



Ballpark Engineering Ltd.

Engineering Design Consultants

14 Viewfield Road • Southfields • London SW18 1NA

Tel / Fax : 020-8874-7737

NATWEST

Customer Tax Operations

PO Box 278

Wymondham

NR18 8EQ

Your Ref: 1864016037

17/10/2018

BALLPARKENG PENSION SCHEME

Dear Sir,

Regarding your letter dated the 22/08/2018, I enclose the duly completed form as requested.

Please note also that I am putting on copy for information Pension Practitioner.com who are the advisors for the SSAS pension scheme.

Copy: Pension Practitioner.com (by Email)

Yours faithfully

Andrew Emanuel
(Director & Trustee)

Tax Status Declaration Form – Entity

Purpose

This form is designed to let us know your residency and status for tax purposes. The information you give us should relate to the entity which own(s) the income and the assets associated with an account that we, the Bank, maintain.

Please don't use this form if you're an individual. Instead, please ask us for a copy of the tax status declaration form for individuals – you can do this by getting in touch with us.

Your information

Depending on the countries or territories in which the entity or controlling person(s) is a tax resident, we, the Bank, may be required to disclose certain information regarding the entity or controlling person(s) to the tax authority of the jurisdiction in which the entity holds its account. If the Bank provides the information to its local tax authorities, then the local tax authorities may exchange this information with the foreign tax authorities of the countries or territories in which the entity or controlling person(s) is a tax resident.

Complete, where applicable, the relevant sections below and provide any additional information as may be required.

Please fill in the form in BLOCK CAPITALS and return it to us. If you have any questions about how to complete this form, please contact your tax advisor. For further information please visit our website.

Section A - Customer Identification

Name of entity

BALLPARKEN PENSION SCHEME

Country of incorporation

UK

Permanent residence address (Do not use a P.O. Box or an 'in care of' address)

Address line 1

14 VIEWFIELD ROAD

Address line 2

SOUTHFIELDS

City or town

LONDON

County, region, state or province

UK

Post/zip code

SW18

1NA

Country

UK

Mailing address (if different from permanent address)

Address line 1

SAME AS ABOVE

Address line 2

City or town

County, region, state or province

Post/zip code

Country

Section B – Tax Residency Information**B1. Is the entity/organisation resident for income or corporation tax purposes in the United Kingdom?**

- Answer: United Kingdom only ☒ → Move to Section C
- United Kingdom and other ☐ → Provide your other tax residency details below (B2) and move to Section C
- Other only ☐ → Provide your other tax residency details below (B2) and move to Section C

B2. If the entity/organisation is resident for income or corporation tax purposes outside the United Kingdom, please list the countries and tax reference numbers below:

Please provide details for each country where your entity/organisation is tax resident of:

- Your Tax Identification Number (TIN) or local equivalent in each country. This could be your Employer Identification Number, Unique Business Reference or Corporation Tax Number dependant on the format used in your country of tax residency.
- Where you do not have a TIN, we need to understand why this is, please indicate that a TIN is unavailable & provide an explanation in the box below (e.g. The country where you are a tax resident of does not issue TINs or local equivalent)
- The dates that the entity has been tax resident in the country(s)

Country of Tax Residency (list all)	Tax Identification Number (TIN)	TIN unavailable (Go to B2.1)	Tax residency dates	
			From	To
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="checkbox"/>	<input type="text"/>	<input type="text"/>

B2.1 If you cannot provide a Tax Identification Number (TIN) or local equivalent, please detail the reason below:

If you need more space, please continue on a separate sheet, sign it, date it, and attach to this form.

Section C – Foreign Account Tax Compliance Act (FATCA) Tax Status Classification

C1. Is the entity/organisation incorporated/organised in the USA?

Answer: No

☒ → Move to Section C2

Specified US Person

☐ → Provide your TIN below and move to Section D

Other US person

☐ → Provide your TIN below and move to Section D

Tax Identification Number (TIN)

C2. Is the entity/organisation a Foreign Financial Institution (FFI)?

An FFI is a non-US financial institution and is one or more of the following:

Answer: No

☐ → Move to Section C3

Reporting FFI

☐ → Provide your GIIN below (C2.1) and move to Section D

Sponsored FFI

☐ → Provide your GIIN below (C2.1) and move to Section D

Other FFI

☒ → Provide tax status below (C2.2) and move to Section D

C2.1 Global Intermediary Identification Number (GIIN)

 - - -

If you cannot provide a GIIN, please detail the reason below:

~~EXEMPT~~

C2.2 If you are an Other FFI, please detail your tax status below:

EXEMPT BENEFICIAL OWNER

C3. Is the entity/organisation a Non Financial Foreign Entity (NFFE)?

An NFFE is a non US company, partnership, trust, foundation or any other legal entity that isn't an FFI

Answer: Active NFFE

☐ → Move to Section D

Passive NFFE

☐ → Complete Section E1 and move to Section D

Other NFFE

☐ → Complete Section E2 and move to Section D

For help on Active and Passive Non Financial Foreign Entities, please refer to the FATCA - Active NFFE Decision Tree which can be found within the 'Support and Guidance' section of the website- www.natwest.com/taxresidency.

Section D – Common Reporting Standard (CRS) Tax Status Classification

D1. Is the entity/organisation a Financial Institution (FI) or Investment Entity (IE)?

A **FI** is a 'Custodial Institution', a 'Depository Institution', an 'Investment Entity', or a 'Specified Insurance Company'. Please see the relevant domestic guidance and the CRS for further classification definitions that apply to an FI.

An **IE** includes two types of Entities:

- (i) An Entity that primarily conducts as a business one or more of the following activities or operations for or on behalf of a customer: Trading in money market instruments (cheques, bills, certificates of deposit, derivatives, etc.); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading. Individual and collective portfolio management; or otherwise investing, administering, or managing Financial Assets or money on behalf of other persons. Such activities or operations do not include rendering non-binding investment advice to a customer.
- (ii) An Investment Entity ('Investment Entity managed by another Financial Institution') is any Entity the gross income of which is primarily attributable to investing, reinvesting, or trading in Financial Assets where the Entity is managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company, or the first type of Investment Entity.

Answer: No

☐ → Move to Section D2

Investment Entity located in a Non Participating Jurisdiction & managed by another Financial Institution

☐ → Complete Section E1 and move to Section F

Other Investment Entity

☒ → Move to Section F

Other FI – including Depository, Custodial or Specified Insurance Company

☐ → Move to Section F

D2. Is the entity/organisation a Non Financial Entity (NFE)?

An **NFE** is any Entity that is not a Financial Institution.

Answer: Active NFE where the stock of the entity (or an affiliated entity) is regularly traded on an established securities market

☐ → Move to Section F

Active NFE – Government Entity

☐ → Move to Section F

Active NFE – International Organisation

☐ → Move to Section F

Active NFE which is none of the above

☐ → Move to Section F

Passive NFE

☐ → Complete Section E1 and move to Section F

For a guide on the Active and Passive Non Financial Entity options please refer to the to the CRS – Active NFE Decision Tree which can be found within the 'Support and Guidance' section of the website www.natwest.com/taxresidency

Section E – Supporting Information

E1. Controlling person(s) (Refer to Glossary section for additional information on controlling persons)

Only complete this section if you've ticked that you're a Passive NFFE (in Section C3) or a Passive NFE (in Section D2) or an Investment Entity located in a Non-Participating Jurisdiction (in Section D1).

'Control' over an Entity is generally exercised by the natural person(s) who ultimately has a controlling ownership interest (typically on the basis of a certain percentage (e.g. 50%)) in the Entity. Where no natural person(s) exercises control through ownership interests, the Controlling Person(s) of the Entity will be the natural person(s) who exercises control of the Entity through other means. Where no natural person(s) is/are identified as exercising control of the Entity through ownership interests, then under the CRS the Reportable Person is deemed to be the natural person.

Please provide details of each controlling person. If you need more space, please continue on a separate sheet, sign it, date it, and attach it to this form.

(Name in full)	ANDREW EMANUEL	
Date of birth (DD/MM/YYYY)	21 07 1948	
Permanent residence address of the controlling person (Don't use a P.O. box or an 'In care of' address)		
14 VIEWFIELD ROAD		
SOUTHFIELDS		
LONDON SW18 1NA		
Country of Tax Residency (list all)	Tax Identification Number (TIN) or local equivalent (list all)	
UK	6108330568	

(Name in full)	COLETTE MARIE MADELEINE DONZE	
Date of birth (DD/MM/YYYY)	17 11 1947	
Permanent residence address of the controlling person (Don't use a P.O. box or an 'In care of' address)		
14 VIEWFIELD ROAD		
SOUTHFIELDS		
LONDON SW18 1NA		
Country of Tax Residency (list all)	Tax Identification Number (TIN) or local equivalent (list all)	
UK	6400096047	

E2. Other NFFE tax status (Refer to Glossary section for additional information on NFFE options)

Only complete this section if you have indicated that you are an Other NFFE (in Section C3).

Please confirm your 'Other NFFE' tax status from the list below.

Please refer to the Glossary, which contains key Entity Classifications definitions or: www.natwest.com/taxresidency for further information on NFFE tax statuses. If you're in any doubt, please speak to your tax adviser.

Publicly traded NFFE or NFFE affiliate of a publicly traded Corporation

Exempted Territory NFFE

Exempted Nonfinancial Group Entity

International Organisation

Foreign Government, Government of a US Possession, or Foreign Central Bank of Issue

Exempted Nonfinancial Start-up Company

Exempted Nonfinancial Entity in Liquidation or Bankruptcy

Exempt Retirement Plans

Non-Profit Organisation

Direct Reporting NFFE

Sponsored Direct Reporting NFFE

501 (c) Organisation

<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>


Section F – Declaration and Signature

An authorised signatory from the account operating mandate should sign this section on behalf of the entity.

I declare that I have examined the information on this form and to the best of my knowledge and belief, it is true, correct and complete. I undertake to inform the Bank promptly of any change in circumstances which causes the information contained herein to be incorrect and to provide the Bank with a suitably updated declaration within 30 days of such changes.

Furthermore, I certify that I have the capacity to complete and sign this form on behalf of the Entity named on this document.

Customer signature



Name (Print in full)

ANDREW EMANUEL

Date (DD/MM/YYYY)

17/10/2018

Please indicate the capacity in which you have acted here

TRUSTEE OF BALLPARKEN PENSION SCHEME

Please refer to: www.natwest.com/taxresidency for further information on FATCA and CRS, the above options and how to contact us if necessary. If you're in any doubt, please speak to your tax adviser.

 COLETTE MARIE MADELEINE DONZE

TRUSTEE OF BALLPARKEN PENSION SCHEME