



Tel: 0845 519 5455

Fax: 0872 352 8066

Email: info@ukisonline.com

Web: www.ukisonline.com

Emily - please find attached remaining
46 forms. If you could update me,
that would be great.

With Compliments

UK Investment Strategies Ltd, UKIS House, 31 Chapel Lane, Wilmslow, Cheshire, SK9 6HW

Cheers!

UK Investment Strategies Ltd is a private limited Company, incorporated in England & Wales under Company Registration Number 08948392. UKIS is a trading style of UK Investment Strategies Ltd, which is an Appointed Representative of Sorensen Financial Services, which is authorised and regulated by the Financial Conduct Authority.

Sorensen Financial Services is entered on the FCA Register (<http://fca.org.uk/register/>) under reference 184289.

Private & Confidential
FAO Julia McCann
UK Investment Strategies Ltd

***** by email *****

23 February 2016

Our Ref: NUPC Corporate Quotes/3931098

Dear Julia

Policy Number: 3931098 - Mr S Kirtlan
Scheme Number: P000071202 - GROVE S32A

Thank you for your enquiry of 16 February, in respect of a transfer of benefits from the above policy into a SSAS administered by your company.

I enclose the following documents:

1. A quotation of transfer value benefits. Current fund value is the transfer value of the fund.
2. A declaration of claim discharge form

The policy does not contain any guaranteed annuity rates, guaranteed minimum pension, waiver of premium or monthly administration charge.

As this is a Section 32 Policy we can not accept regular premiums into it.

If the member wishes to proceed with a transfer please follow the instructions given in (2) above.

The transferring scheme have advised that there is no tax free cash protection and that a maximum of 25% tax free cash will be available from this plan at retirement.

A response in respect of Mr Kirtlan's other policies will follow under separate cover.

If you have any queries, please contact one of our Customer Service Consultants on 0845 7556 557.

Yours sincerely

Tristan Morgan
NUPC Corporate Quotes

Quotation of Transfer Value Benefits

Pension Plan **3931098 - Mr S Kirtlan**
Plan Type **Section 32 A**

This quotation was produced on **23 February 2016**

Quotation of Transfer Value for transfer on 22 February 2016

Occupational Pension Transfer Value

- relating to Section 9(2B) Rights £ 0.00
- relating to employment £ 11,395.56

Total Transfer Value	£ 11,395.56
----------------------	-------------

Notes

Please note that where you qualify for a protected retirement age or where you have protected tax free cash this may be lost on transfer. If you have applied to HM Revenue and Customs for Enhanced Protection, this may be lost if the transfer is not a permitted transfer. Please seek financial advice.

All values shown in this quotation are based on the latest information available to Scottish Widows.

The values in this quotation are not guaranteed.

The actual value paid will be calculated using the unit values on the day after Scottish Widows receives the final documents required for payment.

Remember that unit values can go down as well as up so the final amount may be less than the amount currently being quoted.

Declaration of Claim Discharge

Policy Number(s) : 3931098

Policyholder's Name : Mr S Kirtlan

A. Transfer Instructions

If you choose to transfer to another approved pension provider please complete Section F overleaf and ask the Trustees/Administrators of the receiving Scheme/Life Office to complete Section C below.

B. Transfer Value Details

Total amount of transfer value **£ 11,395.56**

The total amount of transfer value is not guaranteed. The actual transfer value paid will be calculated on the day after the final documents required for payments are received at Scottish Widows head office (please see policy provisions for further details). Remember that unit values can go down as well as up, so the final amount may be less than the amount quoted above.

C. Receiving Scheme/Life Office Details

Notes : a) if you would rather receive payment by cheque, please complete your company name and address in the fields below

To be completed by the Trustees/Administrators of the Receiving Scheme/Life Office.

Name of Receiving Scheme/Life Office.....
(The cheque will be made payable to this name)

Address of Receiving scheme.....

..... Post Code

A/c Name.....A/c Number.....Sort Code.....

Reference to be Quoted.....
(if blank National Insurance Number will be quoted)

The transfer value will be paid to:

A registered pension scheme as defined by Part 4 of the Finance Act 2004

☐

Please Tick

If the transfer is going to a retirement benefits scheme or a statutory scheme,
please state the normal retirement age for the scheme.

☐

Please provide your Pension Scheme Tax Reference.

We agree to accept the transfer as indicated above.

Signed Date

Title/Designation

(for the Trustees/Administrators of the Receiving Scheme/Life Office)

D. Notes

- You may lose any protected tax free cash on transfer.
- If the scheme has applied to HMRC for a protected retirement age, this may be lost on transfer.
- If you have applied to HMRC for Enhanced Protection this may be lost on transfer.
- If you have applied to HMRC for a protected retirement age, this may be lost on transfer.

Should you have any queries relating to the above please seek financial advice.

E. Documentation (For Occupational Pensions only)

The following documents are required to calculate the Maximum Tax-Free Cash Sum (where applicable); the following details will be required:

- Final remuneration at 5th April 2006 or the date of leaving service if earlier
- Date of entry to company service, and where appropriate, the date of leaving.
- Full details of any concurrent benefits at 5th April 2006 or date of leaving service if earlier.
- If the Member's P60 earnings exceeded £50,000 in the tax year 2004/2005, full details of any retained benefits at 5th April 2006, or date of leaving service, if earlier (please note that retained benefits may not be required in all circumstances. Please speak to your financial adviser).

F. Signature

Complete this section in all cases.

I authorise the transfer to the Scheme/Life Office as detailed overleaf.

This authority will act as my discharge to you in respect of all sums due to me under the plan as soon as the cheque is paid to the receiving Scheme/Life Office. I accept that the plan cannot be reinstated after the cheque is paid to the receiving Scheme/Life Office.

Signed Date
(Policyholder's signature)

Signed Date
Trustees' signature (only for Occupational Schemes)

Private & Confidential
FAO Julia McCann
UK Investment Strategies Ltd

***** by email *****

23 February 2016

Our Ref: NUPC Corporate Quotes/3931098

Dear Julia

Policy Number: 3931098 - Mr S Kirtlan
Scheme Number: P000071202 - GROVE S32A

Thank you for your enquiry of 16 February, in respect of a transfer of benefits from the above policy into a SSAS administered by your company.

I enclose the following documents:

- 1. A quotation of transfer value benefits. Current fund value is the transfer value of the fund.**
- 2. A declaration of claim discharge form**

The policy does not contain any guaranteed annuity rates, guaranteed minimum pension, waiver of premium or monthly administration charge.

As this is a Section 32 Policy we can not accept regular premiums into it.

If the member wishes to proceed with a transfer please follow the instructions given in (2) above.

The transferring scheme have advised that there is no tax free cash protection and that a maximum of 25% tax free cash will be available from this plan at retirement.

A response in respect of Mr Kirtlan's other policies will follow under separate cover.

If you have any queries, please contact one of our Customer Service Consultants on 0845 7556 557.

Yours sincerely

Tristan Morgan
NUPC Corporate Quotes

Quotation of Transfer Value Benefits

Pension Plan 3931098 - Mr S Kirtlan
Plan Type Section 32 A

This quotation was produced on 23 February 2016

Quotation of Transfer Value for transfer on 22 February 2016

Occupational Pension Transfer Value

- relating to Section 9(2B) Rights £ 0.00
- relating to employment £ 11,395.56

Total Transfer Value	£ 11,395.56
-----------------------------	--------------------

Notes

Please note that where you qualify for a protected retirement age or where you have protected tax free cash this may be lost on transfer. If you have applied to HM Revenue and Customs for Enhanced Protection, this may be lost if the transfer is not a permitted transfer. Please seek financial advice.

All values shown in this quotation are based on the latest information available to Scottish Widows.

The values in this quotation are not guaranteed.

The actual value paid will be calculated using the unit values on the day after Scottish Widows receives the final documents required for payment.

Remember that unit values can go down as well as up so the final amount may be less than the amount currently being quoted.

Declaration of Claim Discharge

Policy Number(s) : 3931098

Policyholder's Name : Mr S Kirtlan

A. Transfer Instructions

If you choose to transfer to another approved pension provider please complete Section F overleaf and ask the Trustees/Administrators of the receiving Scheme/Life Office to complete Section C below.

B. Transfer Value Details

Total amount of transfer value **£ 11,395.56**

The total amount of transfer value is not guaranteed. The actual transfer value paid will be calculated on the day after the final documents required for payments are received at Scottish Widows head office (please see policy provisions for further details). Remember that unit values can go down as well as up, so the final amount may be less than the amount quoted above.

C. Receiving Scheme/Life Office Details

Notes : a) if you would rather receive payment by cheque, please complete your company name and address in the fields below

To be completed by the Trustees/Administrators of the Receiving Scheme/Life Office.

Name of Receiving Scheme/Life Office.....
(The cheque will be made payable to this name)

Address of Receiving scheme.....

..... Post Code

A/c Name.....A/c Number.....Sort Code.....

Reference to be Quoted.....
(if blank National Insurance Number will be quoted)

The transfer value will be paid to:

A registered pension scheme as defined by Part 4 of the Finance Act 2004

☐

Please Tick

If the transfer is going to a retirement benefits scheme or a statutory scheme,
please state the normal retirement age for the scheme.

☐

Please provide your Pension Scheme Tax Reference.

We agree to accept the transfer as indicated above.

Signed Date

Title/Designation

(for the Trustees/Administrators of the Receiving Scheme/Life Office)

D. Notes

- You may lose any protected tax free cash on transfer.
- If the scheme has applied to HMRC for a protected retirement age, this may be lost on transfer.
- If you have applied to HMRC for Enhanced Protection this may be lost on transfer.
- If you have applied to HMRC for a protected retirement age, this may be lost on transfer.

Should you have any queries relating to the above please seek financial advice.

E. Documentation (For Occupational Pensions only)

The following documents are required to calculate the Maximum Tax-Free Cash Sum (where applicable); the following details will be required:

- Final remuneration at 5th April 2006 or the date of leaving service if earlier
- Date of entry to company service, and where appropriate, the date of leaving.
- Full details of any concurrent benefits at 5th April 2006 or date of leaving service if earlier.
- If the Member's P60 earnings exceeded £50,000 in the tax year 2004/2005, full details of any retained benefits at 5th April 2006, or date of leaving service, if earlier
(please note that retained benefits may not be required in all circumstances. Please speak to your financial adviser).

F. Signature

Complete this section in all cases.

I authorise the transfer to the Scheme/Life Office as detailed overleaf.

This authority will act as my discharge to you in respect of all sums due to me under the plan as soon as the cheque is paid to the receiving Scheme/Life Office. I accept that the plan cannot be reinstated after the cheque is paid to the receiving Scheme/Life Office.

Signed S. [Signature] Date 24/2/16
(Policyholder's signature)

Signed S. [Signature] Date 24/2/16
Trustees' signature (only for Occupational Schemes)

SCOTTISH WIDOWS

Scottish Widows Limited

Stakeholder Pensions

Head Office PO Box 902
15 Dalkeith Road
Edinburgh EH16 5BU
Telephone 0845 716 6777
Fax 0131 662 4053

Mr S Kirtlan
72 Beechbrooke
Ryhope
SUNDERLAND
SR2 0NZ

14 March 2016

Our Ref : CSB16 / JAG

Dear Mr Kirtlan

Pension Plan 3950299 MR S KIRTLAN

Thank you for your recent enquiry.

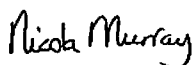
I have pleasure in enclosing the quotation requested.

I suggest you talk to your financial adviser before making any decisions about transferring this plan.

If you then wish to transfer to another company please follow the instructions given.

Thank you for investing with us.

Yours sincerely



Nicola Murray
Senior Operations Manager

If you have any queries please contact JERIEL GAMBOL on Tel 08457 166 777 or Fax 08457 413 105.

SCOTTISH WIDOWS

Quotation of Transfer Value Benefits

No: 5020851TRV001

Quotation of transfer value for transfer on 11 March 2016.

Pension Plan 3950299 MR S KIRTLAN
This quotation was produced on 14 March 2016.

This Quotation assumes your date of birth is 9 September 1969.

Personal Pension Transfer Value	£ 7,340.90
---------------------------------	------------

Notes

Please note that where you qualify for a protected retirement age or where you have protected tax free cash this may be lost on transfer. If you have applied to HM Revenue and Customs for Enhanced or Fixed Protection this may be lost if the transfer is not a permitted transfer. Please seek financial advice.

All values shown in this quotation are based on the latest information available to Scottish Widows. The actual transfer value will include all contributions received before the date of transfer.

The values in this quotation are not guaranteed. The actual transfer value paid will normally be calculated on the day the final documents required for payment are received at Scottish Widows head office (please see policy provisions for further details). Remember that unit values can go down as well as up so the final amount may be less than the amount currently being quoted.



Instructions and Documentation Required

Plan Holder's Name : MR S KIRTLAN
Pension Plan : 3950299
Quotation number : 5020851TRV001

If you wish to take the option shown on the enclosed quotation please follow these instructions:

- 1) Complete the enclosed discharge form and send it to us.

Declaration of Claim Discharge

Stakeholder Pension Plan : 3950299
Plan Holder's Name : MR S KIRTLAN
Our reference :

A: Transfer Instructions

Complete this section in all cases.

I elect to take the benefits as detailed on quotation number 5020851TRV (Insert quotation number).

If you choose to transfer to another approved pension provider please complete section F overleaf and ask the Trustees/Administrators of the receiving Scheme/Life Office to complete Section C below.

B: Transfer Value Details

Total Amount of transfer value 7,340.90

The total amount of transfer value is not guaranteed. The actual transfer value paid will normally be calculated on the day after the final documents required for payments are received at Scottish Widows head office (please see policy provisions for further details). Remember that unit values can go down as well as up so the final amount may be less than the amount quoted above.

C: Receiving Scheme/Life Office Details

Notes : a) for values over £150,000 a cheque will be drawn in favour of the receiving scheme/life office.
b) if you would rather receive payment by cheque, please complete your company name and address in the fields below.

To be completed by the Trustees/Administrators of the Receiving Scheme/Life Office.

Name of Receiving Scheme/Life Office _____

Address of Bank _____

Postcode _____

A/c Name _____ A/c Number _____ Sort Code _____

Reference to be Quoted _____
(if blank policy number will be quoted)

The transfer will be paid to:

A registered pension scheme as defined by Part 4 of the Finance Act 2004

☐

Please tick

Continued/

/Continued

If the transfer is going to a retirement benefits scheme or a statutory scheme, please state the normal retirement age for the scheme

We agree to accept the transfer as indicated above.

Signed _____

Date _____

Title/Designation

(For the Trustees/Administrators of the Receiving Scheme/Life Office)

D: Notes

- o You may lose any protected tax free cash on transfer.
- o Where you qualify for a protected retirement age this may be lost on transfer.
- o If you have applied to HM Revenue & Customs for Enhanced or Fixed Protection this may be lost if the transfer is not a permitted transfer.
- o Should you have any queries relating to the above please seek financial advice.

E: Documentation

(For Occupational Pensions only)

The following details are required to calculate the Maximum Tax Free Cash Sum(where applicable):

- o Final remuneration at 5th April 2006 or the date of leaving service if earlier.
- o Date of entry to company service, and where appropriate, the date of leaving.
- o Full details of any concurrent benefits at 5th April 2006 or date of leaving service if earlier.
- o If the Member's P60 earnings exceeded £50,000 in the tax year 2004/2005, full details of any retained benefits at 5th April 2006, or date of leaving service, if earlier.
(Please note that retained benefits may not be required in all circumstances. Please speak to your financial adviser).

F: Signature

Complete this section in all cases.

Before signing this form, it is important that you fully understand the implications of transferring to another pension scheme or arrangement. By transferring, you will be giving up your benefits under the policy referred to above. If you are unsure, you should seek financial advice before taking any action.

I authorise the transfer to the Scheme/Life Office as detailed overleaf.

This authority will act as my discharge to you in respect of all sums due to me under the plan as soon as payment is made to the Scheme/Life Office.

Signed S. W

Date 23/3/16

SCOTTISH WIDOWS

Scottish Widows Limited

Corporate Stakeholder Pensions

Head Office PO Box 902
15 Dalkeith Road
Edinburgh EH16 5BU
Telephone 0845 755 6557
Fax 0131 655 7004

4113070060/002111

329 - 025

Mr S Kirtlan
72 Beechbrooke
Ryhope
SUNDERLAND
SR2 0NZ

14 March 2016

Our Ref : CSBG / JCC

Dear Mr Kirtlan

Pension Plan 3449786 MR SR KIRTLAN

Thank you for your recent enquiry.

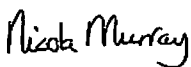
I have pleasure in enclosing the quotation requested.

I suggest you talk to your financial adviser before making any decisions about transferring this plan.

If you then wish to transfer to another company please follow the instructions given.

Thank you for investing with us.

Yours sincerely



Nicola Murray
Senior Operations Manager

If you have any queries please contact JOSEFINA CASAS on Tel 0845 755 6557 or Fax 0131 655 7752.

41130700600021100010004



SCOTTISH WIDOWS

Quotation of Transfer Value Benefits

No: 5020847TRV001

Quotation of transfer value for transfer on 11 March 2016.

Pension Plan 3449786 MR SR KIRTLAN
This quotation was produced on 14 March 2016.

This Quotation assumes your date of birth is 9 September 1969.

Total Transfer Value £ 97,077.16

Notes

Please note that where you qualify for a protected retirement age or where you have protected tax free cash this may be lost on transfer. If you have applied to HM Revenue and Customs for Enhanced or Fixed Protection this may be lost if the transfer is not a permitted transfer. Please seek financial advice.

All values shown in this quotation are based on the latest information available to Scottish Widows. The actual transfer value will include all contributions received before the date of transfer.

The values in this quotation are not guaranteed. The actual transfer value paid will normally be calculated on the day the final documents required for payment are received at Scottish Widows head office (please see policy provisions for further details). Remember that unit values can go down as well as up so the final amount may be less than the amount currently being quoted.

41130706000211100020004





Instructions and Documentation Required

Plan Holder's Name : MR SR KIRTLAN
Pension Plan : 3449786
Quotation number : 5020847TRV001

If you wish to take the option shown on the enclosed quotation please follow these instructions:

- 1) Complete the enclosed discharge form and send it to us.

411307C06000211100030004



Declaration of Claim Discharge

Stakeholder Pension Plan : 3449786
Plan Holder's Name : MR SR KIRTLAN
Our reference :

A: Transfer Instructions

Complete this section in all cases.

I elect to take the benefits as detailed on quotation number 5020847TRV (Insert quotation number).

If you choose to transfer to another approved pension provider please complete section F overleaf and ask the Trustees/Administrators of the receiving Scheme/Life Office to complete Section C below.

B: Transfer Value Details

Total Amount of transfer value 97,077.16

The total amount of transfer value is not guaranteed. The actual transfer value paid will normally be calculated on the day after the final documents required for payments are received at Scottish Widows head office (please see policy provisions for further details). Remember that unit values can go down as well as up so the final amount may be less than the amount quoted above.

C: Receiving Scheme/Life Office Details

Notes : a) for values over £150,000 a cheque will be drawn in favour of the receiving scheme/life office.
b) if you would rather receive payment by cheque, please complete your company name and address in the fields below.

To be completed by the Trustees/Administrators of the Receiving Scheme/Life Office.

Name of Receiving Scheme/Life Office _____

Address of Bank _____

Postcode _____

A/c Name _____ A/c Number _____ Sort Code _____

Reference to be Quoted _____
(if blank policy number will be quoted)

The transfer will be paid to:

A registered pension scheme as defined by Part 4 of the Finance Act 2004

☐

Please tick



/Continued

If the transfer is going to a retirement benefits scheme or a statutory scheme, please state the normal retirement age for the scheme

We agree to accept the transfer as indicated above.

Signed _____

Date _____

Title/Designation

(For the Trustees/Administrators of the Receiving Scheme/Life Office)

D: Notes

- o You may lose any protected tax free cash on transfer.
- o Where you qualify for a protected retirement age this may be lost on transfer.
- o If you have applied to HM Revenue & Customs for Enhanced or Fixed Protection this may be lost if the transfer is not a permitted transfer.
- o Should you have any queries relating to the above please seek financial advice.

E: Documentation

(For Occupational Pensions only)

The following details are required to calculate the Maximum Tax Free Cash Sum(where applicable):

- o Final remuneration at 5th April 2006 or the date of leaving service if earlier.
- o Date of entry to company service, and where appropriate, the date of leaving.
- o Full details of any concurrent benefits at 5th April 2006 or date of leaving service if earlier.
- o If the Member's P60 earnings exceeded £50,000 in the tax year 2004/2005, full details of any retained benefits at 5th April 2006, or date of leaving service, if earlier.
(Please note that retained benefits may not be required in all circumstances. Please speak to your financial adviser).

F: Signature

Complete this section in all cases.

Before signing this form, it is important that you fully understand the implications of transferring to another pension scheme or arrangement. By transferring, you will be giving up your benefits under the policy referred to above. If you are unsure, you should seek financial advice before taking any action.

I authorise the transfer to the Scheme/Life Office as detailed overleaf.

This authority will act as my discharge to you in respect of all sums due to me under the plan as soon as payment is made to the Scheme/Life Office.

Signed _____



Date 18/3/16



John Campbell <cambuildtd@gmail.com>

Fwd: Steve Kirtlan

1 message

Alan McNamara <alan@belvedereinvestments.co.uk>

24 February 2016 at 11:23

To: "cambuildtd@gmail.com" <cambuildtd@gmail.com>, "john@newleafuk.com" <john@newleafuk.com>, Gareth Baldwin Properties <garethbaldwin@btinternet.com>

See below

Kind Regards

ALAN MCNAMARA
MOB: 07581880070

Begin forwarded message:

From: Julia McCann <JuliaMcCann@ukisonline.com>**Date:** 24 February 2016 at 11:28:46 CET**To:** David Nicklin <davidn@pensionpractitioner.com>**Cc:** Alan McNamara <alan@belvedereinvestments.co.uk>, Chris Burgess <cjburgess14@gmail.com>**Subject:** Steve Kirtlan

Morning

Well I never expected this!!!

I have received a transfer out document plus up to date transfer value from Scottish Widows for the S32A Grove scheme, with a covering letter to say the other transfer out docs will follow under separate cover!!! And I thought that your admin team would need to request this Dave, but it's good that the first one has been sent to me so hopefully we can get this nailed easier.

Alan – can you forward the document to Steve Kirtlan which he will need to sign then post the hard copy to me and email me a copy as well....but ask him to hang fire posting the doc until the other two are received. Hopefully this will be today as we are now at the end of the epic 6 working day SLA that Scottish Widows have!!!! CARE – the document is password protected because it was emailed to me by secured message – password is newcastle – all lower case(an insult to Sunderland???!).)

Any problems, give me a shout.

Cheers

Julia

Julia McCann

**UK Investment Strategies | UK Insurance Services | UKIS
Investment & Insurance Protection Specialists**

Tel: 01625 538835

Mob: 07771 614174

Email: juliamccann@ukisonline.com

6 attachments



Kirtlan S J Scottish Widows Policy number 3931098 GROVE S32A.docx
23K



ATT00001.htm
3K



Kirtlan S J Scottish Widows Policy number 3931098 GROVE S32A.docx
23K



ATT00002.htm
1K



Kirtlan S J Scottish Widows Policy number 3931098 GROVE S32A.docx
42K



ATT00003.htm
1K

Once we receive the deeds back it will take a couple of days to set the scheme bank account up at this point we will be able to apply for the transfers.

Kind regards

David

On 5 February 2016 at 11:13, Julia McCann <JuliaMcCann@ukisonline.com> wrote:

Dave

Further to our telecon just now, please find attached the docs as promised. Let me know what else is required and timescales/what's going to happen so that Chris Burgess can get back to the client.

Cheers

Julia

Julia McCann

UK Investment Strategies | UK Insurance Services | UKIS

Investment & Insurance Protection Specialists

Tel: 01625 538835

Mob: 07771 614174

Email: juliamccann@ukisonline.com

UK Investment Strategies Limited | UKIS House | 31 Chapel Lane | Wilmslow | Cheshire | SK9 5HW

IMPORTANT: This e-mail is intended for the addressee named above only, and may be covered by legal privilege and/or protected by law. If you are not the intended recipient please notify the sender immediately, and do not disclose the contents to any other person nor use, copy or store the e-mail in any medium. As communications via the Internet are not secure UK Investment Strategies Limited cannot accept liability if this e-mail is accessed by third parties during the course of transmission or is modified or amended in any way after being sent. Any views or opinions expressed within this e-mail are solely those of the sender, and do not represent those of the organisation unless specifically stated to the contrary. Although UK Investment Strategies Limited has taken every reasonable step to ensure that any attachment to this e-mail has been checked for viruses, it is strongly recommended that you carry out your own virus check before opening any attachment, as no liability can be accepted for any damage sustained as a result of software virus infection. UK Investment Strategies Limited reserves the right and senders of messages shall be taken to consent to the monitoring and recording of e-mails addressed to members of the firm.

UK Investment Strategies Limited is an appointed representative of Sorensen Financial Services, which is authorised and regulated by the Financial Conduct Authority. Sorensen Financial Services is entered on the FCA Register (<http://fca.org.uk/register/>) under reference 184289.

From: David Nicklin [<mailto:davidn@pensionpractitioner.com>]
Sent: Friday, February 5, 2016 12:34 PM
To: Julia McCann <JuliaMcCann@ukisonline.com>; Chris Burgess <cjburgess14@gmail.com>; Emily McAlister <emilym@pensionpractitioner.com>
Subject: Re: GLBRBS

Hi Julia,

Thank you for your email. I have passed the details of the transfers in onto our administration team and I will get back to you once they let me know if they need anything else. Please note we also charge £150plus Vat per transfer

Please find attached the relevant deeds and Metro Bank account application that will need to be signed witnessed and returned along with the SSAS set up Information form (attached) and the ID for Dane Black

SSAS SET UP INFORMATION

Client Name/s Members and Trustees	
Scheme Name	
What ceding schemes are the transfers coming from If not transfers – source & explanation of contribution	
What are the Transfer values/contribution amount	
What percentage of customers funds are going into non standard investments, unconnected third party loans, loan note structures.	
Details of the investments the client (trustees) are considering	
The number of people employed by the scheme establisher	
The corporation Tax reference of the employer	
The VAT registration number of the employer	
PAYE reference	
Current employment status	
Is the proposed Limited Company currently active	
Financial Adviser Involved	
If no Financial Adviser – explanation why	
Classification of Client Sophisticated High Net Worth Retail	
Explanation as to why customer is setting up a SSAS	
Names of Introducers .	
Details of web based material:	