

# Carlton James

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## Pension Administration



## Pension Scheme Report

**Date Produced 05/04/2017**

**Financial Year 16/17**

## **Glossary**

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### Scheme Information

<b>Scheme Name</b>	LKL Trustee Scheme
<b>Sub Scheme Name</b>	Gordon Fund
<b>Sponsoring Company Name</b>	LKL Services Limited
<b>Company Number</b>	05828597
<b>Company UTR Number</b>	<i>Unknown</i>
<b>Scheme PSTR Number</b>	00817980RN
<b>Scheme Holding Bank</b>	Metro Bank
<b>Scheme Bank Account Number</b>	16116858
<b>Scheme Bank Sort Code</b>	23-05-80
<b>Scheme Establishment Date</b>	26/01/2015
<b>Number of Scheme Member/Trustees</b>	4
<b>Who is the scheme Administrator?</b>	Pension Practitioner.Com
<b>Who introduced the Scheme?</b>	Carlton James Private & Commercial Ltd
<b>Who is the Scheme IFA?</b>	JAN Investment Marketing

<b>Scheme Membership Profile (As per the Member suitability reviews)</b>	
<b>Proportion of Males</b>	Equal to 75%
<b>Proportion of Females</b>	Equal to 25%
<b>Business in the Financial Sector</b>	No
<b>Retirement Age</b>	75
<b>Preferred Equity</b>	External Passive Management

<b>Scheme Fee Charges (As per the Member suitability reviews)</b>	
<b>Practitioner Set up Fee Charges (If Applicable)</b>	First Member Charge £880; any additional members £440
<b>IFA Set Up Charges (If Applicable)</b>	1% Initial Charge
<b>Practitioner Admin Fee Charges (If Applicable)</b>	First Member Charge £880; any additional members £440
<b>IFA Service Charges (If Applicable)</b>	0.4% Annual Charge

- Sponsoring employer address:  
*Agriculture House Unit C, Old Sarum Park Old Sarum, Salisbury, SP4 6EB*
- Scheme Banking Address:  
*One Southampton Row, London, WC1B 5HA*

## **Corporate Scheme Status**

### ***Scheme Overview:***

<b>Scheme Cash Available</b>		<b>Scheme Value</b>
£100,376.89		£400,376.89
<b>Member</b>	<b>Percentage Scheme Ownership</b>	<b>Member Fund Value</b>
George William Gordon	0%	£0.00
Julie Gordon	0%	£0.00
Ivor Gordon	10.09%	£40,397.91

***\*position at the start of Financial Year 16/17***

<b>Scheme Cash Available</b>		<b>Scheme Value</b>
£95,618.88		£395,618.88
<b>Member</b>	<b>Percentage Scheme Ownership</b>	<b>Member Fund Value</b>
George William Gordon	0%	£0.00
Julie Gordon	0%	£0.00
Ivor Gordon	20.08%	£79,442.45
Huw Gordon	9.99%	£39,524.62

***\*position at the report date Financial Year 16/17***

Contributions (Financial Year 16/17)			
Contribution Type	From	Value	Date
N/A	N/A	N/A	N/A
Total		N/A	

Transfers Out (Financial Year 16/17)			
Transaction Type	To	Value	Date
N/A	N/A	N/A	N/A
Total		N/A	

Banking Transactions – Incoming (Financial Year 16/17)		
Date	Item	Amount
29/04/2016	Metro Bank Interest on Account	£20.63
31/05/2016	Metro Bank Interest on Account	£21.19
30/06/2016	Metro Bank Interest on Account	£20.02
29/07/2016	Metro Bank Interest on Account	£20.69
28/08/2015	Metro Bank Interest on Account	£12.42
02/09/2015	Metro Bank Interest on Account	£12.02
30/09/2015	Metro Bank Interest on Account	£9.88
30/10/2015	Metro Bank Interest on Account	£8.01
30/11/2015	Metro Bank Interest on Account	£8.22
31/12/2015	Metro Bank Interest on Account	£8.17
14/01/2016	Metro Bank Interest on Account	£7.33
29/01/2016	Metro Bank Interest on Account	£8.12
<b>Total</b>		<b>£156.70</b>

Banking Transactions – Outgoing (Financial Year 16/17)		
Date	Item	Amount
03/06/2015	CJPC Broker Fee charge for set up of preference share purchase (Phase 1)	£3,000.00
03/06/2015	JAN Investment Marketing IFA fee payment for set up and first years annual admin	£814.71
04/06/2015	Metro Bank Fee for CHAPs payment	£1,100.00
<b>Total</b>		£4,914.71

Scheme Performance (Financial Year 16/17)		
Date	Growth/Decline	Percentage
06 April 16	£20.63	0.01%
May 16	£ (2,978.81	(0.75%)
June 16	£20.02	0.01%
July 16	£20.69	0.01%
August 16	£12.42	0.00%
September 16	£12.02	0.00%
October 16	£9.88	0.00%
November 16	£8.01	0.00%
December 16	£ (806.49)	(0.20%)
January 17	£ (1,091.83)	(0.27%)
February 17	£7.33	0.00%
March 17	£8.12	0.00%
05 April 2017	£0.00	0.00%

***\*Figures based on previous month***

Current Scheme Investment Strategies	
<b>Fund Name(s)</b>	Dairy Force Services Limited Preference Share Purchase - Phase 1
<b>Original Investment Value</b>	£112,500.00
<b>Current Investment Value</b>	£112,500.00
<b>Percentage Amount Invested</b>	28.44%
<b>Returns Offered (Overall)</b>	35%
<b>Investment Term</b>	5 Years
<b>Initial Investment Date</b>	04/06/2015
<b>Maturity Date</b>	04/06/2020
<b>Fund Name(s)</b>	Dairy Force Services Limited Preference Share Purchase - Phase 2
<b>Original Investment Value</b>	£87,500.00
<b>Current Investment Value</b>	£87,500.00
<b>Percentage Amount Invested</b>	22.12%
<b>Returns Offered (Overall)</b>	35%
<b>Investment Term</b>	5 Years
<b>Initial Investment Date</b>	30/09/2015
<b>Maturity Date</b>	30/09/2020
<b>Fund Name(s)</b>	Dairy Force Services Limited Preference Share Purchase - Phase 3
<b>Original Investment Value</b>	£100,000.00
<b>Current Investment Value</b>	£100,000.00
<b>Percentage Amount Invested</b>	25.28%
<b>Returns Offered (Overall)</b>	35%
<b>Investment Term</b>	5 Years
<b>Initial Investment Date</b>	01/04/2016
<b>Maturity Date</b>	01/04/2021



Matured Investments					
Investment Name	Original Investment (£)	Date	Maturity Value (£)	Maturity Date	Growth on Funds (£)
N/A	N/A	N/A	N/A	N/A	N/A

## Member Information

Mr George William Gordon	
Member Number	1
Date of Birth	23/05/1970
Sex	Male
National Insurance Number	NS901700D
Client Address	Harepath Farm
	Burbage
	Marlborough
	SN8 3BT
Contact Number	01722 343807
Secondary Contact Number	07885 951105
Email Address	George.gordon@lklservices.com
Member Joining Date	26/01/2015

Transfer Type	From	Transaction Status	Value	Completion date
N/A	N/A	N/A	N/A	N/A

Mrs Julie Gordon	
Member Number	2
Date of Birth	02/01/1970
Sex	Female
National Insurance Number	WS601491B
Client Address	Harepath Farm
	Burbage
	Marlborough
	SN8 3BT
Contact Number	01722 343807
Secondary Contact Number	Unknown
Email Address	George.Gordon@hotmail.com
Member Joining Date	18/03/2015

Transfer Type	From	Transaction Status	Value	Completion date
N/A	N/A	N/A	N/A	N/A

Mr Ivor Gordon	
<b>Member Number</b>	3
<b>Date of Birth</b>	12/11/1995
<b>Sex</b>	Male
<b>National Insurance Number</b>	PA739489B
<b>Client Address</b>	Harepath Farm
	Burbage
	Marlborough
	SN8 3BT
<b>Contact Number</b>	01722 343807
<b>Secondary Contact Number</b>	07885 951105
<b>Email Address</b>	George.gordon@lklservices.com
<b>Member Joining Date</b>	18/03/2015

Transfer Type	From	Transaction Status	Value	Completion date
Reallocation of Corporate Contribution	LKL Services Limited	Completed	£40,000.00	06/04/2015
Reallocation of Corporate Contribution	LKL Services Limited	Completed	£40,000.00	06/04/2016

Mr Huw Gordon	
Member Number	4
Date of Birth	24/08/1997
Sex	Male
National Insurance Number	Unknown
Client Address	Harepath Farm
	Burbage
	Marlborough
	SN8 3BT
Contact Number	01722 343807
Secondary Contact Number	07885 951105
Email Address	George.gordon@lklservices.com
Member Joining Date	21/06/2016

Transfer Type	From	Transaction Status	Value	Completion date
Reallocation of Corporate Contribution	LKL Services Limited	Completed	£40,000.00	06/04/2016

**Notes:**

At year end, the scheme is owed a dividend return of 7% from phase 1 of the preference share purchases' equating to £7,875.00

At year end, the scheme is owed a dividend return of 7% from phase 2 of the preference share purchases' equating to £9,187.50

At year end, the scheme is owed a dividend return of 7% from phase 3 of the preference share purchases' equating to £7,000.00