

**DOLPHIN TRUST**

Dolphin Trust Expression Of Interest Form

Section A: Client Details

First Name	MILIP JOHN CROUCH
Any Middle Names	AS TRUSTEE OF THE JD CROUCH EXECUTIVE
Surnames	PENSION SCHEME
Home Address	9 SELINA CLOSE LUTON LU3 3AW
Home Phone number	
E-mail Address	
Mobile Number	
Marital Status	
Date of Birth	

International Money Laundering Identification (please tick if copies attached)
Please refer to AML guidelines for full details of what is required with each application

Passport

☐

Recent Home Utility Bill

☐**Section B:** Your Choices

Please choose either 1) *Income* or 2) *Deferred*. Please only complete details under your chosen type.

1. INCOME

Term Choices (please tick term box of your choice)

2 Years

☐

Cash

☐

Pension

☐

Pension Type

5 Years

☐

Cash

☐

Pension

☐

Pension Type

Amount**Bank Details – For Cash Clients Only**

For Cash Clients, your Bank Account details are required to ensure the return of capital and interest at the end of your chosen term. Please only complete the Bank Details below if you are a Cash Client. Pension Investor returns are paid to your Pension Provider.

Bank Name & Address	
Bank Sort Code	
Bank Account Number	
Name/s on Bank Account	
IBAN Number	

If you are a Pension Client, please provide your Pension Provider Details below

Name of Pension Provider	
Your Account Number with Pension Provider	
Pension Type (SIPP, SSAS etc.)	

2. DEFERRED

Term Choices (please tick term box of your choice)

2 Years ☐ Cash ☐ Pension ☐ Pension Type _____
5 Years ☐ Cash ☐ Pension ☒ Pension Type SSAS

Amount £19,400

Bank Details – For Cash Clients Only

For Cash Clients, your Bank Account details are required to ensure the return of capital and interest at the end of your chosen term. Please only complete the Bank Details below if you are a Cash Client. Pension Investor returns are paid to your Pension Provider.

Bank Name & Address	ALLIED IRISH BANK VANTAGE POINT, 4 HARDMAN STREET MANCHESTER, M3 3HF
Bank Sort Code	23-83-96
Bank Account Number	04690077
Name/s on Bank Account	THE GILDER EXECUTIVE PENSION SCHEME
IBAN Number	

If you are a Pension Client, please provide your Pension Provider details below

Name of Pension Provider	THE GILDER EXECUTIVE PENSION SCHEME
Your Account Number with Pension Provider	n/a
Pension Type (SIPP, SSAS etc.)	SSAS

Section C: Important Note

Dolphin Trust and any associated group companies are not authorised or regulated by the Financial Conduct Authority under the Financial Services and Markets Act 2000 ("FSMA"). No business undertaken by any Client directly with Dolphin Trust or a Group Company is protected by the UK Financial Services Compensation Scheme or the Financial Ombudsman Scheme. Any person that chooses to consider the Dolphin Trust opportunity must understand that this involves the provision of secured loan capital that is used for the purposes of investment in selected German Listed Building projects. Such loans may be relevant opportunities for pensions. In so saying, Dolphin Trust is not providing advice to any person as to the merits of investing in a pension or as to appropriate third parties from whom to obtain pension trustee services.

Determining what sort of provision to make for one's retirement can be a complex matter and as such, prospective Clients should always seek independent financial advice from an appropriately authorised and qualified person. If requested, Dolphin Trust can direct prospective Clients towards the services of suitably qualified Independent Financial Advisors for such purposes. Prospective Clients must rely on their own examination of the legal, financial, taxation and other consequences of advancing loan capital to Dolphin Trust, including the risks involved and are advised to seek independent legal or professional advice before proceeding. Please note that any contact details provided will be used to update you on your loan note participation. Please contact us for any additional information that may be required.

Section D: Signature

I, the undersigned, confirm that I fully understand how the Dolphin Trust Opportunity Scheme operates. In making the decision to loan funds to Dolphin Trust, I have taken whatever professional advice (legal, financial accountancy etc.) that I have deemed necessary and in making the personal decision to loan funds to Dolphin Trust, I acknowledge that this opportunity is acceptable to my personal financial circumstances and I understand the risks. I understand the completion of this Expression of Interest form is stage one in the application process and I will be sent a Loan Note Offer Letter and Loan Note Instrument (a Loan Agreement) to consider, accept and sign before my application to loan funds to Dolphin Trust can be accepted and processed. I understand the completion of this Expression of Interest form is stage one in the application process and I will be sent a Loan Note Offer Letter and Loan Note Instrument (a Loan Agreement) to consider, accept and sign before my application to loan funds to Dolphin Trust can be accepted and processed.

Signature: 

Print Name: Philip Crouch

Date of Application: 1.8.18

☐ By ticking here I confirm that I wish to be contacted by members of the Dolphin group and their agents for the marketing purposes noted in the section headed 'Data Protection' on this form.

For office use only

Accredited Introducer Name: _____ Dolphin Number: _____
Network / Company Name: _____

Data Protection

Dolphin Trust GmbH and its group companies (which include Dolphin IG Limited) ("we/us" for the purposes of this clause) may process any personal data (as the term is defined in the Data Protection Acts 1998, as amended by any subsequent legislation) in relation to you, (and where you are a corporate or trustee entity, your directors, officers, employees, agents) or your representatives for the purposes of providing our services to you under this application and any subsequent investment.

We and you confirm that each of us will comply with all applicable requirements of as many of the following as may be in force in Ireland from time to time, namely the EU General Data Protection Regulation (EU 2016/679, the "GDPR"), the Data Protection Act 1989, the Data Protection Act 2018 and any successor legislation (collectively, the "Data Protection Legislation"). This section is in addition to, and does not relieve, remove or replace, a party's obligations under the Data Protection Legislation.

We take your privacy and personal data very seriously. We use the information collected on this form, which includes personal information, and any other information you provide to us ("your information") for the purposes of

- Administering your application and investment(s) with us
- Checking your identity to prevent financial crime
- Administrative, regulatory or law enforcement purposes (including, without limitation, complying with anti-money laundering and anti-terrorism laws)
- Making payments to you; and
- Dealing with your enquiries and requests.

Our privacy policy is situated at <http://www.uk.dtinroducers.com/resources/content/files/Privacy%20Policy%20-%20May%202018.pdf>. This gives details of how we process your data.

We also wish to send you marketing information by post telephone or email (or SMS) about our products and services (and those of our group companies and associated companies) and to conduct market research, from time to time. We would like you to opt-in to receive these communications by ticking the box below.

If you are an existing customer, we will only contact you by electronic means (e-mail or SMS) with information about goods and services similar to those which were the subject of a previous sale. If you are a new customer, we will contact you by electronic means only if you have consented to this. If you do not want us to use your data in this way, please do not tick the relevant box situated below. You can opt out at any time by contacting us at info@dolphinig.co.uk or via our website.

Your information will be processed fairly and securely by us or our service providers (as described in our Privacy Policy) fairly, confidentially and in accordance with the Data Protection Legislation. We will not disclose your information to third parties except (a) to members of our group and our affiliates for the above purposes; (b) to our service providers from time to time (as noted in our Privacy Policy) for the processing purposes described above; (c) to UK and other EEA government entities and regulatory bodies where required to do so; (d) to our professional advisers, who are under obligations to maintain confidentiality; (e) to others who we reasonably think are necessary to receive the information for the purposes noted above, or to whom we are obliged by law to disclose it; (f) if we sell or buy any business or assets, to the prospective seller or buyer of such business or assets; if Dolphin Trust, or the group entity in which you are invested or substantially all of its assets are acquired by a third party, to that third party; or (g) with your consent.

You are legally entitled to opt out of the use of your information for direct marketing purposes, to be provided with further information relating to the processing of your information, and (subject to certain exceptions) to access your information, and to require inaccurate personal information to be deleted and/or corrected. If you wish to exercise any of these rights, you may do so by sending a written request to us at Dolphin I.G. Limited, 38 Eastgate Drive, Little Island, Co. Cork, Ireland. We may charge you a fee (subject to any limit set by current data protection laws) for responding to a request for further information and/or access. To obtain a copy of your information, please write to us at Dolphin I.G. Limited, 38 Eastgate Drive, Little Island, Co. Cork, Ireland.

Dolphin I.G. Limited is a company incorporated in Ireland with company number 507993 whose registered office is at 38 Eastgate Drive, Little Island, Co. Cork. It is engaged by Dolphin Trust GmbH solely to carry out administrative services in relation to products offered by Dolphin Trust GmbH. It is a separate legal entity from Dolphin Trust GmbH.