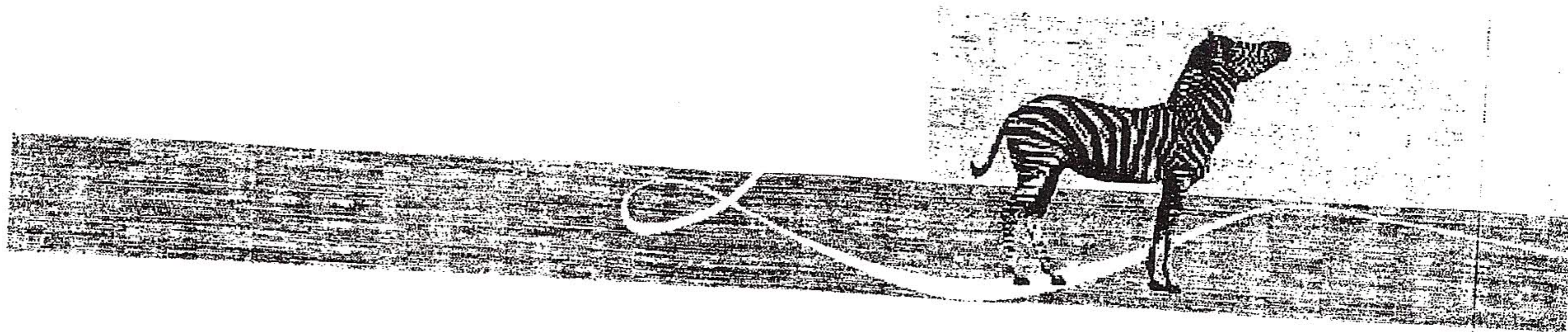




Investec Bank plc  
Account opening documents for corporates

*Out of the Ordinary™*

 **Investec**  
Bank

# 1. Application form

## A. Applicant name and type

The undersigned Applicant(s) instruct(s) Investec Bank plc (the 'Bank'), to open an Account. Subject to other instructions from the Applicant(s), the Bank shall credit all and any assets received in the Applicant(s) favour to this Account.

Applicant

Company

Trust

Partnership

Limited liability partnership

Sole trader

Charity

Pension scheme

Society/Club/Association

Applicant name

DAYS PENSION FUND

Trading as (if applicable)

Account number (internal use)

## B. Applicant details (Company, Trust, Partnership, Limited liability partnership, Sole trader, Pension scheme, Charity, Society, Club, Association)

Date of incorporation/formation/commencement of business

Place of incorporation/formation

UNITED KINGDOM

Domicile

UK

Purpose of Applicant

PENSION SCHEME ACCOUNT

Registration number (if applicable)

N/A.

Registered address (if applicable)

N/A

Mailing address

45 MANOR HOUSE DRIVE  
BRONDESBURY PARK  
LONDON

Post code

Contact name

ASHGAR ALI CHAUDRY

Post code NW6 7DE

E-mail address

Tel no.

Fax no.

Mobile no.

1. Application form (cont.)  
C. Personal details

Sole trader or first named: Director/Trustee/Partner/Member/Official

Title *MR*

Surname

*CHADDY*

First names

*ASHOK RAI*

Date of birth *20-02-1950*

Place of birth (town and country)

Passport/ID number

Passport nationality

Current residential address

*45 Manor House Drive  
Bromdesbury Park  
London*

Last address (if less than three years at current residential address)

Post code

*NW6 7PE*

Mailing address (if different to residential address)

Post code

E-mail address

Post code

Profession

Employer name & address

Home tel no.

Work tel no.

Mobile no.

Fax no.

Nationality

*BALEPISH*

Residency

UK tax payer? ☒ Yes

No

Domestic

Marital status

Single

☒ Married

Separated

Divorced

Widowed

Internal use only: face to face meeting

Yes

No

(State name)

1. Application form (cont.)

C. Personal details

Sole trader or first named: Director/Trustee/Partner/Member/Official

Title MRS

Surname ACI

First names SHARICA

Date of birth 01-03-1954 Place of birth (town and country)

Passport/ID number

Passport nationality

Current residential address

45 MAJOR HOUSE DRIVE  
BRONDESBURY PARK  
LONDON

Last address (if less than three years at current residential address)

Post code NW6 7DE

Mailing address (if different to residential address)

Post code

E-mail address

Post code

Profession

Employer name & address

Home tel no.

Work tel. no.

Mobile no.

Fax no.

Residency

Nationality

Domicile

UK tax payer? ☒ Yes

☐ No

Tax identification number (non-UK residents)

Marital status

☐ Single

☒ Married

☐ Separated

☐ Divorced

☐ Widowed

Internal use only: face to face meeting

☐ Yes

☐ No

(state name)

1. Application form (cont.)

C. Personal details

Sole trader or first named: Director/Trustee/Partner/Member/Official

Title MR

Surname ALICE

First names ATHAR RIZWAN

Date of birth 21-01-1979

Place of birth (town and country)

Passport/ID number

Passport nationality

Current residential address

45 MIMMO PARK DRIVE  
BROMESDART PARK  
LEMAN

Last address (if less than three years at current residential address)

Post code NU6 7DE

Mailing address (if different to residential address)

Post code

E-mail address

Post code

Profession

Employer name & address

Home tel no.

Work tel no.

Mobile no.

Fax no.

Residency

Nationality BRITISH

Denitic

UK tax payer?

Yes ☒

No

Tax identification number (non-UK residents)

Marital status

Single

☒ Married

Separated

Divorced

Widowed

Internal use only: face to face meeting

Yes

No

(state name)

1. Application form (cont.)

C. Personal details

Sole trader or first named: Director/Trustee/Partner/Member/Official

Title *MRS* Surname *ALI*

First names *MARIAM*

Date of birth *14-02-1986* Place of birth (town and country)

Passport/ID number

Passport nationality

Current residential address *45 MANOR PARK DRIVE  
BRONDES BART PARK  
LONDON*

Post code *NW6 7DE*

Last address (if less than three years at current residential address)

Post code

Mailing address (if different to residential address)

Post code

E-mail address

Profession

Employer name & address

Work tel. no.

Home tel no.

Fax no.

Mobile no.

Residency

Nationality

*PAKISTANI*

Domicile

UK tax payer? Yes ☒ No

Tax identification number (non-UK residents)

Marital status Single ☒ Married

Separated

Divorced

Widowed

Internal use only: face to face meeting

Yes

No

(state name)

## 2. Anti-money laundering requirements

Investec Bank plc is obliged to obtain documents as per the following sections prior to opening any Account. In doing so, this will prevent any unnecessary delay in opening the Account. You will be required to fill out Section A and the following section dependent upon your account type.

### Account type

|                                                          |           |
|----------------------------------------------------------|-----------|
| Company:                                                 | Section B |
| Trust:                                                   | Section C |
| Pension scheme:                                          | Section D |
| Partnerships (excluding limited liability partnerships): | Section E |
| Sole traders:                                            | Section F |
| Limited liability partnerships:                          | Section G |
| Charities:                                               | Section H |
| Societies/Clubs/Associations:                            | Section I |

### Note: Authorised certifying bodies

For UK individuals, a person from one of the following professions may certify documents: Private banker, Lawyer, Accountant, Bank manager, Notary public or Embassy official. For non-UK individuals a person from one of the following professions may certify documents: an embassy official, a lawyer or attorney. Certification should bear the stamp of office, be dated and signed by the certifying person stating 'original seen', and note the name, address and position of the certifying person. The certifier should be a person/organisation of reputable standing who is independent of each Account Holder and who has no connection with the Applicant(s) wishing to open the account.

### Note: Use of introduction certificates

The requirements in sections B for Companies, C for Trusts, D for Pension schemes, E for Partnerships (excluding limited liability partnerships), F for Sole traders, G for Limited liability partnerships, H for Charities and I for Societies/Clubs/Associations may be satisfied using a Group Introduction Certificate as set out in Section J – for internal use only. Non-Investec introduction certificates may only be accepted with an Investec money laundering reporting officer's (MLRO) approval.

### PO Box addresses

Documents confirming an address that list a PO Box can only be accepted with certified evidence (see above) linking the PO Box to the residential address given in the account application form.

### Guarantors

Any party providing a guarantee as collateral to a loan account will be required to be subject to the same level of anti-money laundering checks as the Account Holder.

## A. General

### Expected transaction volume

Indicate the level of expected account turnover

Greater than 50 transactions per week, or transfer of value in excess of £500,000 per annum

Between 10 and 50 transactions per week, or transfer of value between £125,000 and £500,000 per annum

☒ Less than 10 transactions per week, or transfer of value less than £125,000 per annum

Loan drawdowns to and redemptions by Account holder only

Explain transfer activity

Purpose of the Account Explain what the Account will be used for

PENSION SCHEME CONTRIBUTIONS, INVESTMENTS IN ACCORDANCE  
WITH THE GOVERNING TRUST DEED & RULES

## 2. Anti-money laundering requirements (cont.)

### D. Pension Schemes

Pension Scheme details

Pension scheme tax reference (PSTR)

☒ Please provide a certified copy of the trust deed or other document(s) governing the Pension Scheme and any deeds of amendment or HMRC registration.

Name and address of Practitioner/Trustee/s or Scheme (if different)

Town

County

Postcode

Name and address of pension provider or principal employer

84 BELGRAVE ROAD

DAY'S INN (WESTMINSTER) LIMITED

Town LONDON

County

Postcode SW1V 2J

Regulated/approved trustee companies/practitioners

☒ If regulated or approved, attach documentary evidence of regulated status.

Unregulated trustee company/practitioners

Any trustee company that is not regulated as above should be verified as set out in Section B for Companies.

Unregulated entities

Verification of controlling trustee

The identity of a controlling trustee should be verified. For these purposes a controlling trustee is defined as a company and/or an individual who has the power to appoint or remove a trustee, and/or who has signatory rights over the Account.

Please insert the full names of:

Controlling trustee 1

Controlling trustee 2

Controlling trustee 3

Controlling trustee 4

All trusts/pension schemes

Verification of settlor

The identity of a settlor should be verified. If the settlor is a corporate, it should be verified as set out in Section B for Companies.

Please insert the full names of:

Settlor 1

Settlor 2



### 3. Financial and product information

#### Details of Applicant

Name

Annual turnover £

Annual operating profit £

Approximate value of assets £

Source of wealth (please provide any relevant information required to substantiate the source)

#### IFA/agent/broker

Have you been introduced to the Bank by an IFA/agent/broker?

Yes

No ☒

If yes, please complete the details below

Name of company

Name of person

Address

I/We hereby authorise you to disclose information about my/our Account to my/our IFA/agent/broker as above

Yes

No

#### Existing client and referral details

Are you an existing Account Holder of the Bank?

Yes

No

If yes, please provide the account number(s)

Did another Account Holder recommend you to the Bank?

Yes

No

If yes, please supply the Account Holder's name and postcode. As a gesture of appreciation, the Bank will pay £50 to the Account Holder, and credit your account with £50 once the new Account is opened.

Name of Account Holder

Address

### 3. Financial and product information (cont.)

#### Type of Account to be opened

Please tick the appropriate box(es) for the Account(s) to be opened

**Business Cheque Account** (£25,000 minimum balance – interest paid monthly)

Amount to invest £

**Business High 5** (minimum investment £50,000)

Interest to be paid

Monthly

Annually

Amount to invest £

**Client Reserve** (£250,000 minimum balance – interest paid monthly)

Amount to invest £

**Corporate Reserve** (£25,000 minimum balance)

Interest to be paid

Monthly

Annually

Amount to invest £

**Fixed Deposit** (minimum investment £50,000 or the equivalent in euro or US dollars)

Sterling

Dollar

Euro

Amount to invest £/\$/€

Term of deposit:

1 week

2 weeks

1 month

2 months

3 months

6 months

Other (specify)

**Libor Plus Deposit** (£500,000 minimum balance, maximum balance £5 million – interest paid monthly)

Amount to invest £

✓ **Pension and Trust Cheque Account** (no minimum balance – interest paid monthly)

Amount to invest £ 175,000 – 200,000

**Pension and Trust Reserve** (minimum investment £25,000)

Interest to be paid

Monthly

Annually

Amount to invest £

**Other Account**

Interest to be paid

Monthly

Annually

Sterling

Dollar

Euro

Amount to invest £/\$/€

#### Interest paid away

Unless stated otherwise in the Terms for the Account, interest accrued for each of the above Accounts is credited directly to the Account. If you would like the interest to be paid away from a GBP account to another same-named Account, please complete the following section.

Name of bank/building society

Account number

Sort code

### 3. Financial and product information (cont.)

Money Market Tracker (minimum investment £100,000 – interest paid monthly)

Monthly Tracker £

Daily Tracker £

Fixed Tracker £

In order to facilitate withdrawals from the Money Market Tracker Account, up to three nominated accounts held by the Account Holder in the same name, can be loaded and at least one must be provided to the Bank before the Account can be opened. Interest accrued for the Account is credited to the Monthly Tracker. Please complete the following Nominated Account(s) section and tick one of the boxes below if you would like the interest to be paid away to a Nominated Account.

Name of bank/building society

Account number

Sort code

Interest to be paid away

Name of bank/building society

Account number

Sort code

Interest to be paid away

Name of bank/building society

Account number

Sort code

Interest to be paid away

#### Method of deposit

- ☒ Cheque/bankers draft cheque payable to Investec Bank plc or the Applicant(s)
- ☒ Telegraphic transfer/Chaps (please call the Bank for further information)

Existing Account Holder, please debit account number

Private banker/Primary relationship officer name

JAMAL IGBAL, EDO BRASECKE

Now go to Section 4 if you are applying for Online Banking or Section 5 Declaration

## 5. Declaration

### 5.1 Terms and Conditions

I/We hereby acknowledge receipt of and confirm that I/we accept the terms of the Agreement, as defined in the Investec Bank plc General Terms and Conditions.

### 5.2 Application for Online Banking

I/We apply for Online Banking (tick)

### 5.3 Completion of this application form

I/We declare that the information provided in this application form and supporting documents is true and complete and confirm my/our understanding that the Bank in making its decision to open any Account will be relying on such information.

### 5.4 Binding agreement

I/We understand that the Bank will only be bound by the Agreement once I/we have completed, signed and returned the application form with all supporting documentation and the Bank has completed its final checks and has agreed to open an Account for me/us.

### 5.5 Data protection notification

I/We understand that the personal information provided on this form and other information relating to my/our Account may only be used in accordance with the purposes and disclosures under the current data protection legislation. By signing this form I/we confirm that I/we have read and understood the data protection policy as disclosed in the Investec Bank plc General Terms and Conditions enclosed and I/we consent to the activities described therein. The Bank may wish to send to me/us information on other products or services which it believes will be of interest to me/us from them and would also like to pass my/our details to other organisations approved by them so that they can offer products or services which are likely to be of interest to me/us.

I/We do not wish to receive marketing information by post and telephone.

I/We would like to receive marketing information by e-mail, fax or other electronic means.

### 5.6 Independent checks

I/We agree that the Bank may at its discretion perform independent checks to verify my/our identity and/or address and/or to validate certified documents that I/we have provided to the Bank. I/We further agree that these recognised independent checks may include documented checks of electronic phone disc, electoral register and/or credit bureau records, and/or confirmation from a solicitor or accountant.

### 5.7 Additionally for Pension Schemes

We, the provider/Trustee(s) for the time being of the Scheme, wish to make a deposit, and hereby declare:

- (a) that the Scheme to which this application form relates is registered by HM Revenue & Customs or has been submitted to HM Revenue & Customs for registration under the Finance Act 2004;
- (b) that we are empowered to effect a contract of this nature and that the deposit is permitted under the terms of the governing documents;
- (c) that we or our successors shall notify the Bank if at any time the Scheme (or arrangements under the Scheme in respect of which benefits are to be secured under the Scheme) cease(s) to be registered under the Finance Act 2004;
- (d) we authorise HM Revenue & Customs to tell the Bank if the Scheme is not registered or if that registration is withdrawn.

### 5.8 Memorable word or phrase

I/We acknowledge that the Bank will use the following memorable word or phrase provided by me/us only for the purposes of assisting in identifying me/us in any telephone communication with the Bank, either as part of or in addition to any other identification or verification checks it may carry out. Please insert (minimum 8, maximum 20 characters)

### 5.9 Authorised Signatories

- 5.9.1 I/We instruct the Bank to act on instructions which are received from the Authorised Signatories noted below, using the signatures given below. Once the Bank has agreed to open an Account, the Authorised Signatures will alone be valid for all transactions between me/us and the Bank in relation to that Account. These instructions remain valid until revoked by notice to the Bank sent by me/us in writing sent by post. All previous specimen signature cards are hereby cancelled.

## 5. Declaration (cont.)

Authorised Signatory – First named signatory

X

Print name

ASHGAR ALECHAUDRY

Official position

TRUSTEE

Authorised Signatory – Third named signatory

X

Print name

ATHAR RIZWAN ALI

Official position

TRUSTEE

Authorised Signatory – Fifth named signatory

Authorised Signatory – Second named signatory

X

Print name

SHAKILA ALI

Official position

TRUSTEE

Authorised Signatory – Fourth named signatory

X

Print name

MARIAM ALI

Official position

TRUSTEE

Authorised Signatory – Sixth named signatory

Print name

Official position

Print name

Official position

### 5.9.2 Authorised Signatory conditions

Please detail Authorised Signatory conditions (for example, "any two authorised signatories must sign". If left blank any one authorised signatory alone will be able to carry out instructions, payment orders and make any other transactions or provide information).

X

This declaration shall be governed by and construed in accordance with the laws of England and Wales.

### 5.10 Resolution (not applicable to Sole Traders)

We certify that the terms of this application form and the Agreement, as defined in the Investec Bank plc General Terms and Conditions, were approved at a properly convened meeting of our board of directors or similar body or the decision-making committee with authority to so approve at which a quorum was present at all relevant times, all in accordance with our constitution or Trust Deed (as appropriate) and all relevant laws and regulations governing our management and affairs, and that the person(s) named below were authorised to sign this application form on our behalf. We agree to provide an extract minute of that meeting setting out such approval and authorisation certified by the Chairman of the meeting or our secretary or a trustee (as appropriate) if so requested.

5. Declaration to Investec Bank plc (cont.)

Application and declaration signed by\*:

Place and date

Signature

LONDON 26 MARCH 2010

Print name

Capacity

ASEKHAR ACF CHAUDRY

TRUSTEE

Place and date

Signature

LONDON 26 MARCH 2010

Print name

Capacity

SHAKICA ALI

TRUSTEE

\*Please refer to Guidance notes as to who may sign the application form and declaration.

5. Declaration to Investec Bank plc (cont.)

Application and declaration signed by:

Place and date

LONDON 26 MARCH 2010

Signature

Print name

ATUL RIZWAN ALI

Capacity

TRUSTEE

Place and date

LONDON 26 MARCH 2010

Signature

Print name

MARIAM ALI

Capacity

TRUSTEE

Please refer to Guidance notes as to who may sign the application form and declaration