

# Dolphin Trust Expression Of Interest Form

## Section A: Client Details

First Name	Keith
Any Middle Names	Scott
Surnames	Hatzer
Home Address	11 Ravenstone Drive, Greetland, Halifax, HX4 8DU
Home Phone Number	
E-mail Address	
Mobile Number	
Marital Status	
Date of Birth	15 May 1959

From time to time Dolphin would like to send you update messages regarding our projects via post, email and text. By submitting this form, you indicate your consent to receiving such messages from us via post, email and text. If you do not want to receive such messages, tick here: ☐

**International Money Laundering Identification** (please tick if copies attached)

Passport ☐

Recent Home Utility Bill ☐

## Section B: Your Choices

Please choose either 1) *Income* or 2) *Deferred*. **Please only complete details under your chosen type.**

### 1. Income

**Term Choices** (please tick term box of your choice)

2 Years ☐ Cash ☐ Pension ☐ Pension Type \_\_\_\_\_

5 Years ☐ Cash ☐ Pension ☐ Pension Type \_\_\_\_\_

Amount

### Bank Details for Six Monthly Interest Returns

Bank Name & Address	
Bank Sort Code	
Bank Account Number	
Name/s on Bank Account	
IBAN Number	

If you are a Pension Client, please provide your Pension Provider Details below

Name of Pension Provider	
Your Account Number with Pension Provider	
Pension Type (SIPP, SSAS Etc.)	

## 2. Deferred

**Term Choices** (please tick term box of your choice)

**2 Years** ☐ Cash ☐ Pension ☐ Pension Type \_\_\_\_\_  
**5 Years** ☐ Cash ☐ Pension ☐ Pension Type \_\_\_\_\_

**Amount**

### Bank Details

For Cash Clients, your Bank Account details are required to ensure the return of capital and interest at the end of chosen term. **Please only complete the Bank Details below if you are a Cash Client. Pension Investor returns are paid to your Pension Provider.**

<b>Bank Name &amp; Address</b>	Metro Bank One Southampton Row London EC1B 5HA
<b>Bank Sort Code</b>	23-05-80
<b>Bank Account Number</b>	17976664
<b>Name/s on Bank Account</b>	Halfpenny and Spendloff Ltd Executive Pension Scheme
<b>IBAN Number</b>	GB21MYMB23058017976664

**If you are a Pension Client, please provide your Pension Provider Details below**

<b>Name of Pension Provider</b>	Pension Practitioner.Com Limited
<b>Your Account Number with Pension Provider</b>	N/A
<b>Pension Type (SIPP,SSAS Etc.)</b>	SSAS, Halfpenny and Spendloff Ltd Executive Pension Scheme

## Section C: Important Note

Dolphin Trust and any associated group companies are not authorised or regulated by the Financial Conduct Authority under the Financial Services and Markets Act 2000 (FSMA). No business undertaken by any Client directly with Dolphin Trust or a Group Company is protected by the UK Financial Services Compensation Scheme or the Financial Ombudsman Scheme. Any person that chooses to consider the Dolphin Trust opportunity must understand that this involves the provision of secured loan capital that is used for the purposes of investment in selected German Listed Building projects. Such loans may be relevant opportunities for pensions. In so saying, Dolphin Trust is not providing advice to any person as to the merits of investing in a pension or as to appropriate third parties from whom to obtain pension trustee services.

Determining what sort of provision to make for one's retirement can be a complex matter and as such, prospective Clients should always seek independent financial advice from an appropriately authorised and qualified person. If requested, Dolphin Trust can direct prospective Clients towards the services of suitably qualified Independent Financial Advisors for such purposes. Prospective Clients must rely on their own examination of the legal, financial, taxation and other consequences of advancing loan capital to Dolphin Trust, including the risks involved and are advised to seek independent legal or professional advice before proceeding. Please note that any contact details provided will be used to update you on your loan note participation. Please contact us for any additional information that may be required.

## Section D: Signature

I, the undersigned, confirm that I fully understand how the Dolphin Trust Opportunity Scheme operates. In making the decision to loan funds to Dolphin Trust, I have taken whatever professional advice (legal, financial accountancy etc.) that I have deemed necessary and in making the personal decision to loan funds to Dolphin Trust, I acknowledge that this opportunity is acceptable to my personal financial circumstances and I understand the risks.

I understand the completion of this Expression of Interest form is stage one in the application process and I will be sent a Loan Note Offer Letter and Loan Note Instrument (a Loan Agreement) to consider, accept and sign before my application to loan funds to Dolphin Trust can be accepted and processed.

**Signature:**

**Print Name:**

**Date of application:**

*For office use only*

Accredited Introducer Name: _____	Dolphin Number: _____
Network / Company Name: _____	

Dolphin I.G. Limited is a company incorporated in Ireland with company number 507993 whose registered office is at 38 Eastgate Drive, Little Island, Co. Cork. It is engaged by Dolphin Trust GmbH solely to carry out administrative services in relation to products offered by Dolphin Trust GmbH. It is a separate legal entity from Dolphin Trust GmbH.

Dolphin I.G. Limited  
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