



# Carlton James Retirement Fund

## Financial Report

The underlying report has been generated following the request from the Pension Schemes Administrator for scheme activity in the Carlton James Retirement Fund for financial year 20-21 (Herein known as 'the scheme').



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### Scheme Information

<b>Scheme name</b>	Carlton James Retirement Fund
<b>Sponsoring company name</b>	Carlton James Private & Commercial Ltd
<b>Company number</b>	09074495
<b>Company UTR number</b>	455 33083 27206 A
<b>Scheme PSTR number</b>	00815782RM
<b>Scheme holding bank</b>	Allied Irish Bank
<b>Scheme bank account number</b>	04919088
<b>Scheme sort code</b>	23-83-96
<b>Scheme establishment date</b>	21 <sup>st</sup> October 2014
<b>Number of scheme Member/Trustees</b>	9
<b>Who is the scheme administrator</b>	Registered Scheme Administrator Ltd
<b>Who is the scheme Consultant?</b>	JAN Investment Marketing

**Scheme Fee Structure** – the fee structure detailed below is the structure at the date of the account closure report. Carlton James do not charge fees to the client for their service provided. Prices may vary from account establishment to account closure.

<b>Administrator set up fee charges</b>	First member charge £880; Any additional members £440
<b>Consultant set up charges</b>	2% initial charge
<b>Administrator admin fee charges</b>	First member charge £880; Any additional members £440
<b>Consultant servicing charges</b>	0.5% Annual Charge

### **Sponsoring Employer Details**

<b>Sponsoring Employer Address</b>	Lime Kiln House, Lime Kiln, Royal Wootton Bassett, SN4 7HF
<b>Scheme Banking Address</b>	St James's House, Charlotte Street, Lancashire, M1 4DZ



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**Corporate Scheme Status**

***Scheme Overview:***

Scheme Cash Available		Scheme Value
£107,283.17		£2,406,852.88

***\*position at the report date Financial Year 19/20***

Scheme Cash Available		Scheme Value
£41,445.67		£2,137,844.41

***\*position at the report date Financial Year 20/21***

Contributions (Financial Year 20/21)			
Contribution Type	From	Value	Date
SIPP Transfer – Cash	Transact	£39,851.45	07/04/2020
Total		£52,527.01	



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Transfers Out (Financial Year 20/21)			
Transaction Type	To	Value	Date
Income Drawdown	Nigel Scott	£7,065.00	07/04/2020
Income Drawdown	Nigel Scott	£7,065.00	06/05/2020
SSAS Transfer - Cash	WWP Trustee Scheme	£44,623.71	12/05/2020
Income Drawdown	Nigel Scott	£7,065.00	08/06/2020
Income Drawdown	Nigel Scott	£7,065.00	07/07/2020
Income Drawdown	Nigel Scott	£7,065.00	06/08/2020
Income Drawdown	Nigel Scott	£7,065.00	07/09/2020
Income Drawdown	Nigel Scott	£7,065.00	06/10/2020
PCLS Payment	Andrew Ody	£48,674.65	08/10/2020
Income Drawdown	Nigel Scott	£4,647.00	06/11/2020
Flexi Access Drawdown	Andrew Ody	£50,000.00	06/11/2020
Income Drawdown	Nigel Scott	£4,239.00	07/12/2020
Income Drawdown	Nigel Scott	£4,239.00	07/01/2021
Income Drawdown	Nigel Scott	£4,239.00	08/02/2021
Income Drawdown	Nigel Scott	£4,239.00	08/03/2021
Total		£214,356.36	



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Banking Transactions – Incoming (Financial Year 20/21)		
Date	Item	Amount
22/04/2020	Coastal Hotels (No) Limited – Interest Payment	£3,000.00
04/05/2020	Carlton James Diversified Alpha Fund – Dividend Payment	£505.11
06/05/2020	Carlton James Diversified Alpha Fund – Dividend Payment	£825.89
22/06/2020	Coastal Hotels (No) Limited – Interest Payment	£18,000.00
02/09/2020	Carlton James Diversified Alpha Fund – Dividend Payment	£404.67
02/09/2020	Carlton James Diversified Alpha Fund – Dividend Payment	£247.32
21/10/2020	Coastal Hotels (No) Limited – Interest Payment	£15,000.00
23/10/2020	Futurescope Consultants Limited – Dividend Payment	£2,450.00
21/01/2021	Coastal Hotels (No) Limited – Interest Payment	£3,000.00
01/03/2021	Carlton James Diversified Alpha Fund – Dividend Payment	£242.61
01/03/2021	Carlton James Diversified Alpha Fund – Dividend Payment	£396.96
24/03/2021	Futurescope Consultants Limited – Dividend Payment	£1,225.00
<b>Total</b>		<b>£45,297.56</b>



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Banking Transactions – Outgoing (Financial Year 20/21)		
Date	Item	Amount
25/06/2020	Pension Scheme Consultant Fee	£11,633.62
03/07/2020	RSA Ltd Annual Admin Fee	£3,960.00
14/09/2020	RSA Ltd fee for update of Scheme rules	£950.00
16/09/2020	ICO Data Protection Fee	£40.00
01/10/2020	RSA Ltd fee for online on-boarding	£1,200.00
12/11/2020	RSA Ltd PAYE Fees	£580.00
23/12/2020	Pension Scheme Consultant Fee	£1,891.42
23/12/2020	Pension Scheme Consultant Fee	£2,613.61
30/12/2020	The Pensions Regulator Levy	£170.20
01/03/2021	Pension Scheme Consultant Fee	£3,151.30
01/04/2021	RSA Ltd PAYE Admin Fee	£440.00
Total		£26,630.15



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Current Scheme Investment Strategies	
<b>Fund Name(s)</b>	Carian Ltd Preference Share Purchase
<b>Original Investment Value</b>	£200,000.00
<b>Current Investment Value</b>	£0.00
<b>Investment Term</b>	5 Years
<b>Initial Investment Date</b>	07/04/2016
<b>Maturity Date</b>	07/04/2021
<i>*Carian Ltd was dissolved 04/04/2017</i>	
<b>Fund Name(s)</b>	Hudspiths Ltd Compounded Contract
<b>Original Investment Value</b>	£55,000.00
<b>Current Investment Value</b>	£0.00
<b>Investment Term</b>	Open Ended
<b>Initial Investment Date</b>	28/07/2016
<b>Maturity Date</b>	N/A
<i>*Investment provider undergoing compulsory liquidation</i>	
<b>Fund Name(s)</b>	Hudspiths Ltd Compounded Contract
<b>Original Investment Value</b>	£140,000.00
<b>Current Investment Value</b>	£0.00
<b>Investment Term</b>	Open Ended
<b>Initial Investment Date</b>	28/07/2016
<b>Maturity Date</b>	N/A
<i>*Investment provider undergoing compulsory liquidation</i>	
<b>Fund Name(s)</b>	Aurelius Holdings Ltd Preference Share Purchase
<b>Original Investment Value</b>	£230,000.00
<b>Current Investment Value</b>	£230,000.00
<b>Investment Term</b>	5 Years
<b>Initial Investment Date</b>	28/07/2016
<b>Maturity Date</b>	28/07/2021



CARLTONJAMES

<b>Fund Name(s)</b>	Futurescope Consultants Ltd Preference Share Purchase
<b>Original Investment Value</b>	£32,200.00
<b>Current Investment Value</b>	£32,200.00
<b>Investment Term</b>	5 Years
<b>Initial Investment Date</b>	22/03/2017
<b>Maturity Date</b>	22/03/2022
<b>Fund Name(s)</b>	The Pure Beauty Room Ltd Preference Share Purchase
<b>Original Investment Value</b>	£160,000.00
<b>Current Investment Value</b>	£0.00
<b>Investment Term</b>	5 Years
<b>Initial Investment Date</b>	16/05/2017
<b>Maturity Date</b>	16/05/2022
<i>*The Pure Beauty Room Ltd was dissolved 19/06/2018</i>	
<b>Fund Name(s)</b>	Stagg Properties Limited Preference Share Purchase
<b>Original Investment Value</b>	£158,000.00
<b>Current Investment Value</b>	£158,000.00
<b>Investment Term</b>	5 Years
<b>Initial Investment Date</b>	24/07/2017
<b>Maturity Date</b>	24/07/2022
<b>Fund Name(s)</b>	TempleFX: Hunter Strategy Account
<b>Original Investment Value</b>	£200,000.00
<b>Current Investment Value</b>	£0.00
<b>Investment Term</b>	Open Ended
<b>Initial Investment Date</b>	24/07/2017
<b>Maturity Date</b>	N/A





CARLTONJAMES

<b>Fund Name(s)</b>	Fenturi Limited Preference Share Purchase
<b>Original Investment Value</b>	£150,000.00
<b>Current Investment Value</b>	£150,000.00
<b>Investment Term</b>	5 Years
<b>Initial Investment Date</b>	28/07/2017
<b>Maturity Date</b>	28/07/2022
<b>Fund Name(s)</b>	TempleFX: Hunter Strategy Account
<b>Original Investment Value</b>	£101,280.00
<b>Current Investment Value</b>	£0.00
<b>Investment Term</b>	Open Ended
<b>Initial Investment Date</b>	15/09/2017
<b>Maturity Date</b>	N/A
<b>Fund Name(s)</b>	Bridging Loan, Coastal Hotels Limited
<b>Original Investment Value</b>	£238,000.00
<b>Current Investment Value</b>	£238,000.00
<b>Investment Term</b>	3 Years
<b>Initial Investment Date</b>	20/10/2017
<b>Maturity Date</b>	20/10/2020
<b>Fund Name(s)</b>	TempleFX: Hunter Strategy Account
<b>Original Investment Value</b>	£150,000.00
<b>Current Investment Value</b>	£0.00
<b>Investment Term</b>	Open Ended
<b>Initial Investment Date</b>	21/11/2017
<b>Maturity Date</b>	Open Ended



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<b>Fund Name(s)</b>	G8 (UK) Limited Preference Share Purchase
<b>Original Investment Value</b>	£150,000.00
<b>Current Investment Value</b>	£150,000.00
<b>Investment Term</b>	Open Ended
<b>Initial Investment Date</b>	09/01/2018
<b>Maturity Date</b>	Open Ended
<b>Fund Name(s)</b>	TempleFX: Hunter Strategy Account
<b>Original Investment Value</b>	£65,000.00
<b>Current Investment Value</b>	£0.00
<b>Investment Term</b>	Open Ended
<b>Initial Investment Date</b>	23/03/2018
<b>Maturity Date</b>	N/A
<b>Fund Name(s)</b>	Carlton James Diversified Alpha Fund
<b>Original Investment Value</b>	£75,000.00
<b>Current Investment Value</b>	£91,228.48
<b>Investment Term</b>	Open Ended
<b>Initial Investment Date</b>	25/04/2018
<b>Maturity Date</b>	Open Ended
<b>Fund Name(s)</b>	Fortuit Investments Limited
<b>Original Investment Value</b>	£24,200.00
<b>Current Investment Value</b>	£24,200.00
<b>Investment Term</b>	5 Years
<b>Initial Investment Date</b>	29/01/2019
<b>Maturity Date</b>	29/01/2024



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<b>Fund Name(s)</b>	Carlton James Commercial Real Estate
<b>Original Investment Value</b>	£269,990.30
<b>Current Investment Value</b>	£254,120.37
<b>Investment Term</b>	N/A
<b>Initial Investment Date</b>	01/05/2019
<b>Maturity Date</b>	N/A
<b>Fund Name(s)</b>	Fortuit Investments Limited
<b>Original Investment Value</b>	£30,800.00
<b>Current Investment Value</b>	£30,800.00
<b>Investment Term</b>	5 Years
<b>Initial Investment Date</b>	19/06/2019
<b>Maturity Date</b>	19/06/2024
<b>Fund Name(s)</b>	Carlton James Diversified Alpha Fund
<b>Original Investment Value</b>	£50,000.00
<b>Current Investment Value</b>	£55,794.43
<b>Investment Term</b>	Open Ended
<b>Initial Investment Date</b>	02/09/2019
<b>Maturity Date</b>	Open Ended
<b>Fund Name(s)</b>	Carlton James Commercial Real Estate
<b>Original Investment Value</b>	£75,000.00
<b>Current Investment Value</b>	£64,289.88
<b>Investment Term</b>	N/A
<b>Initial Investment Date</b>	01/09/2019
<b>Maturity Date</b>	N/A
<a href="https://www.xe.com/currencytables/?from=USD&amp;date=2021-04-05#table-section">https://www.xe.com/currencytables/?from=USD&amp;date=2021-04-05#table-section</a>	



CARLTONJAMES

<b>Fund Name(s)</b>	Carlton James Commercial Real Estate
<b>Original Investment Value</b>	£122,254.89
<b>Current Investment Value</b>	£116,721.51
<b>Investment Term</b>	N/A
<b>Initial Investment Date</b>	01/01/2020
<b>Maturity Date</b>	N/A
<a href="https://www.xe.com/currencytables/?from=USD&amp;date=2021-04-05#table-section">https://www.xe.com/currencytables/?from=USD&amp;date=2021-04-05#table-section</a>	
<b>Fund Name(s)</b>	Carlton James Commercial Real Estate
<b>Original Investment Value</b>	£65,357.87
<b>Current Investment Value</b>	£62,347.69
<b>Investment Term</b>	N/A
<b>Initial Investment Date</b>	01/01/2020
<b>Maturity Date</b>	N/A
<a href="https://www.xe.com/currencytables/?from=USD&amp;date=2021-04-05#table-section">https://www.xe.com/currencytables/?from=USD&amp;date=2021-04-05#table-section</a>	
<b>Fund Name(s)</b>	Carlton James Commercial Real Estate
<b>Original Investment Value</b>	£59,329.69
<b>Current Investment Value</b>	£56,597.16
<b>Investment Term</b>	N/A
<b>Initial Investment Date</b>	01/01/2020
<b>Maturity Date</b>	N/A
<a href="https://www.xe.com/currencytables/?from=USD&amp;date=2021-04-05#table-section">https://www.xe.com/currencytables/?from=USD&amp;date=2021-04-05#table-section</a>	
<b>Fund Name(s)</b>	Carlton James Commercial Real Estate
<b>Original Investment Value</b>	£59,642.24
<b>Current Investment Value</b>	£56,895.30
<b>Investment Term</b>	N/A
<b>Initial Investment Date</b>	01/01/2020
<b>Maturity Date</b>	N/A
<a href="https://www.xe.com/currencytables/?from=USD&amp;date=2021-04-05#table-section">https://www.xe.com/currencytables/?from=USD&amp;date=2021-04-05#table-section</a>	



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<b>Fund Name(s)</b>	Carlton James Commercial Real Estate
<b>Original Investment Value</b>	£223,658.41
<b>Current Investment Value</b>	£213,357.39
<b>Investment Term</b>	N/A
<b>Initial Investment Date</b>	01/01/2020
<b>Maturity Date</b>	N/A
<a href="https://www.xe.com/currencytables/?from=USD&amp;date=2021-04-05#table-section">https://www.xe.com/currencytables/?from=USD&amp;date=2021-04-05#table-section</a>	
<b>Fund Name(s)</b>	Carlton James Commercial Real Estate
<b>Original Investment Value</b>	£117,246.55
<b>Current Investment Value</b>	£111,846.53
<b>Investment Term</b>	N/A
<b>Initial Investment Date</b>	01/01/2020
<b>Maturity Date</b>	N/A
<a href="https://www.xe.com/currencytables/?from=USD&amp;date=2021-04-05#table-section">https://www.xe.com/currencytables/?from=USD&amp;date=2021-04-05#table-section</a>	

Matured Investments					
Investment Name	Original Investment (£)	Date	Maturity Value (£)	Maturity Date	Growth on Funds (£)
Properation Limited Pref Share Issue	90,000.00	21/07/2015	90,000.00	20/08/2020	0.00



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**Member Information**

Robert Holmes	
<b>Member Number</b>	1
<b>Status</b>	Trustee
<b>Date of Birth</b>	15/10/1958
<b>Sex</b>	Male
<b>National Insurance Number</b>	WE048438B
<b>Client Address</b>	17 Beranburh Field Wroughton Swindon SN4 0QL
<b>Contact Number</b>	07818007114
<b>Email Address</b>	Raholmes17@gmail.com
<b>Date Appointed to Scheme</b>	21/10/2014

Simon Calton	
<b>Member Number</b>	2
<b>Status</b>	Trustee
<b>Date of Birth</b>	05/04/1980
<b>Sex</b>	Male
<b>National Insurance Number</b>	JM969938C
<b>Client Address</b>	67 Britten Road Swindon Wiltshire SN25 2HQ
<b>Contact Number</b>	07786023673
<b>Email Address</b>	simon@rycalgroup.com
<b>Date Appointed to Scheme</b>	21/10/2014



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Alan Edwards	
Member Number	3
Status	Trustee with holdings
Date of Birth	09/03/1958
Sex	Male
National Insurance Number	YP245738A
Client Address	27 St Margaret Road Old Town Swindon SN3 1RU
Contact Number	01793 694781
Email Address	alanjualedwards@gmail.com
Date Appointed to Scheme	11/03/2015

Andrew Ody	
Member Number	4
Status	Trustee with holdings
Date of Birth	23/11/1964
Sex	Male
National Insurance Number	NE439585A
Client Address	65 Thorney Park Wroughton Swindon SN4 0QR
Contact Number	01285 655220
Email Address	aody@batten-allen.co.uk
Date Appointed to Scheme	29/06/2015



CARLTON JAMES

Ashni Joshi	
<b>Member Number</b>	5
<b>Status</b>	Trustee with holdings
<b>Date of Birth</b>	20/09/1961
<b>Sex</b>	Male
<b>National Insurance Number</b>	Unknown
<b>Client Address</b>	191 Oldfield Lane North Greenford UB6 8PN
<b>Contact Number</b>	07946296708
<b>Email Address</b>	ashnijoshi@hotmail.com
<b>Date Appointed to Scheme</b>	04/04/2016

Julian Teicht	
<b>Member Number</b>	6
<b>Status</b>	Trustee with holdings
<b>Date of Birth</b>	28/01/1964
<b>Sex</b>	Male
<b>National Insurance Number</b>	NE092350A
<b>Client Address</b>	Hurst Cottage Effingham Leatherhead Surrey KT24 5QP
<b>Contact Number</b>	07770597237
<b>Email Address</b>	juliant@cobhamgroup.com
<b>Date Appointed to Scheme</b>	16/02/2016





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Noyan Nihat	
Member Number	7
Status	Trustee with holdings
Date of Birth	02/07/1969
Sex	Male
National Insurance Number	NR821712B
Client Address	6 Buckingham Avenue London N20 9BX
Contact Number	07764 222007
Email Address	nnihat@evolutiondm.com
Date Appointed to Scheme	09/01/2018

Henry Jodrell	
Member Number	8
Status	Trustee with holdings
Date of Birth	28/04/1967
Sex	Male
National Insurance Number	NM976534B
Client Address	Church Farm House Easton Grey Malmesbury Wiltshire SN16 0PF
Contact Number	07909 887711
Email Address	hjodrell@change4profit.com
Date Appointed to Scheme	26/07/2017



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Stuart Newland	
Member Number	9
Status	Trustee with holdings
Date of Birth	08/01/1967
Sex	Male
National Insurance Number	NM500833D
Client Address	98 Thetford Way Taw Hill Swindon SN25 1WJ
Contact Number	07550096188
Email Address	stuart@fenturi.com
Date Appointed to Scheme	08/07/2016

Beverley Barnett	
Member Number	10
Status	Trustee with holdings
Date of Birth	21/04/1966
Sex	Female
National Insurance Number	NH743478B
Client Address	The Bungalow Clyngwyn Terrace Blaenrhondda CF42 5SH
Contact Number	07521 053282
Email Address	bbarnettuk@hotmail.com
Date Appointed to Scheme	04/05/2017



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Nigel Scott	
Member Number	11
Status	Trustee with holdings
Date of Birth	27/12/1954
Sex	Male
National Insurance Number	YX015929A
Client Address	4 Manor Gardens Swindon Wiltshire SN2 2ND
Contact Number	07889206718
Email Address	Nigel.scott@sodexo.com
Date Appointed to Scheme	17/03/2017



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Notes: