



Carlton James Retirement Fund

Financial Report

The underlying report has been generated following the request from the Pension Schemes Practitioner for scheme activity in the Carlton James Retirement Fund for financial year 18-19 (Herein known as 'the scheme').



CARLTONJAMES

Scheme Information

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|---|--|
| Scheme name | Carlton James Retirement Fund |
| Sponsoring company name | Carlton James Private & Commercial Ltd |
| Company number | 09074495 |
| Company UTR number | 455 33083 27206 A |
| Scheme PSTR number | 00815782RM |
| Scheme holding bank | Allied Irish Bank |
| Scheme bank account number | 04919088 |
| Scheme sort code | 23-83-96 |
| | |
| Scheme establishment date | 21 st October 2014 |
| Number of scheme Member/Trustees | 9 |
| Who is the scheme administrator | Pension Practitioner |
| Who introduced the scheme? | Carlton James Private & Commercial Ltd |
| Who is the scheme IFA? | JAN Investment Marketing |

Scheme Fee Structure – the fee structure detailed below is the structure at the date of the account closure report. Carlton James do not charge fees to the client for their service provided. Prices may vary from account establishment to account closure.

| | |
|--|--|
| Practitioner set up fee charges | First member charge £880; Any additional members £440 |
| IFA set up charges | 2% initial charge |
| Practitioner admin fee charges | First member charge £880; Any additional members £440 |
| IFA servicing charges | 0.5% Annual Charge |

Sponsoring Employer Details

| | |
|------------------------------------|--|
| Sponsoring Employer Address | Lime Kiln House, Lime Kiln, Royal Wootton Bassett, SN4 7HF |
| Scheme Banking Address | St James's House, Charlotte Street, Lancashire, M1 4DZ |



CARLTON JAMES

Corporate Scheme Status

Scheme Overview:

| Scheme Cash Available | | Scheme Value |
|-----------------------|--|---------------|
| £120,435.98 | | £3,780,736.15 |

****position at the report date Financial Year 17/18***

| Scheme Cash Available | | Scheme Value |
|-----------------------|--|---------------|
| £37,446.83 | | £3,093,826.78 |

****position at the report date Financial Year 18/19***

| Contributions (Financial Year 18/19) | | | |
|--------------------------------------|------|-------|------|
| Contribution Type | From | Value | Date |
| N/A | N/A | N/A | N/A |
| Total | | N/A | |

| Transfers Out (Financial Year 18/19) | | | |
|--------------------------------------|-----------------|-----------|------------|
| Transaction Type | To | Value | Date |
| Income Drawdown | Mr Alan Edwards | £7,000.00 | 01/10/2018 |
| Total | | £7,000.00 | |



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| Banking Transactions – Incoming (Financial Year 18/19) | | |
|--|---|------------|
| Date | Item | Amount |
| 24/07/2018 | Proportation Limited Dividend | £3,150.00 |
| 07/08/2018 | Fenturi Limited Dividend | £5,250.00 |
| 12/09/2018 | Futurescope Consultants Limited Dividend | £1,225.00 |
| 13/11/2018 | Coastal Hotels (No.) Limited Interest Payment | £35,700.00 |
| 05/12/2018 | Carlton James Diversified Alpha Fund Dividend | £745.89 |
| 17/01/2019 | Proportation Limited Dividend | £3,150.00 |
| 12/02/2019 | Fenturi Limited Dividend | £5,250.00 |
| 12/03/2019 | Carlton James Diversified Alpha Fund Dividend | £1,291.98 |
| 15/03/2019 | Futurescope Consultants Limited Dividend | £1,225.00 |
| Total | | £56,987.87 |

| Banking Transactions – Outgoing (Financial Year 18/19) | | |
|--|--|------------|
| Date | Item | Amount |
| 10/04/2018 | Scheme Pension Consultant Fee | £7,986.27 |
| 25/04/2018 | CHAPs Payment Charge | £15.00 |
| 05/06/2018 | Pension Practitioner GDPR Processing Fee | £825.00 |
| 03/07/2018 | Pension Practitioner Annual Admin Fee | £4,400.00 |
| 06/09/2018 | Scheme Pension Consultant Fee | £20,250.75 |
| 19/09/2018 | Pension Practitioner Payroll Fee | £300.00 |
| Total | | £33,777.02 |



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| Current Scheme Investment Strategies | |
|--------------------------------------|---|
| Fund Name(s) | Properation Limited Preference Share Purchase |
| Original Investment Value | £90,000.00 |
| Current Investment Value | £90,000.00 |
| Returns Offered (Overall) | 35% |
| Investment Term | 5 Years |
| Initial Investment Date | 21/07/2015 |
| Maturity Date | 21/07/2020 |
| | |
| Fund Name(s) | Carian Ltd Preference Share Purchase |
| Original Investment Value | £200,000.00 |
| Current Investment Value | £200,000.00 |
| Returns Offered (Overall) | 35% |
| Investment Term | 5 Years |
| Initial Investment Date | 07/04/2016 |
| Maturity Date | 07/04/2021 |
| | |
| Fund Name(s) | Hudspiths Ltd Compounded Contract |
| Original Investment Value | £55,000.00 |
| Current Investment Value | £55,000.00 |
| Returns Offered (Overall) | 79.59% |
| Investment Term | Open Ended |
| Initial Investment Date | 28/07/2016 |
| Maturity Date | Open Ended |
| | |



CARLTONJAMES

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|----------------------------------|---|
| Fund Name(s) | Hudspiths Ltd Compounded Contract |
| Original Investment Value | £140,000.00 |
| Current Investment Value | £140,000.00 |
| Returns Offered (Overall) | 79.59% |
| Investment Term | Open Ended |
| Initial Investment Date | 28/07/2016 |
| Maturity Date | Open Ended |
| | |
| Fund Name(s) | Aurelius Holdings Ltd Preference Share Purchase |
| Original Investment Value | £230,000.00 |
| Current Investment Value | £230,000.00 |
| Returns Offered (Overall) | 35% |
| Investment Term | 5 Years |
| Initial Investment Date | 28/07/2016 |
| Maturity Date | 28/07/2021 |
| | |
| Fund Name(s) | Futurescope Consultants Ltd Preference Share Purchase |
| Original Investment Value | £32,200.00 |
| Current Investment Value | £32,200.00 |
| Returns Offered (Overall) | 35% |
| Investment Term | 5 Years |
| Initial Investment Date | 22/03/2017 |
| Maturity Date | 22/03/2022 |
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| Fund Name(s) | Carlton James Real Estate LLC |
| Original Investment Value | £200,000.00 |
| Current Investment Value | £242,903.55 |
| Returns Offered (Overall) | 41.97% |
| Investment Term | 2 Years |
| Initial Investment Date | 24/03/2017 |
| Maturity Date | 01/05/2019 |
| USD (\$) Value Source - https://www.xe.com/currencytables/?from=USD&date=2019-04-05 £1 GBP = \$1.30108 USD | |
| Fund Name(s) | The Pure Beauty Room Ltd Preference Share Purchase |
| Original Investment Value | £160,000.00 |
| Current Investment Value | £160,000.00 |
| Returns Offered (Overall) | 35% |
| Investment Term | 5 Years |
| Initial Investment Date | 16/05/2017 |
| Maturity Date | 16/05/2022 |
| | |
| Fund Name(s) | Carlton James Real Estate LLC |
| Original Investment Value | £80,000.00 |
| Current Investment Value | £98,729.27 |
| Returns Offered (Overall) | 41.97% |
| Investment Term | 2 Years |
| Initial Investment Date | 17/05/2017 |
| Maturity Date | 01/07/2019 |
| USD (\$) Value Source - https://www.xe.com/currencytables/?from=USD&date=2019-04-05 £1 GBP = \$1.30108 USD | |



CARLTONJAMES

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| Fund Name(s) | Stagg Properties Limited Preference Share Purchase |
| Original Investment Value | £158,000.00 |
| Current Investment Value | £158,000.00 |
| Returns Offered (Overall) | 35% |
| Investment Term | 5 Years |
| Initial Investment Date | 24/07/2017 |
| Maturity Date | 24/07/2022 |
| | |
| Fund Name(s) | TempleFX: Hunter Strategy Account |
| Original Investment Value | £200,000.00 |
| Current Investment Value | £0.00 |
| Returns Offered (Overall) | Variable |
| Investment Term | Open Ended |
| Initial Investment Date | 24/07/2017 |
| Maturity Date | Open Ended |
| | |
| Fund Name(s) | Carlton James Retirement Fund Regulated Trust |
| Original Investment Value | £222,782.27 |
| Current Investment Value | £223,154.13 |
| Returns Offered (Overall) | Variable |
| Investment Term | Open Ended |
| Initial Investment Date | 24/07/2017 |
| Maturity Date | Open Ended |
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CARLTONJAMES

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|----------------------------------|---|
| Fund Name(s) | Fenturi Limited Preference Share Purchase |
| Original Investment Value | £150,000.00 |
| Current Investment Value | £150,000.00 |
| Returns Offered (Overall) | 35% |
| Investment Term | 5 Years |
| Initial Investment Date | 28/07/2017 |
| Maturity Date | 28/07/2022 |
| | |
| Fund Name(s) | Empire Property Holdings 2 Ltd |
| Original Investment Value | £101,000.00 |
| Current Investment Value | £101,000.00 |
| Returns Offered (Overall) | 60% |
| Investment Term | 4 Years |
| Initial Investment Date | 14/09/2017 |
| Maturity Date | 14/09/2021 |
| | |
| Fund Name(s) | TempleFX: Hunter Strategy Account |
| Original Investment Value | £101,280.00 |
| Current Investment Value | £0.00 |
| Returns Offered (Overall) | Variable |
| Investment Term | Open Ended |
| Initial Investment Date | 15/09/2017 |
| Maturity Date | Open Ended |
| | |



CARLTONJAMES

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|---|---------------------------------------|
| Fund Name(s) | Carlton James Real Estate LLC |
| Original Investment Value | £101,280.00 |
| Current Investment Value | £124,677.61 |
| Returns Offered (Overall) | 41.97% |
| Investment Term | 2 Years |
| Initial Investment Date | 15/09/2017 |
| Maturity Date | 01/11/2019 |
| USD (\$) Value Source - https://www.xe.com/currencytables/?from=USD&date=2019-04-05 £1 GBP = \$1.30108 USD | |
| Fund Name(s) | Carlton James Real Estate LLC |
| Original Investment Value | £57,925.78 |
| Current Investment Value | £73,529.59 |
| Returns Offered (Overall) | 41.97% |
| Investment Term | 2 Years |
| Initial Investment Date | 01/09/2017 |
| Maturity Date | 01/11/2019 |
| USD (\$) Value Source - https://www.xe.com/currencytables/?from=USD&date=2019-04-05 £1 GBP = \$1.30108 USD | |
| Fund Name(s) | Bridging Loan, Coastal Hotels Limited |
| Original Investment Value | £238,000.00 |
| Current Investment Value | £238,000.00 |
| Returns Offered (Overall) | 45% |
| Investment Term | 3 Years |
| Initial Investment Date | 20/10/2017 |
| Maturity Date | 20/10/2020 |



CARLTONJAMES

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|---|---|
| Fund Name(s) | TempleFX: Hunter Strategy Account |
| Original Investment Value | £150,000.00 |
| Current Investment Value | £0.00 |
| Returns Offered (Overall) | Variable |
| Investment Term | Open Ended |
| Initial Investment Date | 21/11/2017 |
| Maturity Date | Open Ended |
| | |
| Fund Name(s) | G8 (UK) Limited Preference Share Purchase |
| Original Investment Value | £150,000.00 |
| Current Investment Value | £150,000.00 |
| Returns Offered (Overall) | Variable |
| Investment Term | Open Ended |
| Initial Investment Date | 09/01/2018 |
| Maturity Date | Open Ended |
| | |
| Fund Name(s) | Carlton James Real Estate Ltd |
| Original Investment Value | £96,686.95 |
| Current Investment Value | £113,968.53 |
| Returns Offered (Overall) | 41.97% |
| Investment Term | 2 Years |
| Initial Investment Date | 01/02/2018 |
| Maturity Date | 01/04/2020 |
| USD (\$) Value Source - https://www.xe.com/currencytables/?from=USD&date=2019-04-05 £1 GBP = \$1.30108 USD | |



CARLTONJAMES

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|---|-----------------------------------|
| Fund Name(s) | TempleFX: Hunter Strategy Account |
| Original Investment Value | £65,000.00 |
| Current Investment Value | £0.00 |
| Returns Offered (Overall) | Variable |
| Investment Term | Open Ended |
| Initial Investment Date | 23/03/2018 |
| Maturity Date | Open Ended |
| | |
| Fund Name(s) | Carlton James Real Estate LLC |
| Original Investment Value | £50,000.00 |
| Current Investment Value | £60,927.95 |
| Returns Offered (Overall) | 41.97% |
| Investment Term | 2 Years |
| Initial Investment Date | 23/03/2018 |
| Maturity Date | 01/05/2020 |
| USD (\$) Value Source - https://www.xe.com/currencytables/?from=USD&date=2019-04-05 £1 GBP = \$1.30108 USD | |
| Fund Name(s) | Carlton James Real Estate LLC |
| Original Investment Value | £51,658.22 |
| Current Investment Value | £55,308.37 |
| Returns Offered (Overall) | 41.97% |
| Investment Term | 2 Years |
| Initial Investment Date | 01/04/2018 |
| Maturity Date | 01/04/2020 |
| USD (\$) Value Source - https://www.xe.com/currencytables/?from=USD&date=2019-04-05 £1 GBP = \$1.30108 USD | |



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|---|--------------------------------------|
| Fund Name(s) | Carlton James Diversified Alpha Fund |
| Original Investment Value | £75,000.00 |
| Current Investment Value | £70,682.25 |
| Returns Offered (Overall) | Variable |
| Investment Term | Open Ended |
| Initial Investment Date | 25/04/2018 |
| Maturity Date | Open Ended |
| | |
| Fund Name(s) | Carlton James Real Estate LLC |
| Original Investment Value | £52,295.86 |
| Current Investment Value | £55,599.72 |
| Returns Offered (Overall) | 41.97% |
| Investment Term | 2 Years |
| Initial Investment Date | 01/10/2018 |
| Maturity Date | 01/11/2020 |
| USD (\$) Value Source - https://www.xe.com/currencytables/?from=USD&date=2019-04-05 £1 GBP = \$1.30108 USD | |
| Fund Name(s) | Carlton James Real Estate LLC |
| Original Investment Value | £196,109.49 |
| Current Investment Value | £208,498.98 |
| Returns Offered (Overall) | 41.97% |
| Investment Term | 2 Years |
| Initial Investment Date | 01/04/2018 |
| Maturity Date | 01/04/2020 |
| USD (\$) Value Source - https://www.xe.com/currencytables/?from=USD&date=2019-04-05 £1 GBP = \$1.30108 USD | |



CARLTONJAMES

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|----------------------------------|-----------------------------|
| Fund Name(s) | Fortuit Investments Limited |
| Original Investment Value | £24,200.00 |
| Current Investment Value | £24,200.00 |
| Returns Offered (Overall) | 35% |
| Investment Term | 5 Years |
| Initial Investment Date | 29/01/2019 |
| Maturity Date | 29/01/2024 |
| | |

| Matured Investments | | | | | |
|-------------------------------|-------------------------|------------|--------------------|---------------|---------------------|
| Investment Name | Original Investment (£) | Date | Maturity Value (£) | Maturity Date | Growth on Funds (£) |
| Carlton James Real Estate Ltd | £37,465.58 | 01/08/2016 | £52,295.86 | 01/10/2018 | £14,830.28 |
| Carlton James Real Estate Ltd | £140,406.67 | 01/08/2016 | £196,109.49 | 01/10/2018 | £55,702.82 |



CARLTONJAMES

Member Information

| Robert Holmes | |
|----------------------------------|---|
| Member Number | 1 |
| Status | Trustee |
| Date of Birth | 15/10/1958 |
| Sex | Male |
| National Insurance Number | WE048438B |
| Client Address | 17 Beranburh Field Wroughton Swindon SN4 0QL |
| Contact Number | 07818007114 |
| Email Address | Raholmes17@gmail.com |
| Date Appointed to Scheme | 21/10/2014 |

| Simon Calton | |
|----------------------------------|---|
| Member Number | 2 |
| Status | Trustee |
| Date of Birth | 05/04/1980 |
| Sex | Male |
| National Insurance Number | JM969938C |
| Client Address | 67 Britten Road Swindon Wiltshire SN25 2HQ |
| Contact Number | 07786023673 |
| Email Address | simon@rycalgroup.com |
| Date Appointed to Scheme | 21/10/2014 |



CARLTONJAMES

| Alan Edwards | |
|---------------------------|---|
| Member Number | 3 |
| Status | Trustee with holdings |
| Date of Birth | 09/03/1958 |
| Sex | Male |
| National Insurance Number | YP245738A |
| Client Address | 27 St Margaret Road Old Town Swindon SN3 1RU |
| Contact Number | 01793 694781 |
| Email Address | alanjualedwards@gmail.com |
| Date Appointed to Scheme | 11/03/2015 |

| Andrew Ody | |
|---------------------------|--|
| Member Number | 4 |
| Status | Trustee with holdings |
| Date of Birth | 23/11/1964 |
| Sex | Male |
| National Insurance Number | NE439585A |
| Client Address | 65 Thorney Park Wroughton Swindon SN4 0QR |
| Contact Number | 01285 655220 |
| Email Address | aody@batten-allen.co.uk |
| Date Appointed to Scheme | 29/06/2015 |



CARLTONJAMES

| Ashni Joshi | |
|---------------------------|---|
| Member Number | 5 |
| Status | Trustee with holdings |
| Date of Birth | 20/09/1961 |
| Sex | Male |
| National Insurance Number | Unknown |
| Client Address | 191 Oldfield Lane North Greenford UB6 8PN |
| Contact Number | 07946296708 |
| Email Address | ashnijoshi@hotmail.com |
| Date Appointed to Scheme | 04/04/2016 |

| Julian Teicht | |
|---------------------------|---|
| Member Number | 6 |
| Status | Trustee with holdings |
| Date of Birth | 28/01/1964 |
| Sex | Male |
| National Insurance Number | NE092350A |
| Client Address | Hurst Cottage Effingham Leatherhead Surrey KT24 5QP |
| Contact Number | 07770597237 |
| Email Address | juliant@cobhamgroup.com |
| Date Appointed to Scheme | 16/02/1016 |



CARLTONJAMES

| Noyan Nihat | |
|---------------------------|--|
| Member Number | 7 |
| Status | Trustee with holdings |
| Date of Birth | 02/07/1969 |
| Sex | Male |
| National Insurance Number | NR821712B |
| Client Address | 6 Buckingham Avenue London N20 9BX |
| Contact Number | 07764 222007 |
| Email Address | nnihat@evolutiondm.com |
| Date Appointed to Scheme | 09/01/2018 |

| Henry Jodrell | |
|---------------------------|---|
| Member Number | 8 |
| Status | Trustee with holdings |
| Date of Birth | 28/04/1967 |
| Sex | Male |
| National Insurance Number | NM976534B |
| Client Address | Church Farm House Easton Grey Malmesbury Wiltshire SN16 0PF |
| Contact Number | 07909 887711 |
| Email Address | hjodrell@change4profit.com |
| Date Appointed to Scheme | 26/07/2017 |



CARLTONJAMES

| Stuart Newland | |
|---------------------------|--|
| Member Number | 9 |
| Status | Trustee with holdings |
| Date of Birth | 08/01/1967 |
| Sex | Male |
| National Insurance Number | NM500833D |
| Client Address | 98 Thetford Way Taw Hill Swindon SN25 1WJ |
| Contact Number | 07550096188 |
| Email Address | stuart@fenturi.com |
| Date Appointed to Scheme | 08/07/2016 |

| Beverley Barnett | |
|---------------------------|--|
| Member Number | 10 |
| Status | Trustee with holdings |
| Date of Birth | 21/04/1966 |
| Sex | Female |
| National Insurance Number | NH743478B |
| Client Address | The Bungalow Clyngwyn Terrace Blaenrhondda CF42 5SH |
| Contact Number | 07521 053282 |
| Email Address | bbarnettuk@hotmail.com |
| Date Appointed to Scheme | 04/05/2017 |



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| Nigel Scott | |
|---------------------------|--|
| Member Number | 11 |
| Status | Trustee with holdings |
| Date of Birth | 27/12/1954 |
| Sex | Male |
| National Insurance Number | YX015929A |
| Client Address | 4 Manor Gardens Swindon Wiltshire SN2 2ND |
| Contact Number | 07889206718 |
| Email Address | Nigel.scott@sodexo.com |
| Date Appointed to Scheme | 17/03/2017 |



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Notes: