Thos & Jno Brocklebank Ltd.

Registered in England number 06542645

21 Needham Road Stanwick Northamptonshire NN9 6QU Tel: 07973-7448282 E Mail a.brocklebank@talk21.com

Ms.Emily Mcallister
Retirement.Capital
Venture Wales Building
Merthyr Tydfil Industrial Business Park
Merthyr Tydfil
Wales
CF48 4DR

5th July 2023

Dear Emily

I enclose the returns which have been sent back by HMRC!

Disappointing

Yours ever

,Aubrey

Sir Aubrey Brocklebank Bt.

Tax Reference 20536 91330

Date 06 June 2023

Issued by:

HM Revenue and Customs PENSION SCHEMES SERVICES HM REVENUE & CUSTOMS

BX9 1GH

Phone 0300 123 1079



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Page 1 of 2 / 0024419 / 0048837

As Trustee of A B & A PENSION Fund

Your tax return for the year ended 5 April 2022 is now more than three months late

After 01 May 2023, a daily penalty of £10 a day is payable for each day your online tax return is outstanding, for a maximum of 90 days. This means the minimum daily penalty you will have to pay is already over £300. To avoid further penalties file your tax return online now. If you think you don't need to complete a tax return for this tax year, go to gov.uk/check-if-you-need-a-tax-return to check.

If you send us a paper tax return

You may have to pay more penalties because the deadline for a paper tax return is usually three months earlier than the online filing deadline.

Why you should file your tax return online

There are many benefits to filing your tax return online:

- you usually get longer to file and an instant acknowledgement when we receive your tax return
- Self Assessment Online is a 24-hour service that is quick, secure and easy to use
- your tax is worked out for you
- if you are due a repayment, you will receive it much quicker.

You will need to register and enrol to use Self Assessment Online. This is simple – just go to www.hmrc.gov.uk/online and follow the on-screen instructions.

When will I have to pay these penalties?

We will send you a penalty assessment telling you how much to pay and when. This will be:

- · shortly after we receive your tax return, or
- after the 90-day daily penalty period, if your tax return is still outstanding.

These penalties are in addition to your initial late filing penalty and any penalties you will be charged for paying late.

You will also have to pay interest on all outstanding amounts, including penalties. If you have filed your tax return in the last few days, thank you.

The more you delay the greater the penalty

Penalties at a glance

One day late

An initial penalty of £100.

Three months late

A daily penalty of £10 per day, up to a maximum of 90 days (£900).

Six months late

A further penalty of 5% of the tax due or £300, whichever is greater.

Twelve months late

A further penalty of 5% of the tax due or £300, whichever is greater.

In particularly serious cases, you face a higher penalty of up to 100% of the tax due.

If you need help go to www.hmrc.gov.uk/sa or phone us

We have a range of services for people with disabilities, including guidance in Braille, audio and large print.

Most of our forms are also available in large print.

Please contact us on any of our phone helplines if you need these services.

Our minicom/text number 0300 200 3319

J31D6S00HENMAA0000006764001001342000

Sir Aubrey Brocklebank 21 Needham Road Stanwick WELLINGBOROUGH NN9 6OU



Pensions
HM Revenue and Customs
BX9 1GH

Phone 0300 123 1079 Monday to Friday 9.00am to 5.00pm

Web www.gov.uk



Date UTR 28 June 2023 2053691330

Dear Sir Brocklebank

AB & A Pension Fund

I refer to our previus correspondence.

I note that I still do not have the outstanding 2021 SA970 return.

The 2022 SA970 was received on 7 June 2023 but I have returned this to you under separate cover as it is incomplete.

Please let me have the outstanding 2021 SA970 as a matter of urgency and let me have a fully completed form APSS146 as so far I have only received an APSS146 insert with your signature.

When you receive the 2022 SA970 back to you, please complete the missing information as soon as possible and return it to me to enable me to bring matters up to date.

Please note that our new address is Pensions, HM Revenue and Customs, BX9 1GH. If you write to us but do not use this address then we may not get your post.

J31D6S00HENMAA0000006757001005342000

Sir Aubrey Brocklebank 21 Needham Road Stanwick WELLINGBOROUGH NN9 6QU

Pensions HM Revenue and Customs BX9 1GH

Phone 0300 123 1079

Monday to Friday 9.00am to 5.00pm

Web www.gov.uk

Date UTR

28 June 2023 2053691330

Dear Sir Brocklebank

Pension scheme: AB & A Pension Fund

Thank you for sending us your form SA970, 'Tax Return for Trustees of Registered Pension Schemes' for the year ended 5 April 2022.

I am sending it back to you because it is incomplete at boxes 1.1 to 1.5.

Please fill in the return with the missing information.

Please send the return back to us as soon as possible. We will charge you more penalties if we don't receive it by 1 August 2023.

If you owe us any tax, the date that you need to pay by won't change.

Please note that our new address is Pensions, HM Revenue and Customs, BX9 1GH. If you write to us but do not use this address then we may not get your post.

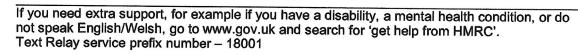
Yours sincerely

Maureen Oakley

Administration Officer

Join the millions of taxpayers already using their Personal Tax Account to access a range of services. It takes just a few minutes to get started, go to www.gov.uk/personal-tax-account Or you can use the HMRC app.

To find out about the service and standard of behaviour you can expect from us, go to www.gov.uk and search 'HMRC Charter'.







Tax return for trustees of registered pension schemes

Tax year 6 April 2021 to 5 April 2022 (2021-22)

Self Assessment tax reference (as shown on the no 2053591330	otice to file)	Pension scheme name and correspondence address (please complete in full)	
		Name A.B.&A Pension Fund	
		Address Venture Wales	
FReturn to		Pentrebach, Merthyr Tydfil.	
Pension Schemes Services HM Revenue & Customs BX9 1GH United Kingdom		Postcode CR484DR Adviser's reference	
 1	_1	AB&A.	
Phone 0300 123 1079	-	Date DD MM YYYY 2 2 0 5 2 0 2 3	

Please read this page first

You are regulified by law to send us a tax return for the year from 6 April 2021 to 5 April 2022. This tax return cannot be filed online.

When you've completed this tax return please return it to the above address.

Please start by filling in the Self:Assessment tax reference above for the registered persion scheme to which this return relates, the pension scheme hame and correspondence address. If you give an adviser's address, please also include their reference for the scheme.

If you want us to do the tax calculation

If you want us to do the tax calculation, you must file the return by the later of 31 October 2022 or 2 months after the date the Notice was given. Otherwise we may not be able to send you a statement in time for you to pay any tax which is due by the later of 31 January 2023 or 3 months after the date the Notice was given.

Calculating the tax yourself

If you want to calculate the lax yourself, you must file the return by the later of 31 January 2023 or 3 months after the date the Notice was given if we receive the return after that date, you will be liable to an automatic penalty of £100.

Paying any tax the pension scheme owes
Make sure any tax you pay that the pension scheme owes
reaches us by 31 January 2023 or you will have to pay
Interest and possibly a late payment penalty.

Accounts prepared for a 12-month period ending in the year to 5 April 2022

If accounts have been prepared for a 12-month period ending in the year to 5 April 2022, you may if you wish fill in the tax return based on the person schemes income and gains during the period of those accounts.

Accounts not prepared for a 12-month period ending in the year to 5 April 2022

If your accounts have not been prepared for a period ending in the year to 5 April 2022, filt in the return for income and gains in the year ended 5 April 2022, For example, the pension scheme's accounting year end may have been changed to 5 April from another date, and this results in a period dropping out of account.

If this is the case, fill in this return based on the entire period starting on the day after the end of the accounting period covered on the 2020-21 tax return and ending on 5 April 2022, even if this means using 2 sets of accounts.

Do not send us the pension scheme's accounts. If we need to see them, we will ask you for them later.

We may check any tax return. Please remember that there are penalties for supplying false information.

If you need help

For help filling in this form, go to www.gov.uk/selfassessment-forms-and-helpsheets and read the notes.

If the guidance does not answer your questions, please phone the number shown above.

What happens after you've sent your tax return You'll receive a tax calculation. If you're due a repayment of tax, you'll usually get this automatically. But it may be set off against other tax instead if there is an amount due soon:

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SA970 2022

Page 1

HMRC 12/21 -

Income for the year ended 5 April 2022

Please use blue or black ink to fill in the return. Round down income and capital gains to the nearest pound, and round up tax deductions. Do not include pence.

Pages 2 and 3 of this return include the most common income types found in registered pension schemes, and situations which may apply to such schemes. If your pension scheme received any income which is taxable, but not covered on pages 2 and 3, include the income on page 4 at Other taxable income.

If you are filling in this return for a 12-month period of account ending in the year from 6 April 2021 to 5 April 2022, you must do so on a consistent basis. If you wish to change from the accounting date basis to the strict fiscal basis then the change in basis should not result in there being periods which drop out of account.

UK income

Include all income from investments from which UK Income Tax has been deducted. This includes interest on loans and deposits, alternative finance receipts from alternative finance arrangements, interest on UK government securities including those held in the form of bearer bonds and annual payments from unauthorised unit trusts. Do not include income from UK dividends.

1 Did you receive income from which UK income Tax has been deducted? Put 'X' in the appropriate box Yes	£
2 Did you receive income from overseas investments? Put X' in the appropriate box Yes No V If Yes, fill in boxes 2.1 to 2.4. If No. go to question 3. 2.1 Total income from overseas investments – amount after tax deducted £ 0 0	23 UK Income Tax already reclaimed by the pension. scheme for the year ended 5 April 2022 or for the period of account ending in the year from 6 April 2021 to 5 April 2022 (if the return is filled in on the accounting date basis) from overseas investments, which is included in box 2.2 £ 0 0 0 26 UK Income Tax which has not already been reclaimed at the time this return is made. Box 2.2 minus box 2.3 £ 0 0 0

Income for the year ended 5 April 2022

Please use blue or black ink to fill in the return. Round down income and capital gains to the nearest pound, and round up tax deductions. Do not include pence.

Pages 2 and 3 of this return include the most common income types found in registered pension schemes, and situations which may apply to such schemes. If your pension scheme received any income which is taxable, but not covered on pages 2 and 3, include the income on page 4 at 'Other taxable income'.

If you are filling in this return for a 12-month period of account ending in the year from 6 April 2021 to 5 April 2022, you must do so on a consistent basis. If you wish to change from the accounting date basis to the strict fiscal basis then the change in basis should not result in there being periods which drop out of account.

UK income

Include all income from investments from which UK Income Tax has been deducted. This includes interest on loans and deposits, alternative finance receipts from alternative finance arrangements, interest on UK government securities including those held in the form of bearer bonds and annual payments from unauthorised unit trusts. Do not include income from the dividends.

3 Gross amount before tax
Tax already recisimed by or for the pension scheme on form R53N for the year ended 5 April 2022 or for the period of account ending in the year from 5 April 2021 to 5 April 2022 (if the return is filled in on the accounting date basis) which is included in box 1.2 £ 0 0 0 Tax which has not already been reclaimed at the time this return is made. Box 1.2 minus box 1.4 £ 0 0 0
UK Income Tax already reclaimed by the pension scheme for the year ended 5 April 2022 or for the period of account ending in the year from 6 April 2021 to 5 April 2022 (if the return is filled in on the accounting date basis) from overseas investments, which is included in box 2.2

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Income declared here will be liable to tax at the trust rates.			
6 Did you receive any other taxable income which you have not already entered elsewhere in this return or did you make any taxable chargeable gain? Do not enter non-taxable rental amounts from UK or overseas	53 Tax dedutified £ 00		
properties. Put X in the appropriate box	6.4 Gross amount before tax. Box 6.2 + box 6.3		
If Yes, fill in boxes 6.1 to 6.7. If No. go to question 7. 6.1 Description of income or chargeable gain	65 Taxable amount of the chargeable gain on residential property £ 0 0		
	6.6 Taxable amount of the chargeable gain on other property, assets and gains £ 00		
62 Amount of taxable income after tax deducted £ 0 0	67 Taxable amount of the chargeable gain qualifying for Business Asset Disposal Relief (previously Entrepreneurs' Relief) and/or Investors' Relief		
Other information for the year ended 5 April 2022			
Calculating the tax (or repayment) yourself	-		
7 Do you want to calculate the tax (or repayment) due? Put % in the appropriate box	72 Your first payment on account for 2022-23, if appropriate		

7 Do you want to calculate the tax (or repayment) due? Put X' in the appropriate box Yes No X If Yes, do it now and fill in boxes 7.1 to 7.4. If No, go to question 8. 73 Put X' in the box if you are making a claim to reduce payments on account. If a repayment is due put a minus sign (-) in the box next to the £ sign £ Put X' in the box, if you do not need to make payments on account.		
I manufacture and the state of	Put X' in the appropriate box Yes No X If Yes, do it now and fill in boxes 7.1 to 7.4. If No, go to question 8. 7.1 Tax due for 2021-22 before you make any payments on account. If a repayment is due put a minus sign (-) in the	if appropriate £

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4

Claiming a repayment

8.1	appropriate box Yes X No If Yes, fill in boxes 8.1 to 8.12 as appropriate. If No, or the amount you are owed is below £10, we'll set any amount you are owed against the next tax bill. Now go to question 9. Who should the repayment (or payment) be sent to? Put X' in the appropriate box The pension scheme's bank or building society account X Your nominee's bank or building society account Fill in boxes 8.3 to 8.7 as appropriate if you have put an X in box 8.2 also fill in box 8.8 and box 8.9 (if applicable) and boxes 8.10 to 8.12. Please give details of your (or your nominee's) bank or building society account for repayment	8.89 8.10	Put 'X' in the box if your nominee is your adviser— then fill in boxes 8.9 to 8.12, otherwise just fill in boxes 8.10 to 8.12 Adviser's reference for you Declaration for repayment You must fill in the boxes below to authorize the nominee or adviser to receive repayment on your behalf. This authority must be signed by you. We will not accept a photocopy of your signature. I authorise Name of nominee or adviser Nominee's or adviser's address
8.6	Name on account (entered at 8.3) A B & A PENSION FUND		Postcode to receive, on my behalf, the amount due.
8.5	Account number 4 7 6 0: 7 8 2 5	8.12	Signature
8.5	Sart-code 2 3 - 0 5 - 8 9		
8.7	Building society reference number		,

I rading income Income declared here will be liable to tax at the trust rate.	
3 Did your receive any trading income? Put X in the appropriate box Yes No x If Yes, fill in boxes 3.1 to 3.5. If No, go to question 4. 3.1 Temover and other fusiness receipts £ 0 0 0 3.2 Expenses allowable for fax £ 0 0 0 Box 3.2B is not in use	32C Zero-emission goods vehicle allowance (26VA) — put the amount of 26VA, included in box 3.2, in box 3.2C £
Income under a Deed of Covenant Bid you receive any income under a Deed of Covenant? Put X' in the appropriate box Yes No S If Yes, fill in boxes 4.1 to 43. If No. go to question 5. 4.1 Total income received under a Deed of Covenant amount afterstax deducted £ 0 0	### ### ##############################
ncome paid under deduction of tax Please enter all charges on the pension scheme's income paid und by As You Barn (PAYE) or scheme administrator payments subject	ler deduction of tax. Do not include pensions paid under to the Accounting for Tax procedures.
5) Were any payments or charges on the pension scheme's income paid under deduction of tax? Put % in the appropriate box Yes No If Yes, fill in boxes 5.1 to 5.4 if No. go to question 6. S.1 Amounts paid under deduction of tax – gross amount before tax £ 7 8 2 0 0	52 Tax deducted £

Income declared here will be liable to tax at the trust rate.	
3 Did you receive any trading income? Put % in the appropriate box Yes No If Yes, fill in boxes 3.1 to 3.5. If No, go to question 4. 3.1 Turnover and other business receipts £ 0 0 0 324 Zero-emission car allowance £ 0 0 0	32C Zero-emission goods vehicle allowance (26VA) - put amount of 26VA, included in box 3.2, in box 3.2¢ £
Box 3.2B is not in use	£ 0 0
Yes No No Street No. go to question 5. 11 Total income received under a Deed of Covenant - amount after tax deducted £ 0 0	43 Gross amount before tax. 8ox 4.1 + box 4.2
ncome paid under deduction of tax lease enter all charges on the pension scheme's income paid u ay As You Earn (PAYE) or scheme administrator payments subje	nder deduction of tax. Do not include pensions paid under ect to the Accounting for Tax procedures.
Were any payments or charges on the pension scheme's income paid under deduction of tax? Put 'R' in the appropriate box Yes No If Yes, fill in boxes 5.1 to 5.4. if No. go to question 6.	£ 1 5 6 • 0 0 53 Interest, alternative finance payments, rent paid under deduction of tax to anyone who normally lives abroad – gross amount before tax
Amounts paid under deduction of tax – gross amount before tax	£ p c 0 0
Secret Institute	£ 000.00

Additional information

13.1 Does this tax return contain figures that are provisional bed Put X in the appropriate box	ause you do not yet have final figures?
Yes Wo X	
Page 8 of the notes explains the circumstances in which provis additional information to be provided in box 13.3 below.	sional figures may be used and asks for some
	*
Disclosure of tax avoidance schemes If the trust is a party to complete the boxes 13.2A and 13.2B. Give details of each sche than 3 schemes, details of the additional schemes must be rep	tine from to 31 on a constate line. If this truck is a winter to
132A Scheme or promoter reference number	132B Tax year in which the expected advantage arises -
	year ended 5 April
└── ──────────────────────────────────	
and the second tensor t	III
Additional information	
Pedaration	
ou must fill in this part. Before sending back the completed tax s	return you must sign and date the statement in boy 1/13
ecow. If you give raise information of conceal any part of the ner	nsion scheme's income or chargeable gains you may be lia
o financial penalties and you may be prosecuted.	
4.1 Put 'X' in the box if this tax return has been filled in	143 The information I have given in the tax return is con
for the tax year to 5 April 2022	and complete to the best of my knowledge and beli
X	
_	Signature of trustee
or put 'X' in the box if this tax return has been filled in	
based on a 12 months scheme accounts period ending	el.
during 2021–22	
	Name in full (use capital letters)
	51R ANBRUY BROCKlebank
if scheme accounts basis, enter the accounting year end date – please ensure the date matches the date entered	
on the form APSS146 (registration for Income Tax	Date DD MM YYYY
repayments)	62 06 2023
Date DD MM YYYY	الكالكالكالكالكالكا

SA970 2022

Pension scheme contact details Please give your or your adviser's name and phone purchase	· · · · · · · · · · · · · · · · · · ·
93 Your daytime phone number 0 7 9 3 3 7 4 4 8 2 8 92 Your name 93 Your adviser's phone number, if applicable Changes to names and addresses	F we need to ask you about the return it's often simpler to phone. 9A Your adviser's name and address RET RANGON CAPITAL VENTUR WALES Building MEATHYR TYDEIL WALES Postcode CF 48 4 DR 95 Your adviser's reference for the pension scheme
Is the name of the pension scheme on the front of the Notice wrong? Put X in the appropriate box Yes Xo	12.3 New trustee's name and address.
It is the name of the trustee on the front of the Notice wrong? This should be the trustee to whom correspondence and future returns should be addressed. Put X in the appropriate box	Postcode Date of change
Yes Wo X If you answer Yes to either question 10 or 11, please out the correct information on the front of this return.	12.4 New trustee's name and address
Have there been any changes to the names and addresses of the trustees? Put X in the appropriate box. If more than 2 please report the further details in the 'Additional information' box on page 7 of this return or on a separate sheet:	Postcode Date of change
Yes please enter the details in the appropriate boxes on this page.	125 Existing trustee's name and new address
Retifing (or no longer acting) trustee's name and address	Postcode Date of change
Postcode Date of change	126 Existing trustee's name and new address
Redding (or no longer acting) brustee's name and address	Postcode Date of change
Postcode Date of change	

Pension scheme contact details

Please give your or your adviser's name and phone number. if we need to ask you about the return it's often simpler to phone.

9.1	Your dayline phone number	94	Your adviser's name and address
	07973744828		RETIROPUNT CAPITAL
	المسالمين المام المسالكين المسالمين المسالمين المسالمين المسالمين المسالمين المسالمين المستعادين المستعادين ال		Venture water Suilding
9.2	Your name		MEGTHYR TYDEIL
	SIR AWRENT BROCKLESM		MALES
			Postcode CF 48-47R
93	Your adviser's phone number, if applicable	9.5	Your adviser's reference for the pension scheme
~L			
Lnai	nges to names and addresses	***********	
10	is the name of the pension scheme on the front of the	12.3	New trustee's name and address
	Notice wrong? Put X in the appropriate box		
	Yes L No X		
	for all in manner of the financian sin the first of the	-	
111	Is the name of the trustee on the front of the Notice wrong? This should be the trustee to whom	-	
	correspondence and future returns should be addressed.	and in the last	Postcode Date of change
	Put X in the appropriate box		
the state of the s	Yes L No X	124	New trustee's name and address
	If you answer Yes to either question 10 or 11, please put	operation .	
	the correct information on the front of this return.	anniconata pular	
12	Have there been any changes to the names and	- Constitution of the Cons	
	addresses of the trustees? Put X in the appropriate box.	eriore en constantino	
	if more than 2, please report the further details in the 'Additional information' box on page 7 of this return or		Postcode Date of change
	on a separate sheet.	125	Existing trustee's name and new address
	Yes No X		The state of the s
	If Yes, please enter the details in the appropriate		
	boxes on this page.		
12.1	Retiring (or no longer acting) trustee's name		
	and address		Postcode Date of change
		deligraphians	nate or criange
		126	Existing trustee's name and new address
	Postcode Date of change		
122	Retiring (or no longer acting) trustee's name and address		
			Postcode Date of change
	Postcode Date of change		A
L	Postcode Date of change		

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