	<input type="text"/> %
Gilts	<input type="text"/> %	<input type="text"/> %	North American	<input type="text"/> %	<input type="text"/> %
Global Absolute Return	<input type="text"/> %	<input type="text"/> %	Property*	<input type="text"/> %	<input type="text"/> %
Global Emerging Markets	<input type="text"/> %	<input type="text"/> %	Strategic Income	<input type="text"/> %	<input type="text"/> %
Global Equity	<input type="text"/> %	<input type="text"/> %	Strategic Managed	<input type="text"/> %	<input type="text"/> %
Global Growth	<input type="text"/> %	<input type="text"/> %	Sustainable & Responsible Equity	<input type="text"/> %	<input type="text"/> %
Global High Yield Bond	<input type="text"/> %	<input type="text"/> %	UK	<input type="text"/> %	<input type="text"/> %
Global Managed	<input type="text"/> %	<input type="text"/> %	UK Equity Income	<input type="text"/> %	<input type="text"/> %
Global Quality	<input type="text"/> %	<input type="text"/> %	Worldwide Income	<input type="text"/> %	<input type="text"/> %
Global Smaller Companies	<input type="text"/> %	<input type="text"/> %	TOTAL	<input type="text"/> 100	<input type="text"/> %
Global Value	<input type="text"/> %	<input type="text"/> %			

(If there are insufficient units in any selected fund, the amount will be withdrawn as in (A).)

Note: Units will be cancelled using the Bid Price effective on the due date of the income payment or the previous working day if the payment date is a non working day. Any withdrawal in excess of the Annual Withdrawal Allowance that would be liable to an Early Withdrawal Charge will be taken from the investment with the lowest charge.

Frequency (please tick)

Monthly

☐

Quarterly

☐

Half-yearly

☐

Annually

☐

Date of first payment

DAY	MONTH	YEAR
<input type="text"/>	<input type="text"/>	<input type="text"/>

(This will be at least 30 days after the St. James's Place Administration Centre has received your instruction and investment funds: not 29th, 30th or 31st.)

Note: Where income payments include distributions they will be in your bank account by the 1st working day of the month in which the payment is made. All other income payments will be in your bank account on the day requested each month.

G. Payment Details

Withdrawals will only be paid to the scheme bank account

Name and address of Bank
or Building Society

METRO BANK

Postcode

Sort Code

23-05-80

Account Number

46601475

Name of Account Holder(s)

LHL Pension Scheme

Roll number (if appropriate)

H. Data Protection

Your Partner and/or St. James's Place* are the data controllers of any personal data you provide to us on this form and any further information which you subsequently provide to us. Your Partner and St. James's Place* each have a legal obligation to comply with applicable data protection legislation.

Purposes for which the data may be used

We will collect and use your personal data, such as your name and contact details and other personal details you have provided on this form.

We will use the personal data for the provision of financial advice and to provide you with financial products or services, including for administration, risk assessment, fraud prevention and regulatory purposes.

In order to provide our services, we may share the personal data you provide with: Partners and companies within the St. James's Place* group; product providers or administrators of a scheme relating to your investment plan; regulators such as the Financial Conduct Authority or the Prudential Regulation Authority, and other authorities; HM Revenue & Customs; fraud prevention agencies; companies providing specialist advice, administration and/or IT services; and industry financial services schemes (e.g. Direct Debit).

Where you are providing us with personal data about other individuals, you will inform each of them that you are providing their information

to us, refer them to the Partner's Privacy Policy, which can be accessed on their website and/or the Privacy Policy of St. James's Place*, which can be accessed at www.sjp.co.uk/privacy.

Confidentiality

St. James's Place* and your Partner adhere to a confidentiality policy that requires us to treat all information about you in the strictest confidence.

Your rights and how to contact us

For further information on our uses of your personal data, and your rights in relation to your personal data, please see the Partner's Privacy Policy, which can be accessed on their website and/or the Privacy Policy of St. James's Place*, which can be accessed at www.sjp.co.uk/privacy. A paper copy of the Privacy Policy is available on request from your Partner.

Should you have any enquiries relating to the personal data that we may hold about you or how your personal data is processed, you can contact your Partner using the details below; or you can contact the St. James's Place Data Protection Officer at dpo@sjp.co.uk.

*'St. James's Place' is a wealth management group which includes St. James's Place plc and its subsidiaries. A list of subsidiaries is available within the Privacy Policy.

1. Declaration of Trustee(s)

1. I/We the trustees or administrators declare that to the best of my/our knowledge and belief, the information on this form is true and complete.
2. The scheme named in B above is a UK-registered pension scheme. I/We confirm my/our agreement that this plan may be included in any reporting services provided by St. James's Place to the member detailed in Section A of this form.
3. I/We have received a Key Features Document, a 'Key facts about our services and costs' document and a personalised illustration.

Advice charges

Our advice is not free. The cost for our advice will be paid for out of your investment. Details of the charges we make for our advice and how it is paid for are set out in the 'Key facts about our services and costs' document you receive. The advice charges will also be discussed with you and the specific amount charged will be provided on the personalised illustration you receive.

4. I/We understand that the advice I/we receive is not free and I/we agree to the payment of advice charges from my/our investment as referred to above.
5. I/We confirm that if someone other than myself completed this form, I/we provided the information recorded. I/We have carefully checked the contents of this form and confirm that the form contains a full and accurate record of all the details which I/we instructed my representative to insert. I/We understand that where this form was completed by a third party, they do not have the authority to accept this on behalf of St. James's Place UK plc.

Cheques must be made payable to 'St. James's Place'.

For DB occupational schemes

The above named Scheme has been (or is in the process of being) registered with HM Revenue & Customs for the purpose of Part 4 and Schedule 36 Part 1 of the Finance Act 2004, or any statutory modification or re-enactment thereof.


Please ensure that a copy of the HMRC letter is attached.

To the extent (if any) required by Section 47 of the Pensions Act 1995 ("the Act") and Regulation 5 of the Occupational Pension Schemes (Scheme Administration) Regulations 1996, or any modifications thereof, as trustees of the Scheme ("the Trustees") we confirm the appointment of St. James's Place as a Fund Manager in relation to the proportion of the Scheme's assets entrusted to St. James's Place from time to time under this Plan. The effective date of such an appointment is the commencement date of the Plan and St. James's Place will report to, and take instructions from, the Trustees.

SIGNATURE(S) OF TRUSTEE(S) OR OTHER SIGNATORY/IES AUTHORISED BY THE TRUST DEED**FIRST SIGNATURE**

PRINT NAME

Huw William Gordon


SECOND SIGNATURE

PRINT NAME

Matt Grisby

THIRD SIGNATURE

PRINT NAME

Ivor Gordon

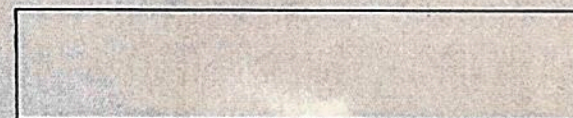
FOURTH SIGNATURE

PRINT NAME

Claire Louise Drew

FIFTH SIGNATURE

PRINT NAME

DAVID NICKLIN DIRECTOR OF RC TRUSTEES LTD

SIXTH SIGNATURE

PRINT NAME

Date

27 June 2023
DAY MONTH YEAR