

Interactive Brokers (U.K.) Limited, 20 Fenchurch Street, Floor 12, London EC3M 3BY, UK. Authorised and regulated by the Financial Conduct Authority

Account Information

Name	BRISTOLPAD PENSION SCHEME
Account	U11574958
Investment Advisor	UCapital Asset Management LLP
Account Type	Advisor Client
Customer Type	Trust
Account Capabilities	Cash
Base Currency	GBP

Net Asset Value

	April 5, 2023	April 5, 2024			Change	Change in NAV		Total
	Total	Long	Short	Total				
Cash	0.00	207,885.20	0.00	207,885.20	207,885.20	Starting Value		0.00
Interest Accruals	0.00	100.18	0.00	100.18	100.18	Mark-to-Market		480.38
Total	0.00	207,985.38	0.00	207,985.38	207,985.38	Deposits & Withdrawals		200,000.00

Time Weighted Rate of Return 3.99%

Dividends	96.07
Withholding Tax	-14.41
Change in Dividend Accruals	0.34
Interest	7,457.11
Change in Interest Accruals	98.88
Commissions	-133.96
Other FX Translations	0.95
Ending Value	207,985.38

Mark-to-Market Performance Summary

	Quantity		Price		Mark-to-Market P/L					
Symbol	Prior	Current	Prior	Current	Position	Transaction	Commissions	Other	Total	Code
Stocks										
BHP	0	0	--	--	1,974.99	-1,965.95	-0.19	0.00	8.84	
LVS	0	0	--	--	6.71	-1,213.44	-0.10	81.66	-1,125.17	
Total Stocks					1,981.69	-3,179.39	-0.29	81.66	-1,116.33	
Equity and Index Options										
BHP 20OCT23 55 P	0	0	--	--	185.39	-4.80	-10.68	0.00	169.92	

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Mark-to-Market P/L						Total	Code
	Prior	Current	Prior	Current	Position	Transaction	Commissions		Other			
BHP 20OCT23 57.5 P	0	0	--	--	-464.70	842.44	-5.67		0.00		372.07	
BHP 17NOV23 57.5 C	0	0	--	--	-835.49	1,063.48	-5.65		0.00		222.33	
CNC 15SEP23 62 P	0	0	--	--	267.28	-131.32	-9.71		0.00		126.24	
GLD 23FEB24 187.5 P	0	0	--	--	168.96	-12.70	-4.29		0.00		151.98	
JNJ 25AUG23 165 P	0	0	--	--	94.47	-7.34	-3.90		0.00		83.23	
LVS 25AUG23 57 P	0	0	--	--	-785.57	1,039.18	-4.75		0.00		248.86	
LVS 15SEP23 57 C	0	0	--	--	103.78	-9.74	-13.64		0.00		80.41	
LVS 29SEP23 51 C	0	0	--	--	165.52	3.61	-4.90		0.00		164.23	
LVS 26JAN24 52 C	0	0	--	--	206.36	5.89	-4.77		0.00		207.48	
LVS 23FEB24 52 C	0	0	--	--	-440.51	604.31	-4.80		0.00		159.00	
SLV 22SEP23 21.5 P	0	0	--	--	226.94	-28.24	-17.83		0.00		180.87	
SLV 17NOV23 20.5 P	0	0	--	--	248.89	-40.74	-23.92		0.00		184.23	
SLV 01DEC23 21 P	0	0	--	--	268.40	-4.00	-13.64		0.00		250.76	
Total Equity and Index Options					-590.28	3,320.02	-128.15		0.00		2,601.59	
Forex												
GBP	0.00	155,771.61	1.0000	1.0000	0.00	0.00	0.00		0.00		0.00	
USD	0.00	65,863.19	--	0.79124	-831.67	-220.00	-5.51		0.00		-1,057.18	
Total Forex					-831.67	-220.00	-5.51		0.00		-1,057.18	
Total (All Assets)					559.75	-79.36	-133.96		81.66		428.09	
Broker Interest Paid and Received											7,457.11	
Total P/L for Statement Period											7,885.20	

Realized & Unrealized Performance Summary

Symbol		Cost Adj.	Realized				Unrealized				Total	Code	
			S/T Profit	S/T Loss	L/T Profit	L/T Loss	S/T Profit	S/T Loss	L/T Profit	L/T Loss			
Stocks													
BHP	0.00	595.23	0.00	0.00	0.00	595.23	0.00	0.00	0.00	0.00	595.23		
LVS	0.00	0.00	-778.30	0.00	0.00	-778.30	0.00	0.00	0.00	0.00	-778.30		
Total Stocks	0.00	595.23	-778.30	0.00	0.00	-183.07	0.00	0.00	0.00	0.00	-183.07		
Equity and Index Options													
BHP 20OCT23 55 P	0.00	169.79	0.00	0.00	0.00	169.79	0.00	0.00	0.00	0.00	169.79		
CNC 15SEP23 62 P	0.00	124.97	0.00	0.00	0.00	124.97	0.00	0.00	0.00	0.00	124.97		
GLD 23FEB24 187.5 P	0.00	151.82	0.00	0.00	0.00	151.82	0.00	0.00	0.00	0.00	151.82		
JNJ 25AUG23 165 P	0.00	81.69	0.00	0.00	0.00	81.69	0.00	0.00	0.00	0.00	81.69		
LVS 15SEP23 57 C	0.00	80.91	0.00	0.00	0.00	80.91	0.00	0.00	0.00	0.00	80.91		
LVS 29SEP23 51 C	0.00	168.68	0.00	0.00	0.00	168.68	0.00	0.00	0.00	0.00	168.68		
LVS 26JAN24 52 C	0.00	207.66	0.00	0.00	0.00	207.66	0.00	0.00	0.00	0.00	207.66		
SLV 22SEP23 21.5 P	0.00	177.84	0.00	0.00	0.00	177.84	0.00	0.00	0.00	0.00	177.84		
SLV 17NOV23 20.5 P	0.00	184.47	0.00	0.00	0.00	184.47	0.00	0.00	0.00	0.00	184.47		
SLV 01DEC23 21 P	0.00	247.73	0.00	0.00	0.00	247.73	0.00	0.00	0.00	0.00	247.73		
Total Equity and Index Options	0.00	1,595.57	0.00	0.00	0.00	1,595.57	0.00	0.00	0.00	0.00	1,595.57		
Forex													

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
USD	0.00	49.70	-8.37	0.00	0.00	41.33	35.42	-581.21	0.00	0.00	-545.78	-504.45	
Total Forex	0.00	49.70	-8.37	0.00	0.00	41.33	35.42	-581.21	0.00	0.00	-545.78	-504.45	
Total (All Assets)	0.00	2,240.50	-786.68	0.00	0.00	1,453.83	35.42	-581.21	0.00	0.00	-545.78	908.04	

Cash Report

	Total	Securities	Futures
Base Currency Summary			
Starting Cash	0.00	0.00	0.00
Commissions	-133.96	-133.96	0.00
Deposits	200,000.00	200,000.00	0.00
Dividends	96.07	96.07	0.00
Broker Interest Paid and Received	7,457.11	7,457.11	0.00
Trades (Sales)	84,579.02	84,579.02	0.00
Trades (Purchase)	-83,810.28	-83,810.28	0.00
Withholding Tax	-14.41	-14.41	0.00
Cash FX Translation Gain/Loss	-288.36	-288.36	0.00
Ending Cash	207,885.20	207,885.20	0.00
Ending Settled Cash	207,885.20	207,885.20	0.00
GBP			
Starting Cash	0.00	0.00	0.00
Commissions	-5.51	-5.51	0.00
Deposits	200,000.00	200,000.00	0.00
Broker Interest Paid and Received	6,846.12	6,846.12	0.00
Trades (Purchase)	-51,069.00	-51,069.00	0.00
Ending Cash	155,771.61	155,771.61	0.00
Ending Settled Cash	155,771.61	155,771.61	0.00
USD			
Starting Cash	0.00	0.00	0.00
Commissions	-160.58	-160.58	0.00
Dividends	120.00	120.00	0.00
Broker Interest Paid and Received	772.44	772.44	0.00
Trades (Sales)	105,576.33	105,576.33	0.00
Trades (Purchase)	-40,427.00	-40,427.00	0.00
Withholding Tax	-18.00	-18.00	0.00
Ending Cash	65,863.19	65,863.19	0.00
Ending Settled Cash	65,863.19	65,863.19	0.00

Forex Balances

Description	Quantity	Cost Price	Cost Basis in GBP	Close Price	Value in GBP	Unrealized P/L in GBP	Code
Forex							
GBP							

Forex Balances											
GBP		155,771.61	0.0000	-155,771.61	1.0000	155,771.61	0.00				
USD		65,863.19	0.79952663	-52,659.37	0.79124	52,113.59	-545.78				
Total				-208,430.99		207,885.20	-545.78				

Trades											
Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	Realized P/L %	MTM P/L	Code
Stocks											
USD											
BHP	2023-10-20, 16:20:00	400	57.5000	54.8500	-23,000.00	0.00	23,000.00	0.00	0.00	-1,060.00	A;O
BHP	2023-11-17, 16:20:00	-400	57.5000	60.9100	23,000.00	-0.24	-22,543.04	741.80	3.29	-1,364.00	A;C
Total BHP		0			0.00	-0.24	456.96	741.80	3.29	-2,424.00	
LVS	2023-08-25, 16:20:00	300	57.0000	53.8200	-17,100.00	0.00	17,100.00	0.00	0.00	-954.00	A;O
LVS	2024-02-23, 16:20:00	-300	52.0000	53.9300	15,600.00	-0.12	-16,791.11	-987.33	-5.88	-579.00	A;C
Total LVS		0			-1,500.00	-0.12	308.89	-987.33	-5.88	-1,533.00	
Total					-1,500.00	-0.37	765.86	-245.53		-3,957.00	
Total in GBP					-1,724.97	-0.29	1,152.71	-183.07		-3,179.39	
Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	Realized P/L %	MTM P/L	Code
Equity and Index Options											
USD											
BHP 20OCT23 55 P	2023-09-11, 11:51:32	-4	1.1100	1.1250	444.00	-7.04	-436.96	0.00	0.00	-6.00	O;P
BHP 20OCT23 55 P	2023-09-14, 11:04:45	4	0.5500	0.5500	-220.00	-6.27	436.96	210.69	48.22	0.00	C;P
Total BHP 20OCT23 55 P		0			224.00	-13.31	0.00	210.69	48.22	-6.00	
BHP 20OCT23 57.5 P	2023-09-14, 11:11:31	-4	1.1600	1.2500	464.00	-7.04	-456.96	0.00	0.00	-36.00	O;P
BHP 20OCT23 57.5 P	2023-10-20, 16:20:00	4	0.0000	2.6500	0.00	0.00	456.96	0.00	0.00	1,060.00	A;C
Total BHP 20OCT23 57.5 P		0			464.00	-7.04	0.00	0.00	0.00	1,024.00	
BHP 17NOV23 57.5 C	2023-10-23, 09:51:52	-4	0.7300	0.8250	292.00	-6.92	-285.08	0.00	0.00	-38.00	O;P
BHP 17NOV23 57.5 C	2023-11-17, 16:20:00	4	0.0000	3.4100	0.00	0.00	285.08	0.00	0.00	1,364.00	A;C
Total BHP 17NOV23 57.5 C		0			292.00	-6.92	0.00	0.00	0.00	1,326.00	
CNC 15SEP23 62 P	2023-08-31, 10:17:49	-3	0.6800	1.1890	204.00	-6.11	-197.89	0.00	0.00	-152.70	O;P
CNC 15SEP23 62 P	2023-09-08, 09:32:06	3	0.1200	0.0750	-36.00	-6.10	197.89	155.79	78.73	-13.50	C;P
Total CNC 15SEP23 62 P		0			168.00	-12.21	0.00	155.79	78.73	-166.20	
GLD 23FEB24 187.5 P	2024-02-06, 12:56:27	-2	0.9900	1.0700	198.00	-5.40	-192.60	0.00	0.00	-16.00	O
GLD 23FEB24 187.5 P	2024-02-23, 16:20:00	2	0.0000	0.0000	0.00	0.00	192.60	192.60	100.00	0.00	C;Ep
Total GLD 23FEB24 187.5 P		0			198.00	-5.40	0.00	192.60	100.00	-16.00	

Trades												
JNJ 25AUG23 165 P	2023-07-26, 13:16:12		-1	1.0800	1.1750	108.00	-5.05	-102.95	0.00	0.00	-9.50	O
JNJ 25AUG23 165 P	2023-08-25, 16:20:00		1	0.0000	0.0000	0.00	0.00	102.95	102.95	100.00	0.00	C;Ep
Total JNJ 25AUG23 165 P			0			108.00	-5.05	0.00	102.95	100.00	-9.50	
LVS 25AUG23 57 P	2023-07-28, 15:58:57		-3	1.0500	1.0650	315.00	-6.11	-308.89	0.00	0.00	-4.50	O;P
LVS 25AUG23 57 P	2023-08-25, 16:20:00		3	0.0000	4.3800	0.00	0.00	308.89	0.00	0.00	1,314.00	A;C
Total LVS 25AUG23 57 P			0			315.00	-6.11	0.00	0.00	0.00	1,309.50	
LVS 15SEP23 57 C	2023-08-29, 10:20:07		-3	0.4300	0.4650	129.00	-6.11	-122.89	0.00	0.00	-10.50	O
LVS 15SEP23 57 C	2023-09-07, 15:58:56		1	0.0300	0.0307	-3.00	-5.51	40.96	32.45	79.22	0.07	C
LVS 15SEP23 57 C	2023-09-07, 15:59:35		2	0.0400	0.0307	-8.00	-5.47	81.93	68.46	83.56	-1.86	C;P
Total LVS 15SEP23 57 C			0			118.00	-17.09	0.00	100.91	82.11	-12.29	
LVS 29SEP23 51 C	2023-09-08, 11:57:02		-3	0.7000	0.6850	210.00	-6.11	-203.89	0.00	0.00	4.50	O;P
LVS 29SEP23 51 C	2023-09-29, 16:20:00		3	0.0000	0.0000	0.00	0.00	203.89	203.89	100.00	0.00	C;Ep
Total LVS 29SEP23 51 C			0			210.00	-6.11	0.00	203.89	100.00	4.50	
LVS 26JAN24 52 C	2023-12-29, 09:53:29		-3	0.9000	0.8750	270.00	-6.08	-263.92	0.00	0.00	7.50	O;P
LVS 26JAN24 52 C	2024-01-26, 16:20:00		3	0.0000	0.0000	0.00	0.00	263.92	263.92	100.00	0.00	C;Ep
Total LVS 26JAN24 52 C			0			270.00	-6.08	0.00	263.92	100.00	7.50	
LVS 23FEB24 52 C	2024-01-29, 11:56:36		-3	0.7000	0.8950	210.00	-6.10	-203.90	0.00	0.00	-58.50	O;P
LVS 23FEB24 52 C	2024-02-23, 16:20:00		3	0.0000	2.7500	0.00	0.00	203.90	0.00	0.00	825.00	A;C
Total LVS 23FEB24 52 C			0			210.00	-6.10	0.00	0.00	0.00	766.50	
SLV 22SEP23 21.5 P	2023-08-24, 15:34:37		-10	0.2600	0.2750	260.00	-17.32	-242.68	0.00	0.00	-15.00	O
SLV 22SEP23 21.5 P	2023-09-22, 13:24:50		10	0.0200	0.0000	-20.00	-5.00	242.68	217.68	89.70	-20.00	C
Total SLV 22SEP23 21.5 P			0			240.00	-22.32	0.00	217.68	89.70	-35.00	
SLV 17NOV23 20.5 P	2023-10-23, 10:40:12		-1	0.3000	0.3450	30.00	-5.03	-24.97	0.00	0.00	-4.50	O
SLV 17NOV23 20.5 P	2023-10-23, 10:40:55		-9	0.3000	0.3450	270.00	-17.79	-252.21	0.00	0.00	-40.50	O;P
SLV 17NOV23 20.5 P	2023-11-14, 11:09:45		10	0.0400	0.0350	-40.00	-6.60	277.17	230.57	83.19	-5.00	C;P
Total SLV 17NOV23 20.5 P			0			260.00	-29.43	0.00	230.57	83.19	-50.00	
SLV 01DEC23 21 P	2023-11-14, 11:17:56		-10	0.3300	0.3350	330.00	-17.05	-312.95	0.00	0.00	-5.00	O;P
SLV 01DEC23 21 P	2023-12-01, 16:20:00		10	0.0000	0.0000	0.00	0.00	312.95	312.95	100.00	0.00	C;Ep
Total SLV 01DEC23 21 P			0			330.00	-17.05	0.00	312.95	100.00	-5.00	
Total						3,407.00	-160.21	0.00	1,991.96		4,138.01	
Total in GBP						2,713.71	-128.15	20.28	1,595.57		3,320.02	
Symbol	Date/Time		Quantity	T. Price		Proceeds	Comm in GBP			MTM in GBP		Code
Forex												
USD												

Trades										
GBP.USD	2023-08-27, 20:31:21	-1	1.2595		1.26	0.00			0.00	L
GBP.USD	2023-08-27, 20:31:21	-13,057	1.2595		16,445.29	-0.26			-7.33	L
GBP.USD	2023-10-22, 20:34:36	-18,010	1.2159		21,898.36	-0.36			-130.87	L
GBP.USD	2023-10-22, 20:34:36	-1	1.2158		1.22	0.00			-0.01	L
GBP.USD	2023-11-14, 10:05:50	-20,000	1.24481		24,896.20	-4.89			-81.80	
Total GBP.USD		-51,069			63,242.33	-5.51			-220.00	
Total					63,242.33	-5.51			-220.00	
Total in GBP					50,849.00	-5.51			-220.00	

Interest		
Date	Description	Amount
GBP		
2023-06-05	GBP Credit Interest for May-2023	450.30
2023-07-06	GBP Credit Interest for Jun-2023	644.30
2023-08-03	GBP Credit Interest for Jul-2023	719.19
2023-09-06	GBP Credit Interest for Aug-2023	724.77
2023-10-04	GBP Credit Interest for Sep-2023	696.10
2023-11-03	GBP Credit Interest for Oct-2023	711.25
2023-12-05	GBP Credit Interest for Nov-2023	598.69
2024-01-04	GBP Credit Interest for Dec-2023	583.27
2024-02-05	GBP Credit Interest for Jan-2024	581.54
2024-03-05	GBP Credit Interest for Feb-2024	545.92
2024-04-03	GBP Credit Interest for Mar-2024	590.79
Total		6,846.12
USD		
2023-09-06	USD Debit Interest for Aug-2023	-3.12
2023-11-03	USD Debit Interest for Oct-2023	-4.05
2023-12-05	USD Credit Interest for Nov-2023	62.60
2024-01-04	USD Credit Interest for Dec-2023	161.47
2024-02-05	USD Credit Interest for Jan-2024	163.31
2024-03-05	USD Credit Interest for Feb-2024	161.05
2024-04-03	USD Credit Interest for Mar-2024	231.18
Total		772.44
Total in GBP		610.99
Total Interest in GBP		7,457.11

Deposits & Withdrawals		
Date	Description	Amount
GBP		
2023-05-10	Electronic Fund Transfer	200,000.00
Total		200,000.00

Interest Accruals		
Base Currency Summary		
Starting Accrual Balance		0.00
Interest Accrued		7,556.00
Accrual Reversal		-7,457.11
FX Translation		1.29
Ending Accrual Balance		100.18
GBP		
Starting Accrual Balance		0.00
Interest Accrued		6,922.64
Accrual Reversal		-6,846.12
Ending Accrual Balance		76.52
USD		
Starting Accrual Balance		0.00
Interest Accrued		802.34
Accrual Reversal		-772.44
Ending Accrual Balance		29.90
Ending Accrual Balance in GBP		23.66

Withholding Tax			
Date	Description	Amount	Code
GBP			
2023-06-05	Withholding @ 30% on Credit Interest for May-2023	-135.09	
2023-06-27	CANCEL WITHHOLDING ON Credit Interest for May-2023	135.09	
Total		0.00	
USD			
2023-11-15	LVS(US5178341070) Cash Dividend USD 0.20 per Share - US Tax	-9.00	
2024-02-14	LVS(US5178341070) Cash Dividend USD 0.20 per Share - US Tax	-9.00	
Total		-18.00	
Total in GBP		-14.41	
Total Withholding Tax in GBP		-14.41	

Dividends		
Date	Description	Amount
USD		
2023-11-15	LVS(US5178341070) Cash Dividend USD 0.20 per Share (Ordinary Dividend)	60.00
2024-02-14	LVS(US5178341070) Cash Dividend USD 0.20 per Share (Ordinary Dividend)	60.00
Total		120.00
Total in GBP		96.07

Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
Starting Dividend Accruals in GBP									0.00	
Stocks										
USD										
LVS	2023-11-03	2023-11-06	2023-11-15	300	9.00	0.00	0.20	60.00	51.00	Po
LVS	2023-11-15	2023-11-06	2023-11-15	300	-9.00	0.00	0.20	-60.00	-51.00	Re
LVS	2024-02-02	2024-02-05	2024-02-14	300	9.00	0.00	0.20	60.00	51.00	Po
LVS	2024-02-14	2024-02-05	2024-02-14	300	-9.00	0.00	0.20	-60.00	-51.00	Re
Total					0.00	0.00		0.00	0.00	
Total in GBP					0.06	0.00		0.40	0.34	
Ending Dividend Accruals in GBP									0.00	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code		
Stocks									
BHP	BHP GROUP LTD-SPON ADR	4986	US0886061086	NYSE	1	ADR			
LVS	LAS VEGAS SANDS CORP	32602412	US5178341070	NYSE	1	COMMON			
Symbol	Description	Conid	Listing Exch	Multiplier	Expiry	Delivery Month	Type	Strike	Code
Equity and Index Options									
BHP 231020P00055000	BHP 20OCT23 55 P	648555567	CBOE	100	2023-10-20	2023-10	P	55	
BHP 231020P00057500	BHP 20OCT23 57.5 P	648555668	CBOE	100	2023-10-20	2023-10	P	57.5	
BHP 231117C00057500	BHP 17NOV23 57.5 C	619953049	CBOE	100	2023-11-17	2023-11	C	57.5	
CNC 230915P00062000	CNC 15SEP23 62 P	649561099	CBOE	100	2023-09-15	2023-09	P	62	
GLD 240223P00187500	GLD 23FEB24 187.5 P	675873202	CBOE	100	2024-02-23	2024-02	P	187.5	
JNJ 230825P00165000	JNJ 25AUG23 165 P	640862515	CBOE	100	2023-08-25	2023-08	P	165	
LVS 230825P00057000	LVS 25AUG23 57 P	640871506	CBOE	100	2023-08-25	2023-08	P	57	
LVS 230915C00057000	LVS 15SEP23 57 C	649607486	CBOE	100	2023-09-15	2023-09	C	57	
LVS 230929C00051000	LVS 29SEP23 51 C	647412211	CBOE	100	2023-09-29	2023-09	C	51	
LVS 240126C00052000	LVS 26JAN24 52 C	670262436	CBOE	100	2024-01-26	2024-01	C	52	
LVS 240223C00052000	LVS 23FEB24 52 C	675886281	CBOE	100	2024-02-23	2024-02	C	52	
SLV 230922P00021500	SLV 22SEP23 21.5 P	646366683	CBOE	100	2023-09-22	2023-09	P	21.5	
SLV 231117P00020500	SLV 17NOV23 20.5 P	661387113	CBOE	100	2023-11-17	2023-11	P	20.5	
SLV 231201P00021000	SLV 01DEC23 21 P	659344356	CBOE	100	2023-12-01	2023-12	P	21	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LT	Long Term P/L
ADR	ADR Fee Accrual	Lo	Direct Loan
AEx	Automatic exercise for dividend-related recommendation.	M	Entered manually by IB
AFx	AutoFX conversion resulting from trading	MEx	Manual exercise for dividend-related recommendation.
Adj	Adjustment	ML	Maximize Losses tax basis election
Al	Allocation	MLG	Maximize Long Term Gain tax basis election

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
Aw	Away Trade	MLL	Maximize Long Term Loss tax basis election
B	Automatic Buy-in	MSG	Maximize Short Term Gain tax basis election
Bo	Direct Borrow	MSL	Maximize Short Term Loss tax basis election
C	Closing Trade	O	Opening Trade
CD	Cash Delivery	P	Partial Execution
CP	Complex Position	PE	Perpetual Investment
Ca	Cancelled	PI	Price Improvement
Co	Corrected Trade	PTA	Post Trade Allocation
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
De	Delivery or Conversion Action	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
ETF	ETF Creation/Redemption	R	Dividend Reinvestment
Ep	Resulted from an Expired Position	RED	Redemption to Investor
Ex	Exercise	RI	Recurring Investment
FP	The fractional portion of this trade was executed against IB or an affiliate.	RP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as riskless principal.
FPA	The fractional portion of this trade was executed against IB or an affiliate. IB acted as agent for the whole share portion of this trade.	RPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as riskless principal.
G	Trade in Guaranteed Account Segment	Rb	Rebill
GEA	Exercise or Assignment resulting from offsetting positions	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SF	Trade is subject to IBKR Lite Surcharge Fee if volume of such trades exceeds 10% of the total monthly Lite trade volume
HFR	Redemption from Hedge Fund	SI	This order was solicited by Interactive Brokers
I	Internal Transfer	SL	Specific Lot tax basis election
IA	The transaction was executed against IB or an affiliate	SO	This order was marked as solicited by your Introducing Broker
IM	A portion of the order was executed against IB or an affiliate; IB acted as agent on a portion.	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale	XCH	Mutual Fund Exchange Transaction
LI	Last In, First Out (LIFO) tax basis election		

Notes/Legal Notes

Notes

- Stock exchange transactions generally settle on the trade date plus one business day (T+1). Options, futures and US open-end mutual fund transactions also settle on trade date plus one business day. Some exchanges and other transaction types may have longer or shorter settlement periods. Ending settled cash reflects the cash that has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- IBUK acts as agent in executing the fractional share portion of your order. In certain circumstances, IBUK routes the fractional portion of your order to an affiliate, which may execute the fractional portion of the order as principal. In such circumstances, this is indicated by the codes associated with the trade. If an IBUK affiliate acts as principal in executing any fractional share portion of your order, the order will be executed at the price displayed for the opposite side of the NBBO from your order (the offer if you are buying and the bid if you are selling) at the time of execution. If an IBUK affiliate is acting as riskless principal in connection with filling the fractional share portion of your order, the affiliate will execute the fractional share portion of your order at the price it receives for the execution of the whole share.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.

Notes/Legal Notes

7. Trade execution times are displayed in Eastern Time.
8. Further description of our services, costs and charges is available on our website: https://gdcdyn.interactivebrokers.com/Universal/servlet/Registration_v2.formSampleView?formdb=4378
9. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the collateral value on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
10. Interest Rate on Customer Collateral represents the interest paid on the collateral posted to the customer's account and received from lending stock. A positive rate indicates a benefit to the lender.
11. The closing prices on this Activity Statement are indicative and may come from third-party sources. Interactive Brokers does not warrant the accuracy of the prices provided by third-party sources. Due to time zone differences, certain non-US mutual funds may deliver closing prices after the Activity Statement has been produced and the closing prices for such funds will reflect the previous day's price.
12. All Market Data and Research services are provided through Global Financial Information Services (GmbH).
13. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.
14. For clients whose accounts are being managed by an advisor, trades shown may be part of a larger trade that was allocated across multiple client accounts, based on an allocation algorithm specified by the advisor prior to execution of the trade. Times shown are times that trades were allocated to your account. Execution time on the relevant market center is available upon your request.
15. If you specified that your advisor is to receive a per-trade advisory fee, please note that the figures in the "Commiss" column in the transaction listings are gross amounts that include both IB's brokerage commission for the trade as well as the advisor's per-trade fee. IB receives its standard published brokerage commissions on your trades (see www.interactivebrokers.com). To determine the advisor's per-trade fee for any trade, subtract IB's standard commission from the amount shown.
16. All client funds and client financial instruments (Client Assets) on this statement are protected according to the FCA's CASS rules, except where indicated otherwise.

Mutual Fund Notes

1. All purchase and sales of mutual funds are calculated against the published NAV (Net Asset Value) of the underlying fund and is displayed on this confirmation as the "C. Price" (Closing Price). Due to any fees charged by a fund and/or any share rounding, the net price you pay may vary from the published NAV and is shown on this confirmation as the "T. Price" (Trade Price).

Fixed Income Notes

1. Call features for bonds or preferred stocks may affect the yield. For zero coupon, compound interest and multiplier securities, there are no periodic payments and securities may be callable below maturity value without notice to holder unless registered. For asset-backed securities, the actual yield may vary depending on the speed at which the underlying note is pre-paid. For additional information regarding bond yield, please contact the IBUK Help Desk at: help@interactivebrokers.com. If this debt security is unrated by a nationally recognized statistical rating organization, it may pose a high risk of default. You should consult a financial advisor to determine whether unrated bonds are appropriate for your portfolio in light of your goals and your financial circumstances. Fees charged by bond trading centers are included in the cost of bond transactions.

Legal Notes

1. **Please promptly report any inaccuracy or discrepancy in this Activity Statement to Interactive Brokers (U.K.) Limited ('IBUK'). You can contact the IBUK Customer Service team using the online form available on the IBUK website, www.ibkr.co.uk/support. You may also contact IBUK by telephone on +44 207-710-5695, but if you report an error by telephone, you should re-confirm such oral communication in writing in order to protect your rights.**
2. Unless otherwise noted, Interactive Brokers acted as agent in the execution of the above transactions. For those exchanges where IBUK is not a direct clearing member or custodian, IBUK may use one of the following clearing agents or sub-custodians: Interactive Brokers Australia Pty Limited; Interactive Brokers Canada Inc.; Interactive Brokers Central Europe Zrt.; Interactive Brokers Hong Kong Limited; Interactive Brokers Ireland, Limited; Interactive Brokers Securities Japan, Inc.; IBKR Financial Services AG; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Securities Services, Milan Branch; BMO Harris Bank N.A.; Citibank Europe plc; Skandinaviska Enskilda Banken AB (including select branches).
3. When executing foreign currency exchange transactions and CFDs, IBUK acts as agent or riskless principal. These transactions are executed against an IBUK affiliate, which acts as principal in such transactions and may have a long or short position and may have profited or lost in connection with the transaction.
4. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IB, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
5. IBUK routes orders in US products through IBLLC. IBLLC accepts liquidity rebates or other order flow payments from alternative trading systems, market makers and exchanges for certain orders in US stocks. IBLLC receives payment for some US option orders pursuant to exchange-mandated marketing fee programs or other arrangements.
6. For security futures trades, if not already indicated on this statement, information about the time of any transaction, the identity of the counterparty to the transaction, and whether IBUK is acting as agent or principal, as agent for the counterparty, as agent for both parties to the contract, or as principal, and if acting as principal, whether the transaction was a block transaction or an exchange for physicals transaction, will be available upon written request of the customer.
7. Customer is requested to promptly advise Interactive Brokers of any material change in Customer's investment objectives or financial situation.
8. A financial statement of Interactive Brokers (U.K.) Limited is available for your personal inspection at www.interactivebrokers.co.uk or at its offices, or a copy of it will be mailed upon your written request.
9. Funds and assets of IBUK (U.K.) Limited customers are maintained at Interactive Brokers LLC, which is a member of SIPC. As with all securities firms, SIPC coverage provides protection against failure of a broker-dealer, not against loss of market value of securities. Futures and options on futures are not covered by SIPC.