

**Identity Verification Certificate – private individual**

Introduction by PRA authorised and FCA and PRA regulated firm

**1. DETAILS OF INDIVIDUAL** (see explanatory notes below)

First Name **ALAN** Middle Name **CHRISTOPHER**  
Surname **GARNETT** Date of Birth **18/05/64**  
Address **GEMINI, SIR WILLIAMS  
LANE, AYLSTHAM, NORWICH  
NR11 6AW** Previous address if the individual has changed address in the last three months:  
Postcode **NR11 6AW**

**2. CONFIRMATION****I/we confirm that**

- the information in section 1 above was obtained by me/us in relation to the customer;
- in providing this Identity Verification Certificate, I/We consent to Metro Bank relying on the customer due diligence undertaken in accordance with Regulation 17 of the Money Laundering Regulations;
- original documentary evidence was seen;
- the evidence I/we obtained to verify the identity of the customer:  
(tick only one)



meets the standard customer due diligence requirements set out in the Money Laundering Regulations and supporting JMLSG Guidance; or



exceeds the standard customer due diligence requirements (written details of the further verification evidence taken are attached to this confirmation).

**3. DETAILS OF INTRODUCING FIRM** (or sole trader)

Full Name of Regulated Firm

**SAPPHIRE FINANCIAL SOLUTIONS**

Financial Services Register Number

**524 292**

Signed for and on behalf of aforementioned Regulated Firm

Position

Name

**DAVID NICKLIN**

Date

**16/03/17.****4. EXPLANATORY NOTES**

1. A separate confirmation must be completed for each customer (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the customer, the identity of that person must be verified, and a confirmation provided.
2. This form cannot be used to verify the identity of any customer that falls into one of the following categories:
  - those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
  - those who have been subject to simplified due diligence under the Money Laundering Regulations; or
  - those whose identity has been verified using the source of funds as evidence.