

Confirmation of verification of identity - Private individual

(Introduction by an FCA-regulated firm)

1. Details of Individual


Full name of client	Aubrey Brocklebank	
Current address	21 Needham Road Stanwick WELLINGBOROUGH NN9 6QU	Previous address if individual has changed address in the last three months
Date of birth	29 January 1952	

2. Confirmation

I/We confirm that

- The information in section 1 above was obtained by me/us in relation to the client;
 - The evidence I/we have obtained to verify the identity of the client;
- (Tick only one)

meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or	<input checked="" type="checkbox"/>
exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation)	<input type="checkbox"/>

Signed:		Position:	Director/IFA
Name:	Simon Carlin	Date:	28/10/2019

3. Details of introducing firm

Full name of Regulated Firm (or sole trader)	The Lost Coin Financial Planning Ltd
FCA reference number:	672057

Explanatory notes

- A separate confirmation must be completed for each client (e.g. joint holders, trustee cases and joint life cases). Where a third party is involved, e.g. a payer of contributions who is different from the client, the identity of that person must also be verified, and a confirmation provided.
- This form cannot be used to verify the identity of any client that falls into one of the following categories:
 - Those who are exempt from verification as being an existing client of the introducing firm prior to the introduction of the requirement for such verification;
 - Those whose identity has not been verified by virtue of the application of a permitted exemption under the Money Laundering Regulations; or
 - Those whose identity has been verified using the source of funds as evidence.