

#### Georgina Martin <georginam@retirement.capital>

# Fwd: Important information about your trading account - login details Email:0874002177

lan Day <ian@bristolpad.co.uk>

Tue, Aug 18, 2020 at 12:04 AM

To: Georgina Martin <georginam@retirement.capital>, David Nicklin <davidn@retirement.capital>

Finally getting there!

--- Forwarded message ---

From: Entity\_OnBoardingUK <Entity\_OnBoardingUK@saxobank.com>

Date: Mon, 17 Aug 2020, 21:45

Subject: Important information about your trading account - login details Email:0874002177

To: SSAS BristolPad Pension Scheme <ian@bristolpad.co.uk>



Product access and account operation information

#### Dear SSAS BristolPad Pension Scheme,

We are delighted to confirm that your Saxo Markets application has been successful.

Your account details are:

Client name: SSAS BristolPad Pension Scheme

Saxo account ID: 12563713 Account currency: GBP

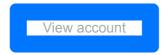
Your login details are:

Login ID: 12563713

Password: please refer to separate email

Your login details will provide you with a view-only access to the platform. Authorised dealers who have trading access have been sent login details separately.

Please use the link below to view your account.



#### INFORMATION FOR OPERATING THE ACCOUNT

Please be aware, margin products will only be activated once you have met the initial minimum deposit level.

#### **Categorisation**

In accordance with the Financial Conduct Authority ("FCA") rules, we are required to categorise each client into one of three categories: Retail, Professional or Eligible Counterparty. Based on the information provided, you have been categorised as a Retail Client in respect of the services we provide. This means you will receive the highest level of protection under the FCA rules.

You have the right to request to elect to be re-categorised as a Professional Client. Visit this page for more information on Professional Client status. If you are re-categorised as a Professional Client, you will lose certain regulatory protections. Each request is considered on a case by case basis, and only accepted where we can comply with the FCA rules.

#### **Appropriateness**

Based on the information provided, you qualify for a trading account with access to our full product range, including derivative products that are traded on margin.

Remember, the value of your investments can go down as well as up. Losses can exceed deposits on margin products. Complex products come with a high risk of losing money rapidly due to leverage. You should consider whether you understand how these products, or any of our other products work and whether you can afford to take the high risk of losing your money.

If you wish to trade derivative products on your Saxo Markets account, you should carefully consider the fact that you may be exposing yourself to additional trading risks and you should ensure you familiarise yourself with the nature of these products and the risks involved.

Refer to the Products Risk Disclosure Statement here. If you are in any doubt, seek independent advice. If you decide to trade derivative products you will be responsible for your own trading decisions.

## **Selling options**

To short/sell options with Saxo Markets, you will need to email your Saxo ID to privateclienttradinguk@saxomarkets.com, and confirm you have the relevant knowledge and experience and acknowledge that you are aware of the risks associated with such trading.

## **Funding**

- When funding your account, you must quote your Saxo ID on <u>all</u> transfers to Saxo Markets. We cannot accept any third-party payments. All funds must come from an account in the name of your entity.
- · All client funds are held in a segregated account with our custodian bank. Find more details on client money protection here.
- · Find our settlement details here. Please use the correct settlement instructions for the currency in which your account is denominated. This avoids unnecessary conversion by your issuing bank and ensures Saxo Markets receives the correct currency.

If you need any assistance, please do not hesitate to contact us.

Kind regards, Client Onboarding Saxo Markets

All trading carries risk. Products traded on margin carry a high risk of rapid loss and losses can exceed deposits on some products. Ensure you understand the risks.

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#### Saxo Markets

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The value of your investments can go down as well as up. Losses can exceed deposits on margin products. Complex products, including CFDs and FX, come with a high risk of losing money rapidly due to leverage. **62% of retail investor accounts lose money when trading CFDs with this provider.** You should consider whether you understand how CFDs, FX or any of our other products work and whether you can afford to take the high risk of losing your money.

Professional clients can lose more than is originally invested. The value of shares, ETFs and ETCs bought through a stocks and shares ISA, a SIPP or SaxoSelect portfolio service can go down as well as up and you may get back less than you invest.

It is not possible to unsubscribe from emails containing important information that we must make sure you receive. These administrative announcements may include alerts regarding your account, updates on our services or notifications of changes to terms and policies.

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