

Transfer out Declaration Form

Once the form has been completed by you and the Receiving Scheme please return to the below address:

Vanguard
PO Box 24095
1 Tanfield
Edinburgh
EH3 1FS

Part 1 - Your details (to be completed by you)

Full name:

Edward Brunt

Vanguard SIPP Account number:

VG0407710

National Insurance Number:

JH260270C

Date of Birth:

10/12/1991

Telephone Number:

07918 559778

Address:

31 Thornbridge avenue
Sheffield
S12 3AA

Part 2 - Receiving Scheme Details (to be completed by you)

Name of receiving Pension Scheme:

Edward Brunt SSAS

Name of receiving Scheme Administrator:

RC Administration Limited

Telephone no for receiving Scheme Administrator:

03303 110839

Contact name at receiving Scheme Administrator:

Lisa Welton

Address of receiving Scheme Administrator:

1A Park Lane
Poynton
Cheshire
SK12 1RD

Part 3 – Other information (to be completed by you)

Type of Transfer:

Full Transfer

☒

Partial Transfer

☐

Method of Transfer:

Cash

☒

In-specie

☐

If this is a partial transfer please confirm amount you wish to transfer in pounds (£):

£

In order to complete a partial transfer you must maintain a minimum account value of £10,000 in your Vanguard Personal Pension account.

Please ensure there is an adequate cash balance to complete a partial cash transfer otherwise we will sell down additional investments proportionately to meet your request.

Please be aware that only accumulation SIPP clients can qualify for a partial transfer out request. Should you have crystallised your pension benefits in the past and received your pension commencement lump sum from your Vanguard Personal Pension you will have the option of making a full transfer out only.

Please note if you are receiving a regular income withdrawal from your Vanguard SIPP this will cease upon receipt of your instruction to transfer.

Have you taken regulated advice in respect of this pension transfer? Yes

☒

No

☒

If yes, please provide the following details:

Name of the company providing you with financial advice:

Name of financial adviser:

Contact number:

Firm FCA Reference Number:

How did you find out about this transfer opportunity?

Do you know where your pension savings will be invested under the new scheme?

Yes

☒

No

☐

If yes, please provide details:

Commercial Property which is leased out and will generate rental income for the scheme

Please tell us why you have decided to transfer away from Vanguard:

Access to a more diverse range of asset classes incl commercial property & lending to sponsor company

Your declaration

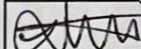
I hereby confirm that:

- i. I have requested the pension transfer;
- ii. I have had the opportunity to read and fully consider this document setting out the arrangements to be made between Vanguard Asset Management Ltd and the Trustees/Scheme Administrator of the Receiving Scheme;
- iii. I am aware of the benefits that will be awarded in respect of the transfer value in the Receiving Scheme;
- iv. I am aware that the amount of the transfer value is not guaranteed and may fluctuate and that the exact transfer value will not be known until the transfer date;
- v. Where I have requested a full transfer to the Receiving Scheme, the transfer value will represent the value of my entire interest, and my dependants' interest, in the Vanguard Personal Pension which is being transferred to the Receiving Scheme;
- vi. I am aware of the details set out in this document and hereby consent to the transfer of the transfer value to the Receiving Scheme;
- vii. Where I have requested a full transfer to the Receiving Scheme, in consideration of the arrangement made for the provision of additional benefits for me and my dependants under the Receiving Scheme, once the transfer value has been paid to the Receiving Scheme's Trustees/Scheme Administrator, my Vanguard Personal Pension account will be closed, and I hereby discharge the Trustee of the Vanguard Personal Pension and the Scheme Administrator of all claims, which I, and my dependants, have or may have to the benefits under the Vanguard Personal Pension in respect of the transfer value;
- viii. I am aware that in certain circumstances a transfer of benefits might not be a recognised transfer under the relevant tax rules and may be treated as an unauthorised payment by HMRC giving rise to a liability to pay tax;
- ix. If HMRC require Vanguard Asset Management Ltd to pay tax on the transfer value because the Receiving Scheme does not comply with HMRC's requirements, I undertake to refund Vanguard Asset Management Ltd the tax due by them;
- x. I am aware of the risk associated with pension fraud and I hold Vanguard Asset Management Ltd harmless from and against all costs, losses or expenses resulting from my decision to proceed with my pension transfer request, unless such an event has arisen as a result of any fraud, negligence or wrongful act by Vanguard Asset Management Ltd;
- xi. I can confirm that any information provided about my by the Receiving Scheme or my advisers has been verified by me as factual and correct and that Vanguard Asset Management Ltd is in no way responsible for any quotation or any literature issued by the Receiving Scheme or my advisers.;
- xii. To the best of my knowledge and belief the information given in this form is correct and complete.

Full Name:

Edward Brunt

Signature:



Date:

16/3/23

Part 4

Receiving Scheme (to be completed by receiving scheme)

Please tick the appropriate box describing the type of Receiving Scheme

Self-Invested Personal Pension (SIPP) ☐

Small Self Administered Scheme (SSAS) ☒

Annuity Provider ☐

Workplace Occupational Pension Scheme ☐

Personal Pension ☐

Name of receiving Pension Scheme:

Edward Brunt SSAS

HMRC Pension Scheme Tax Reference number:

20006642RE

A copy of the HMRC PSTR Certificate, and a dated print out of the HMRC registration details for the receiving scheme are included and dated within the last 30 days.

Yes



No



Name of receiving Scheme Administrator:

RC Administration Limited

Telephone no for receiving Scheme Administrator:

03303110839

Contact name at receiving Scheme Administrator:

Lisa Welton

Email Address:

info@rcadministration.com

Address of receiving Scheme Administrator:

1A Park Lane
Poynton
Cheshire
SK12 1RD

Name(s) of receiving Scheme Trustees

Edward Harold Brunt

Receiving scheme account number

N/A

For an in-specie transfer please confirm the following information:

Name of investment manager/stockbroker or
custodian receiving Scheme Administrator
who will be dealing with the stock re-
registration:

Telephone no:

Email Address:

Address of investment manager/ stockbroker/
custodian:

Investment manager/stockbroker/custodian
account number:

A valuation is included within this pack. Please confirm which funds are to be accepted in-specie.

<u>Name of fund</u>	<u>No of units to be transferred</u>	<u>ISIN</u>	<u>SEDOL</u>

**Payment Instructions (for an in-specie transfer, any cash element to be paid after stock
re-registrations have completed)**

Payee

Edward Brunt

Bank

Metro Bank

Address

One Southampton Row
London
WC1B 5HA

Account Name

Edward Brunt SSAS

Sort Code Account

23-05-80

Number

46862929

Payment Reference

PTI - Ed Brunt

Receiving Scheme Declarations

The Trustee(s)/Scheme Administrator of the Receiving Scheme:

- i) Confirms that the Receiving Scheme is a UK registered pension scheme and will accept and is capable of accepting the transfer value to be transferred from the Vanguard Personal Pension;
- ii) Confirms that the Receiving Scheme is not under review by HMRC;
- iii) Confirms that, where required under any relevant data protection law(s), the Trustees of the Receiving Scheme are registered with the Information Commissioner's Office as data controllers;
- iv) Undertakes to provide the member of the scheme with a copy of the correspondence from HMRC confirming the Receiving's Scheme PSTR number as evidence that the Receiving Scheme is registered with HMRC;

Where the Receiving Scheme is an occupational pension scheme:

- v) Confirms that the Receiving Scheme is an Occupational Pension Scheme as defined under s150(5) of the Finance Act 2004;

Where the Receiving Scheme is a personal pension scheme:

- vi) Confirms that the Receiving Scheme is FCA registered

Signed for and on behalf of the Trustee(s)/Scheme Administrator of the Receiving Scheme:

Printed Name of
Authorised Signatory

Lisa Welton

Signature

L Welton

Date

18/03/2023

Position of Authorised
Signatory:

Administrator

Contact telephone number:

03303110839